Members of the staff will not be permitted to receive direct or indirect financial returns from patents based on work performed during the period of their employment by the University, or to make arrangements for such returns which take effect after such period. The University will co-operate with industrial organizations by conducting fundamental research projects financed by grants from such organizations, and will make research reports to the grantors, but it will retain the right to publication of the results. The University will not permit its name or the names of its investigators to be used in advertising.

Patent management agent: Research Corporation or other agency designated by the University or the inventor.

Incentives program: patents may be taken on inventions resulting from research at the University, without provision for financial return to the University or member of its staff, and assigned to sponsors of contract research or grantees, the Government, Research Corporation or other agency, or to the public.

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Formalized research, patent and copyright policy, originally adopted 10 March 1936 by the Board of Trustees of the University and subsequently amended; the University Research Board reviews all potentially patentable discoveries and advises the President who, acting on behalf of the Board of Trustees, is responsible for the initiation of patent applications authorized by the Board of Trustees or its Executive Committee; and applicable on a university-wide basis:

The principle is recognized that the results of experimental work carried on by or under the direction of the scientific or teaching staffs of the University, and having the expense thereof paid from the university funds or from funds under the control of the University, belong to the University and to the public and should be used and controlled in ways to produce the greatest benefit to the University and the public.

Any member of the scientific or teaching staff of the University who has made a valuable discovery or invention as the direct result of his regular duties on university time and at university expense, may be required to patent his discovery or invention, and to assign the patent to the University, the expenses connected therewith to be borne by the University.

The Board of Trustees shall administer the rights under patents in ways to suit the conditions, dedicating the patent to the public or licensing its use. In case of license, provisions shall be included to safeguard the public during the life of the patent from unreasonable restrictions or exorbitant royalties, for the use of later patents that may depend for their usefulness on a preceding patent secured by the University. The duty of developing the use of university patents may be assigned in suitable cases to the University of Illinois Foundation or to other agencies.

In the event that any sum above a nominal royalty is received by the University for the use of the patent, a proper share of it shall be paid to the patentee.

This action shall not be construed to include questions of ownership in copyrights on books, or of inventions or discoveries made by members of the teaching or scientific staffs outside of their regular duties and at their own expense.

With respect to sponsored research, sponsors of special or specific research to be carried on under the direction and supervision of the University, in general, shall be grouped as follows for the purpose of determining rights in patentable discoveries:

(i) Individuals, natural persons, and corporations interested in patentable discoveries which may grow out of the sponsored research;

(ii) Associations of individuals, manufacturers, utilities, and similar groups, interested in any patentable discoveries which may result from the prosecution of the sponsored research;

(iii) Public governmental agencies, including the United States Government and its agencies, and any of the states of the Union and their agencies, not interested in or concerned about patentable discoveries;

(iv) Technical societies, committees, foundations, and the like which sponsor special or specific researches, but are not interested in or concerned about patentable discoveries which may grow out of the research.

Sponsors falling within groups (i) and (ii) above will be expected to enter into contracts containing, among other things, the following clauses and paragraphs:

It is agreed that all results of experimental work, including patentable discoveries, carried on under the direction of the scientific staff of the University, belong to the University and to the public and shall be used and controlled so as to produce the greatest benefit to the public. It is agreed that if patentable discoveries grow out of the investigation and such discoveries have commercial value, the sponsor, on payment of the entire cost of securing a patent, shall be given free use of the patent as a nonexclusive license, it being agreed that other licensees shall pay the University a royalty which in the opinion of the University is fair to the sponsor and to the public. The sponsor shall notify the University in writing whether it will pay the costs of filing an application and procuring a patent on any discoveries which may be patentable, within sixty days from the date when the University gives the sponsor notice of any such discovery. In case the sponsor does not wish to assume the expense of securing a patent, the University may, in its discretion, do so, and the sponsor shall be given a nonexclusive license on substantially similar terms as other licensees.

It is agreed by the sponsor that it will not under any circumstances use the name of the University in any advertisement, whether with reference to the cooperative agreement or any other matter, without the prior approval of the University.

Sponsors falling within groups (iii) and (iv) above will be expected to sign a contract containing the following, or its substantial equivalent:

> It is agreed by the parties to this contract that all results of experimental work, including patentable discoveries, carried on under the direction of the scientific staff of the University belong to the University and will be used and controlled so as to produce the greatest benefit to the public.

This provision may be waived for sponsors falling in group (iii) above, so long as the greatest benefit to the public is assured.

In certain research contracts between the University and the United States Government and contracts with its agencies (such as the Army, Navy and Air Force) for which the government provides the funds, the government requires that title to inventions, patents and copyrights, and the decision as to whether results of research may be published, remain with the government. The Board of Trustees of the University authorizes exceptions to the general policy of the University in such cases.

Patent management agent: Board of Trustees of the University, or, on behalf of the Trustees, the University of Illinois Foundation, an independent nonprofit corporation established 9 August 1935.

Incentives program: the equities of the inventor in his invention are recognized; as indicated in the policy statement above, he may receive a proper share of any royalties derived from the use of any patents obtained on the invention.