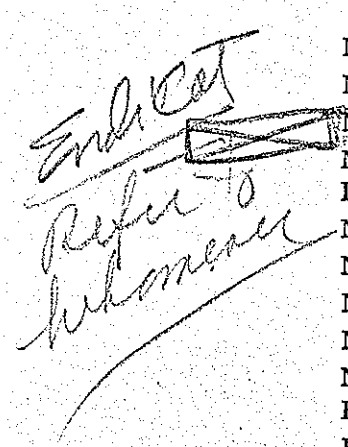
PUBLIC HEALTH SERVICE

Research Grant Programs

Guide for Applicants—January 1963



National Institute of Allergy and Infectious Diseases
National Institute of Arthritis and Metabolic Diseases
National Cancer Institute
National Institute of Child Health and Human Development
National Institute of Dental Research
National Institute of General Medical Sciences
National Heart Institute
National Institute of Mental Health
National Institute of Neurological Diseases and Blindness
Division of Research Facilities and Resources
Division of Research Grants
Division of Accident Prevention
Division of Chronic Diseases
Communicable Disease Center
Division of Community Health Services
Division of Dental Public Health and Resources
Division of Hospital and Medical Facilities
Division of Nursing
Division of Air Pollution
Division of Environmental Engineering and Food Protection
Division of Occupational Health
Division of Radiological Health
Division of Water Supply and Pollution Control

U.S. DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE
Public Health Service

X. MENTAL HEALTH PROJECT GRANTS

These grants are available from the National Institute of Mental Health to public or private agencies, institutions and laboratories on regional, State, or local levels, and to individuals, for the purpose of conducting investigations, demonstrations, experiments, surveys, studies, and research which will promote the development of improved methods of care, treatment, and rehabilitation of the mentally ill and stimulate the growth of interest and development of skills in mental health programs.

Applications are reviewed in the same way as research grant applications by the appropriate review committees composed of experts in the fields of mental health and illness.

The same policy guidelines prescribed for research grants apply to the administration and operation of the Mental Health Project Grants Program.

XI. WITHDRAWAL OF PENDING APPLICATIONS

An investigator who wishes to withdraw a pending grant application for any reason may do so by having an authorized official of his institution submit a written request for withdrawal to the Division of Research Grants, National Institutes of Health, Bethesda 14, Md.

XII. PATENTS

1. *Formal reports of inventions.* Department of Health, Education, and Welfare regulations (45 CFR, parts 6 and 8) provide that all inventions arising out of the activities assisted by Public Health Service grants and awards shall be promptly and fully reported to the Surgeon General. In respect to inventions reported, the institution and the principal investigator agree either: (a) To refer the inventions to the Surgeon General for determination, in accordance with Department patent regulations, of the ownership and manner of disposition of all rights therein and whether patent protection on such inventions shall be sought, and, if so, the manner of obtaining, administering, and disposing of the patents in the public interest; or (b) where the institution has a separate formal patent agreement with the Surgeon General covering inventions deriving from Public Health Service support, to make a determination of ownership and disposition in accordance with its policies as approved or as modified by such agreement. *In no event, shall patent applications be filed on inventions reported under (a) above, without prior written consent of the Surgeon General.*

The Public Health Service patent policy is a part of the terms and conditions of a grant, and is accepted by the principal investigator and the institution when an application for Public Health Service support is completed and signed. The grantee institution and the principal investigator have joint responsibility for abiding by Public Health Service patent policy and for

reporting any conflicting commitment or obligation entered into by either entity. Early reporting of inventions and related technical data is required; that is, no later than at the time a manuscript is prepared for publication, or at such other time and in such manner as the Surgeon General may prescribe.

Progress Reports, which may include descriptions of possibly patentable inventions, may *not* substitute for formal reports of inventions.

2. *Annual invention statement.* An Annual Invention Statement must be provided as part of the request for renewal of each type of Public Health Service grant and award. This statement must be submitted even if no invention has occurred during the current period of grant support for which renewal is being requested, and even if an invention to be reported was only partially supported by Public Health Service funds. *The statement should include all inventions which might possibly be construed in any manner to be Public Health Service grant supported or related.* Renewal of a grant will not be paid until the Invention Statement has been received.

When an award terminates and no renewal is planned, an Annual Invention Statement must be submitted as part of the required final progress report.

3. *Amended patent agreement.* In the following instances, procedure requires the completion of an amended patent agreement, which, when signed, becomes a part of the terms and conditions of a grant or award:

(1) Where the institution proposes to subcontract with a commercial organization for a portion of the research to be conducted under the grant.

(2) Where the investigator proposes to submit compounds to be synthesized and/or developed with Public Health Service support to a commercial company for screening purposes.

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Merck.

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NIH

1. Exclusive license 5yrs from pat appl. – (July 7, 1958)
or 3yrs from 1st commercial sale of prod.

Exclusive licensee must grant royalty free licenses or dedicate its inventions under same terms as Foundation.

WARF – may own for 10 yrs from pat appl – July 7, 1958
may license excl – 5yrs " " " "

Roche – interest. what if Merck granted sublicense to Roche – What if WARF granted "excl" to Merck + Roche eg. Warfarin.

Marshall Keith CE3-9756
Assoc Dir admin

10-25-74

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