FTTA of 1986

-CITE-
15 USC CHAPTER 63 - TECHNOLOGY INNOVATION 01/06/03

-EXPCITE-
TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

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CHAPTER 63 - TECHNOLOGY INNOVATION

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CHAPTER REFERRED TO IN OTHER SECTIONS

This chapter is referred to in section 5203 of this title; title 7 section 7624; title 10 section 2902; title 22 section 2656d; title 23 sections 403, 502; title 29 section 3032; title 30 section 1805; title 33 section 2313; title 35 section 210; title 42 section 13541; title 43 section 390h-3; title 49 sections 309, 44501.

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15 USC Sec. 3701 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3701. Findings

-STATUTE-

The Congress finds and declares that:

(1) Technology and industrial innovation are central to the economic, environmental, and social well-being of citizens of the United States.

(2) Technology and industrial innovation offer and improved standard of living, increased public and private sector productivity, creation of new industries and employment opportunities, improved public services and enhanced competitiveness of United States products in world markets.

(3) Many new discoveries and advances in science occur in universities and Federal laboratories, while the application of this new knowledge to commercial and useful public purposes depends largely upon actions by business and labor. Cooperation among academia, Federal laboratories, labor, and industry, in

such forms as technology transfer, personnel exchange, joint research projects, and others, should be renewed, expanded, and strengthened.

(4) Small businesses have performed an important role in advancing industrial and technological innovation.

(5) Industrial and technological innovation in the United States may be lagging when compared to historical patterns and other industrialized nations.

(6) Increased industrial and technological innovation would reduce trade deficits, stabilize the dollar, increase productivity gains, increase employment, and stabilize prices.

(7) Government antitrust, economic, trade, patent, procurement, regulatory, research and development, and tax policies have significant impacts upon industrial innovation and development of technology, but there is insufficient knowledge of their effects in particular sectors of the economy.

(8) No comprehensive national policy exists to enhance technological innovation for commercial and public purposes. There is a need for such a policy, including a strong national policy supporting domestic technology transfer and utilization of the science and technology resources of the Federal Government.

(9) It is in the national interest to promote the adaptation of technological innovations to State and local government uses. Technological innovations can improve services, reduce their costs, and increase productivity in State and local governments.

(10) The Federal laboratories and other performers of federally funded research and development frequently provide scientific and technological developments of potential use to State and local governments and private industry. These developments, which include inventions, computer software, and training technologies, should be made accessible to those governments and industry. There is a need to provide means of access and to give adequate personnel and funding support to these means.

(11) The Nation should give fuller recognition to individuals and companies which have made outstanding contributions to the promotion of technology or technological manpower for the improvement of the economic, environmental, or social well-being of the United States.

-SOURCE-

(Pub. L. 96-480, Sec. 2, Oct. 21, 1980, 94 Stat. 2311; Pub. L. 99-502, Sec. 9(f)(1), Oct. 20, 1986, 100 Stat. 1797.)

-MISC1-

AMENDMENTS

1986 - Par. (10). Pub. L. 99-502 inserted '', which include inventions, computer software, and training technologies,''.

SHORT TITLE OF 2000 AMENDMENT

Pub. L. 106-404, Sec. 1, Nov. 1, 2000, 114 Stat. 1742, provided that: ''This Act (enacting section 7261c of Title 42, The Public Health and Welfare, amending sections 3703, 3704, 3707, 3710, 3710a, 3710c, 3714, and 3715 of this title and sections 200, 202, 207, and 209 of Title 35, Patents, and enacting provisions set out as notes under this section and section 3710a of this title) may be

cited as the 'Technology Transfer Commercialization Act of 2000'.''

SHORT TITLE OF 1996 AMENDMENT

Pub. L. 104-113, Sec. 1, Mar. 7, 1996, 110 Stat. 775, provided that: ''This Act (amending sections 272, 278, 278e, 278g-2, 3710, 3710a, 3710c, 3710d, 5401, 5402, 5404 to 5406, 5408, 5409, and 5412 of this title and section 210 of Title 35, Patents, repealing sections 5403 and 5413 of this title, and enacting provisions set out as notes under this section and sections 272 and 275 of this title) may be cited as the 'National Technology Transfer and Advancement Act of 1995'.''

SHORT TITLE OF 1992 AMENDMENT

Pub. L. 102-245, Sec. 1, Feb. 14, 1992, 106 Stat. 7, provided that: ''This Act (enacting sections 1536, 3704b-1, 3704b-2, 3716, and 3717 of this title and section 6618 of Title 42, The Public Health and Welfare, amending sections 272, 278d, 278g, 278g-1, 278k, 278n, 1453, 1454, 3703, 3704, 3704b, 3710, 3710a, 3711a, 4603, 4603a, and 4632 of this title and section 6683 of Title 42, enacting provisions set out as notes under this section, sections 271, 278f, 278n, and 1453 of this title, and section 6611 of Title 42, and amending provisions set out as a note under section 278l of this title) may be cited as the 'American Technology Preeminence Act of 1991'.''

Pub. L. 102-245, title I, Sec. 101, Feb. 14, 1992, 106 Stat. 7, provided that: ''This title (enacting sections 1536, 3704b-1, and 3704b-2 of this title, amending sections 278d, 278g, 278g-1, 278k, 1453, 1454, 4603, 4603a, and 4632 of this title, enacting provisions set out as notes under this section and sections 278f and 1453 of this title, and amending provisions set out as a note under section 278l of this title) may be cited as the 'Technology Administration Authorization Act of 1991'.''

SHORT TITLE OF 1989 AMENDMENT

Pub. L. 101-189, div. C, title XXXI, Sec. 3131, Nov. 29, 1989, 103 Stat. 1674, provided that: ''This part (part C (Sec. 3131-3133) of title XXXI of div. C of Pub. L. 101-189, amending sections 3710, 3710a, and 3710c of this title and enacting provisions set out as notes under this section and section 3710a of this title) may be cited as the 'National Competitiveness Technology Transfer Act of 1989'.''

SHORT TITLE OF 1988 AMENDMENT

Pub. L. 100-519, title II, Sec. 211, Oct. 24, 1988, 102 Stat. 2594, provided that: ''This subtitle (subtitle B (Sec. 211, 212) of title II of Pub. L. 100-519, enacting section 3704b of this title and amending section 3710 of this title) may be cited as the 'National Technical Information Act of 1988'.''

SHORT TITLE OF 1987 AMENDMENT

Pub. L. 100-107, Sec. 1, Aug. 20, 1987, 101 Stat. 724, provided that: ''This Act (enacting section 3711a of this title, amending section 3708 of this title, and enacting provisions set out as a note under section 3711a of this title) may be cited as the 'Malcolm Baldrige National Quality Improvement Act of 1987'.''

SHORT TITLE OF 1986 AMENDMENTS

Section 1 of Pub. L. 99-502 provided that: ''This Act (enacting sections 3710a to 3710d of this title, amending this section, sections 3702 to 3705, 3707, 3708, 3710 to 3710d, and 3711 to 3714

of this title, and section 210 of Title 35, Patents, and repealing section 3709 of this title) may be cited as the 'Federal Technology Transfer Act of 1986'. ''

Pub. L. 99-382, Sec. 1, Aug. 14, 1986, 100 Stat. 811, provided: ''That this Act (amending section 3704 of this title) may be cited as the 'Japanese Technical Literature Act of 1986'.''

SHORT TITLE

Section 1 of Pub. L. 96-480 provided: ''That this Act (enacting this chapter) may be cited as the 'Stevenson-Wydler Technology Innovation Act of 1980'.''

CONGRESSIONAL FINDINGS; 2000 AMENDMENT

Pub. L. 106-404, Sec. 2, Nov. 1, 2000, 114 Stat. 1742, provided that: ''The Congress finds that -

''(1) the importance of linking our unparalleled network of over 700 Federal laboratories and our Nation's universities with United States industry continues to hold great promise for our future economic prosperity;

''(2) the enactment of the Bayh-Dole Act (35 U.S.C. 200 et seq.) in 1980 was a landmark change in United States technology policy, and its success provides a framework for removing bureaucratic barriers and for simplifying the granting of licenses for inventions that are now in the Federal Government's patent portfolio;

''(3) Congress has demonstrated a commitment over the past 2 decades to fostering technology transfer from our Federal laboratories and to promoting public/private sector partnerships to enhance our international competitiveness;

''(4) Federal technology transfer activities have strengthened the ability of United States industry to compete in the global marketplace; developed a new paradigm for greater collaboration among the scientific enterprises that conduct our Nation's research and development - government, industry, and universities; and improved the quality of life for the American people, from medicine to materials;

''(5) the technology transfer process must be made 'industry friendly' for companies to be willing to invest the significant time and resources needed to develop new products, processes, and jobs using federally funded inventions; and

''(6) Federal technology licensing procedures should balance the public policy needs of adequately protecting the rights of the public, encouraging companies to develop existing government inventions, and making the entire system of licensing government technologies more consistent and simple.''

CONGRESSIONAL FINDINGS; 1996 AMENDMENT

Pub. L. 104-113, Sec. 2, Mar. 7, 1996, 110 Stat. 775, provided that: ''The Congress finds the following:

''(1) Bringing technology and industrial innovation to the marketplace is central to the economic, environmental, and social well-being of the people of the United States.

''(2) The Federal Government can help United States business to speed the development of new products and processes by entering into cooperative research and development agreements which make available the assistance of Federal laboratories to the private sector, but the commercialization of technology and industrial

innovation in the United States depends upon actions by business.

''(3) The commercialization of technology and industrial innovation in the United States will be enhanced if companies, in return for reasonable compensation to the Federal Government, can more easily obtain exclusive licenses to inventions which develop as a result of cooperative research with scientists employed by Federal laboratories.''

DEFINITIONS OF TERMS; 1992 AMENDMENT

Pub. L. 102-245, Sec. 2, Feb. 14, 1992, 106 Stat. 7, provided that: ''As used in this Act (see Short Title of 1992 Amendment note above) -

''(1) the term 'high-resolution information systems' means equipment and techniques required to create, store, recover, and play back high-resolution images and accompanying sound;

''(2) the term 'advanced manufacturing technology' means numerically-controlled machine tools, robots, automated process control equipment, computerized flexible manufacturing systems, associated computer software, and other technology for improving manufacturing and industrial processes;

''(3) the term 'advanced materials' means a field of research including the study of composites, ceramics, metals, polymers, superconducting materials, materials produced through biotechnology, and materials production technologies, including coated systems, that provide the potential for significant advantages over existing materials;

''(4) the term 'Institute' means the National Institute of Standards and Technology;

''(5) the term 'Secretary' means the Secretary of Commerce; and

''(6) the term 'Under Secretary' means the Under Secretary of Commerce for Technology.''

CONGRESSIONAL STATEMENT OF POLICY; 1992 AMENDMENT

Pub. L. 102-245, title I, Sec. 102, Feb. 14, 1992, 106 Stat. 7, provided that: ''Congress finds that in order to help United States industries to speed the development of new products and processes so as to maintain the economic competitiveness of the Nation, it is necessary to strengthen the programs and activities of the Department of Commerce's Technology Administration and National Institute of Standards and Technology.''

NATIONAL COMMISSION ON REDUCING CAPITAL COSTS FOR EMERGING TECHNOLOGY

Pub. L. 102-245, title IV, Sec. 401, Feb. 14, 1992, 106 Stat. 21, provided that:

''(a) Establishment and Purpose. - There is established a National Commission on Reducing Capital Costs for Emerging Technology (hereafter in this section referred to as the 'Commission'), for the purpose of developing recommendations to increase the competitiveness of United States industry by encouraging investments in research, the development of new process and product technologies, and the production of those technologies.

''(b) Issues. - The function of the Commission shall be to address the following issues:

''(1) How has the overall cost of capital paid by United States companies differed during the past decade from that paid by companies in other industrial economies such as Germany, Japan,

and the United Kingdom?

''(2) To what extent has the cost of capital faced by technology companies differed from the overall cost of capital in each of these nations during the same period?

''(3) To what extent do high capital costs in general inhibit investment in projects with long-term payoffs, such as the development and commercialization of new technology?

''(4) To what extent does the structure of the financial services industry in the United States affect the flow of capital to advanced technology investment, and to what extent do current practices in the equity markets raise the cost of capital and inhibit the availability of capital to fund research and development, purchase advanced manufacturing equipment, and fund other investments necessary to commercialize advanced technology?

''(5) In what ways do Government regulations influence the cost of capital in the United States?

''(6) To what extent have national differences in capital costs facilitated the foreign acquisition of technology-based United States companies?

''(7) What macroeconomic and other policies would promote greater investment in advanced manufacturing techniques, in research and development, and in other activities necessary to commercialize and produce new technologies?

''(8) What specific policies should the Federal Government follow in order to reduce the cost of capital for United States companies to levels that are near parity with those faced by the Nation's principal trading partners?

''(c) Membership. - (1) The Commission shall be composed of 9 members who are eminent in such fields as advanced technology, manufacturing, finance, and international economics and who are appointed as follows:

''(A) 3 individuals appointed by the President, one of whom shall chair the Commission.

''(B) 3 individuals appointed by the Speaker of the House of Representatives, 1 of whom shall be appointed upon the recommendation of the minority leader of the House of Representatives.

''(C) 3 individuals appointed by the President pro tempore of the Senate, 2 of whom shall be appointed upon the recommendation of the majority leader of the Senate and 1 of whom shall be appointed upon the recommendation of the minority leader of the Senate.

''(2) Each member shall be appointed for the life of the Commission. A vacancy in the Commission shall be filled in the manner in which the original appointment was made.

''(d) Procedures. - (1) The chairman shall call the first meeting of the Commission within 90 days after the date of enactment of this Act (Feb. 14, 1992).

''(2) Recommendations of the Commission shall require the approval of three-quarters of the members of the Commission.

''(3) The Commission may use such personnel detailed from Federal agencies as may be necessary to enable it to carry out its duties.

''(4) Members of the Commission, other than full-time employees of the Federal Government, while attending meetings of the

Commission while away from their homes or regular places of business, shall be allowed travel expenses in accordance with subchapter I of chapter 57 of title 5, United States Code.

''(e) Reports. - The Commission shall, within 1 year after the date of enactment of this Act (Feb. 14, 1992), submit to the President and Congress a report containing legislative and other recommendations with respect to the issues addressed under subsection (b).

''(f) Consultation. - The Commission shall consult, as appropriate, with the Commission on Technology and Procurement established by section 505 of this Act (set out below).

''(g) Termination. - The Commission shall terminate 6 months after the submission of its report under subsection (e).

''(h) Authorization of Appropriations. - There are authorized to be appropriated to carry out this section such sums as may be necessary for the fiscal years 1992 and 1993.''

RESEARCH, DEVELOPMENT, TECHNOLOGY UTILIZATION, AND GOVERNMENT PROCUREMENT POLICY

Pub. L. 102-245, title V, Sec. 505, Feb. 14, 1992, 106 Stat. 25, provided that:

''(a) Establishment of Commission. - The Secretary, in consultation with the Administrator of the Office of Federal Procurement Policy, shall establish a Commission on Technology and Procurement (hereafter in this section referred to as the 'Commission'), for the purposes of analyzing the effect of Federal Government procurement laws, procedures, and policies on the development of advanced technologies within the United States and making recommendations on how Federal policy could be changed to promote further the development of advanced technologies.

''(b) Issues. - The Commission shall address the following issues:

''(1) To what extent, if any, should Federal Government technology purchase strategies be used to give domestic suppliers a competitive advantage in new generations of existing technologies and in initial market penetration for new technologies?

''(2) Under what conditions can Federal Government purchases of advanced technology-based products be based on performance specifications rather than on product specifications? Should Federal Government procurement first look to the commercial markets for products that will meet performance specifications before purchasing a unique product that has to be developed?

''(3) How can the Federal Government procurement laws, practices, and procedures be used as a strategic tool to foster the use of emerging technologies?

''(4) How can the Federal Government ensure that its suppliers adopt the principles embodied in the Malcolm Baldrige National Quality Award?

''(5) Should Federal Government procurement practices include cooperative efforts between the supplier and the Federal entity to develop products so as to be more easily marketed on a commercial basis? Should a program for the exchange of technical personnel to foster innovation in product development be part of such practices?

CODIFICATION

Section was enacted as part of the American Technology Preeminence Act of 1991, and not as part of the Stevenson-Wydler Technology Innovation Act of 1980 which comprises this chapter.

-CITE-

15 USC Sec. 3704b-2 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3704b-2. Transfer of Federal scientific and technical information

-STATUTE-

(a) Transfer

The head of each Federal executive department or agency shall transfer in a timely manner to the National Technical Information Service unclassified scientific, technical, and engineering information which results from federally funded research and development activities for dissemination to the private sector, academia, State and local governments, and Federal agencies. Only information which would otherwise be available for public dissemination shall be transferred under this subsection. Such information shall include technical reports and information, computer software, application assessments generated pursuant to section 3710(c) of this title, and information regarding training technology and other federally owned or originated technologies. The Secretary shall issue regulations within one year after February 14, 1992, outlining procedures for the ongoing transfer of such information to the National Technical Information Service.

(b) Omitted

-SOURCE-

(Pub. L. 102-245, title I, Sec. 108, Feb. 14, 1992, 106 Stat. 13.)

-COD-

CODIFICATION

Subsec. (b) of this section, which required the Secretary, as part of the annual report required under section 3704b(f)(3) of this title, to report to Congress on the status of efforts under this section to ensure access to Federal scientific and technical information by the public, was omitted because of termination of the annual report. See Codification note set out after section 3704b of this title.

Section was enacted as part of the American Technology Preeminence Act of 1991, and not as part of the Stevenson-Wydler Technology Innovation Act of 1980 which comprises this chapter.

-CITE-

15 USC Sec. 3705 01/06/03

-EXPCITE-
TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-
Sec. 3705. Cooperative Research Centers

-STATUTE-
(a) Establishment

The Secretary shall provide assistance for the establishment of Cooperative Research Centers. Such Centers shall be affiliated with any university, or other nonprofit institution, or group thereof, that applies for and is awarded a grant or enters into a cooperative agreement under this section. The objective of the Centers is to enhance technological innovation through -

(1) the participation of individuals from industry and universities in cooperative technological innovation activities;

(2) the development of the generic research base, important for technological advance and innovative activity, in which individual firms have little incentive to invest, but which may have significant economic or strategic importance, such as manufacturing technology;

(3) the education and training of individuals in the technological innovation process;

(4) the improvement of mechanisms for the dissemination of scientific, engineering, and technical information among universities and industry;

(5) the utilization of the capability and expertise, where appropriate, that exists in Federal laboratories; and

(6) the development of continuing financial support from other mission agencies, from State and local government, and from industry and universities through, among other means, fees, licenses, and royalties.

(b) Activities

The activities of the Centers shall include, but need not be limited to -

(1) research supportive of technological and industrial innovation including cooperative industry-university research;

(2) assistance to individuals and small businesses in the generation, evaluation, and development of technological ideas supportive of industrial innovation and new business ventures;

(3) technical assistance and advisory services to industry, particularly small businesses; and

(4) curriculum development, training, and instruction in invention, entrepreneurship, and industrial innovation.

Each Center need not undertake all of the activities under this subsection.

(c) Requirements

Prior to establishing a Center, the Secretary shall find that -

(1) consideration has been given to the potential contribution of the activities proposed under the Center to productivity, employment, and economic competitiveness of the United States;

(2) a high likelihood exists of continuing participation, advice, financial support, and other contributions from the

''(6) To what extent, if any, should Federal Government documents specify standards that are beneficial to domestic suppliers, aid the compatibility of advanced technologies, and speed the commercial acceptance of those technologies, and what would be the role of the Institute in such an effort?

''(7) Should Federal Government procurement be linked to the Advanced Technology Program and to technology transfer activities so that specification development can incorporate the latest technical advances available?

''(8) To what extent should worldwide, state of the art technology be required in Federal Government procurement?

''(c) Membership and Procedures. - (1) The Commission shall be composed of 15 members, 8 of whom shall constitute a quorum.

''(2) The Secretary, the Administrator of the Office of Federal Procurement Policy, the Director of the Office of Science and Technology Policy, the Secretary of Defense, and the Administrator of General Services, or their designees who serve in executive level positions, shall serve as members of the Commission.

''(3) The Secretary shall appoint as members of the Commission, from among individuals not employed by the Federal Government -

''(A) 4 members who are eminent in advanced technology businesses representing manufacturing and services industries, including at least 1 member representing labor;

''(B) 3 members who are eminent in the fields of technology and international economic development; and

''(C) with the concurrence of the Administrator of the Office of Federal Procurement Policy, 3 members who are eminent in the field of Federal Government procurement.

''(4) The Secretary shall appoint a Commission chairman from among the members of the Commission. The chairman shall call the first meeting of the Commission within 90 days after the date of enactment of this Act (Feb. 14, 1992).

''(5) The Secretary and the Administrator of the Office of Federal Procurement Policy shall provide such staff as may be required by the Commission to carry out its responsibilities.

''(6) Members of the Commission, other than full-time employees of the Federal Government, while attending meetings of the Commission or otherwise performing duties of the Commission while away from their homes or regular places of business, shall be allowed travel expenses in accordance with subchapter I of chapter 57 of title 5, United States Code.

''(d) Reports. - (1) The Commission shall, within 1 year after the date of enactment of this Act (Feb. 14, 1992), submit to the Secretary, the Administrator of the Office of Federal Procurement Policy, the President, and Congress a report containing preliminary recommendations with respect to the issues addressed under subsection (b).

''(2) The Commission shall, within 2 years after the date of enactment of this Act, submit to the Secretary and Congress a final report containing final recommendations with respect to the issues addressed under subsection (b).

''(e) Consultation. - The Commission shall consult, as appropriate, with the National Commission on Reducing Capital Costs for Emerging Technology.

''(f) Termination. - The Commission shall terminate 6 months after the submission of its final report under subsection (d)(2).

''(g) Authorization of Appropriations. - There are authorized to be appropriated to carry out this section such sums as may be necessary for the fiscal years 1992, 1993, and 1994.''

STUDY OF TESTING AND CERTIFICATION

Pub. L. 102-245, title V, Sec. 508, Feb. 14, 1992, 106 Stat. 29, provided that:

''(a) Contract With National Research Council. - Within 90 days after the date of enactment of this Act (Feb. 14, 1992) and within available appropriations, the Secretary shall enter into a contract with the National Research Council for a thorough review of international product testing and certification issues. The National Research Council will be asked to address the following issues and make recommendations as appropriate:

''(1) The impact on United States manufacturers, testing and certification laboratories, certification organizations, and other affected bodies of the European Community's plans for testing and certification of regulated and nonregulated products of non-European origin.

''(2) Ways for United States manufacturers to gain acceptance of their products in the European Community and in other foreign countries and regions.

''(3) The feasibility and consequences of having mutual recognition agreements between testing and certification organizations in the United States and those of major trading partners on the accreditation of testing and certification laboratories and on quality control requirements.

''(4) Information coordination regarding product acceptance and conformity assessment mechanisms between the United States and foreign governments.

''(5) The appropriate Federal, State, and private roles in coordination and oversight of testing, certification, accreditation, and quality control to support national and international trade.

''(b) Membership. - In selecting the members of the review panel, the National Research Council shall consult with and draw from, among others, laboratory accreditation organizations, Federal and State government agencies involved in testing and certification, professional societies, trade associations, small business, and labor organizations.

''(c) Report. - A report based on the findings and recommendations of the review panel shall be submitted to the Secretary, the President, and Congress within 18 months after the Secretary signs the contract with the National Research Council.''

CONGRESSIONAL FINDINGS AND PURPOSES; 1989 AMENDMENT

Pub. L. 101-189, div. C, title XXXI, Sec. 3132, Nov. 29, 1989, 103 Stat. 1674, provided that:

''(a) Findings. - Congress finds that -

''(1) technology advancement is a key component in the growth of the United States industrial economy, and a strong industrial base is an essential element of the security of this country;

''(2) there is a need to enhance United States competitiveness in both domestic and international markets;

''(3) innovation and the rapid application of commercially valuable technology are assuming a more significant role in near-term marketplace success;

''(4) the Federal laboratories and other facilities have outstanding capabilities in a variety of advanced technologies and skilled scientists, engineers, and technicians who could contribute substantially to the posture of United States industry in international competition;

''(5) improved opportunities for cooperative research and development agreements between contractor-managers of certain Federal laboratories and the private sector in the United States, consistent with the program missions at those facilities, particularly the national security functions involved in atomic energy defense activities, would contribute to our national well-being; and

''(6) more effective cooperation between those laboratories and the private sector in the United States is required to provide speed and certainty in the technology transfer process.

''(b) Purposes. - The purposes of this part (part C (Sec. 3131-3133) of title XXXI of div. C of Pub. L. 101-189, see Short Title of 1989 Amendment note above) are to -

''(1) enhance United States national security by promoting technology transfer between Government-owned, contractor-operated laboratories and the private sector in the United States; and

''(2) enhance collaboration between universities, the private sector, and Government-owned, contractor-operated laboratories in order to foster the development of technologies in areas of significant economic potential.''

-EXEC-

EX. ORD. NO. 13185. TO STRENGTHEN THE FEDERAL GOVERNMENT-UNIVERSITY RESEARCH PARTNERSHIP

Ex. Ord. No. 13185, Dec. 28, 2000, 66 F.R. 701, provided:

By the authority vested in me as President by the Constitution and the laws of the United States of America, and in order to keep the Federal Government-University research partnership strong, it is hereby ordered as follows:

Section 1. Principles of the Government-University Partnership. The partnership in science and technology that has evolved between the Federal Government and American universities has yielded benefits that are vital to each. It continues to prove exceptionally productive, successfully promoting the discovery of knowledge, stimulating technological innovation, improving the quality of life, educating and training the next generation of scientists and engineers, and contributing to America's economic prosperity and national security. In order to reaffirm and strengthen this partnership, this order sets forth the following guiding and operating principles that are fully described in the April 1999 National Science and Technology Council report, ''Renewing the Government-University Partnership.'' These principles shall provide the framework for the development and analysis of all future Federal policies, rules, and regulations for the Federal Government-University research partnership.

(a) The guiding principles that shall govern interactions between

the Federal Government and universities that perform research are:

(1) Research is an investment in the future;

(2) The integration of research and education is vital;

(3) Excellence is promoted when investments are guided by merit review; and

(4) Research must be conducted with integrity.

(b) The operating principles that shall assist agencies, universities, individual researchers, and auditing and regulatory bodies in implementing the guiding principles are:

(1) Agency cost-sharing policies and practices must be transparent;

(2) Partners should respect the merit review process;

(3) Agencies and universities should manage research in a cost-efficient manner;

(4) Accountability and accounting are not the same;

(5) The benefits of simplicity in policies and practices should be weighed against the costs;

(6) Change should be justified by need and the process made transparent.

(c) Each executive branch department or agency that supports research at universities shall regularly review its existing policies and procedures to ensure that they meet the spirit and intent of the guiding and operating principles stated above.

Sec. 2. Office of Science and Technology (OSTP) Review of the Government-University Research Partnership. (a) The OSTP, in conjunction with the National Science and Technology Council, shall conduct a regular review of the Government-University research partnership and prepare a report on the status of the partnership. The OSTP should receive input from all departments or agencies that have a major impact on the Government-University partnership through their support of research and education, policy making, regulatory activities, and research administration. In addition, OSTP may seek the input of the National Science Board and the President's Committee of Advisors for Science and Technology, as well as other stakeholders, such as State and local governments, industry, the National Academy of Sciences, and the Federal Demonstration Partnership.

(b) The purpose of the review and the report is to determine the overall health of the Government-University research partnership, being mindful of the guiding and operating principles stated above. The report should include recommendations on how to improve the Government-University partnership.

(c) The Director of OSTP shall deliver the report to the President.

Sec. 3. Judicial Review. This order does not create any enforceable rights against the United States, its agencies, its officers, or any person.

William J. Clinton.

-CITE-

15 USC Sec. 3702 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE

CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-
Sec. 3702. Purpose

-STATUTE-
It is the purpose of this chapter to improve the economic, environmental, and social well-being of the United States by -

(1) establishing organizations in the executive branch to study and stimulate technology;

(2) promoting technology development through the establishment of cooperative research centers;

(3) stimulating improved utilization of federally funded technology developments, including inventions, software, and training technologies, by State and local governments and the private sector;

(4) providing encouragement for the development of technology through the recognition of individuals and companies which have made outstanding contributions in technology; and

(5) encouraging the exchange of scientific and technical personnel among academia, industry, and Federal laboratories.

-SOURCE-
(Pub. L. 96-480, Sec. 3, Oct. 21, 1980, 94 Stat. 2312; Pub. L. 99-502, Sec. 9(b)(1), (f)(2), Oct. 20, 1986, 100 Stat. 1795, 1797.)

-MISC1-
AMENDMENTS

1986 - Par. (2). Pub. L. 99-502, Sec. 9(b)(1), substituted ''cooperative research centers'' for ''centers for industrial technology''.

Par. (3). Pub. L. 99-502, Sec. 9(f)(2), inserted '', including inventions, software, and training technologies,''.

-CITE-
15 USC Sec. 3703 01/06/03

-EXPCITE-
TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-
Sec. 3703. Definitions

-STATUTE-
As used in this chapter, unless the context otherwise requires, the term -

(1) ''Office'' means the Office of Technology Policy established under section 3704 of this title.

(2) ''Secretary'' means the Secretary of Commerce.

(3) ''Under Secretary'' means the Under Secretary of Commerce for Technology appointed under section 3704(b)(1) of this title.

(4) ''Centers'' means the Cooperative Research Centers established under section 3705 or 3707 of this title.

(5) ''Nonprofit institution'' means an organization owned and

operated exclusively for scientific or educational purposes, no part of the net earnings of which inures to the benefit of any private shareholder or individual.

(6) ''Federal laboratory'' means any laboratory, any federally funded research and development center, or any center established under section 3705 or 3707 of this title that is owned, leased, or otherwise used by a Federal agency and funded by the Federal Government, whether operated by the Government or by a contractor.

(7) ''Supporting agency'' means either the Department of Commerce or the National Science Foundation, as appropriate.

(8) ''Federal agency'' means any executive agency as defined in section 105 of title 5 and the military departments as defined in section 102 of such title, as well as any agency of the legislative branch of the Federal Government.

(9) ''Invention'' means any invention or discovery which is or may be patentable or otherwise protected under title 35 or any novel variety of plant which is or may be protectable under the Plant Variety Protection Act (7 U.S.C. 2321 et seq.).

(10) ''Made'' when used in conjunction with any invention means the conception or first actual reduction to practice of such invention.

(11) ''Small business firm'' means a small business concern as defined in section 632 of this title and implementing regulations of the Administrator of the Small Business Administration.

(12) ''Training technology'' means computer software and related materials which are developed by a Federal agency to train employees of such agency, including but not limited to software for computer-based instructional systems and for interactive video disc systems.

(13) ''Clearinghouse'' means the Clearinghouse for State and Local Initiatives on Productivity, Technology, and Innovation established by section 3704a of this title.

-SOURCE-

(Pub. L. 96-480, Sec. 4, Oct. 21, 1980, 94 Stat. 2312; Pub. L. 99-502, Sec. 9(b)(2), (d), Oct. 20, 1986, 100 Stat. 1795, 1796; Pub. L. 100-418, title V, Sec. 5122(b), Aug. 23, 1988, 102 Stat. 1439; Pub. L. 100-519, title II, Sec. 201(d)(1), Oct. 24, 1988, 102 Stat. 2594; Pub. L. 102-245, title III, Sec. 304, Feb. 14, 1992, 106 Stat. 20; Pub. L. 106-404, Sec. 7(1), (2), Nov. 1, 2000, 114 Stat. 1745.)

-REFTEXT-

REFERENCES IN TEXT

The Plant Variety Protection Act, referred to in par. (9), is Pub. L. 91-577, Dec. 24, 1970, 84 Stat. 1542, as amended, which is classified principally to chapter 57 (Sec. 2321 et seq.) of Title 7, Agriculture. For complete classification of this Act to the Code, see Short Title note set out under section 2321 of Title 7 and Tables.

-MISC2-

AMENDMENTS

2000 - Pars. (4), (6). Pub. L. 106-404 made technical amendments to references in original act which appear in text as references to sections 3705 and 3707 of this title.

1992 - Par. (8). Pub. L. 102-245 inserted before period at end '', as well as any agency of the legislative branch of the Federal Government''.

1988 - Par. (1). Pub. L. 100-519, Sec. 201(d)(1)(A), substituted ''Technology Policy'' for ''Productivity, Technology, and Innovation''.

Par. (3). Pub. L. 100-519, Sec. 201(d)(1)(B), amended par. (3) generally, substituting provisions defining ''Under Secretary'' for provisions defining ''Assistant Secretary''.

Par. (13). Pub. L. 100-418 added par. (13).

1986 - Par. (1). Pub. L. 99-502, Sec. 9(b)(2)(A), substituted ''Productivity, Technology, and Innovation'' for ''Industrial Technology''.

Par. (3). Pub. L. 99-502, Sec. 9(b)(2)(B), substituted '' 'Assistant Secretary' means the Assistant Secretary for Productivity, Technology, and Innovation'' for '' 'Director' means the Director of the Office of Industrial Technology''.

Par. (4). Pub. L. 99-502, Sec. 9(b)(2)(C), substituted ''Cooperative Research Centers'' for ''Centers for Industrial Technology''.

Par. (6). Pub. L. 99-502, Sec. 9(b)(2)(D), (E), redesignated par. (7) as (6), substituted ''owned, leased, or otherwise used by a Federal agency and funded'' for ''owned and funded'', and struck out former par. (6) which defined ''Board'' to mean the National Industrial Technology Board established pursuant to section 3709 of this title.

Pars. (7) to (12). Pub. L. 99-502, Sec. 9(b)(2)(D), (d), redesignated pars. (7) and (8) as (6) and (7), respectively, and added pars. (8) to (12).

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in section 638 of this title.

-CITE-

15 USC Sec. 3704 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3704. Commerce and technological innovation

-STATUTE-

(a) Establishment

There is established in the Department of Commerce a Technology Administration, which shall operate in accordance with the provisions, findings, and purposes of this chapter. The Technology Administration shall include -

(1) the National Institute of Standards and Technology;

(2) the National Technical Information Service; and

(3) a policy analysis office, which shall be known as the Office of Technology Policy.

(b) Under Secretary and Assistant Secretary

The President shall appoint, by and with the advice and consent of the Senate, to the extent provided for in appropriations Acts -

(1) an Under Secretary of Commerce for Technology, who shall be compensated at the rate provided for level III of the Executive Schedule in section 5314 of title 5; and

(2) an Assistant Secretary of Commerce for Technology Policy, who shall serve as policy analyst for the Under Secretary.

(c) Duties

The Secretary, through the Under Secretary, as appropriate, shall -

(1) manage the Technology Administration and supervise its agencies, programs, and activities;

(2) conduct technology policy analyses to improve United States industrial productivity, technology, and innovation, and cooperate with United States industry in the improvement of its productivity, technology, and ability to compete successfully in world markets;

(3) carry out any functions formerly assigned to the Office of Productivity, Technology, and Innovation;

(4) assist in the implementation of the Metric Conversion Act of 1975 (15 U.S.C. 205a et seq.);

(5) determine the relationships of technological developments and international technology transfers to the output, employment, productivity, and world trade performance of United States and foreign industrial sectors;

(6) determine the influence of economic, labor and other conditions, industrial structure and management, and government policies on technological developments in particular industrial sectors worldwide;

(7) identify technological needs, problems, and opportunities within and across industrial sectors that, if addressed, could make a significant contribution to the economy of the United States;

(8) assess whether the capital, technical and other resources being allocated to domestic industrial sectors which are likely to generate new technologies are adequate to meet private and social demands for goods and services and to promote productivity and economic growth;

(9) propose and support studies and policy experiments, in cooperation with other Federal agencies, to determine the effectiveness of measures with the potential of advancing United States technological innovation;

(10) provide that cooperative efforts to stimulate industrial innovation be undertaken between the Under Secretary and other officials in the Department of Commerce responsible for such areas as trade and economic assistance;

(11) encourage and assist the creation of centers and other joint initiatives by State or local governments, regional organizations, private businesses, institutions of higher education, nonprofit organizations, or Federal laboratories to

encourage technology transfer, to stimulate innovation, and to promote an appropriate climate for investment in technology-related industries;

(12) propose and encourage cooperative research involving appropriate Federal entities, State or local governments, regional organizations, colleges or universities, nonprofit organizations, or private industry to promote the common use of resources, to improve training programs and curricula, to stimulate interest in high technology careers, and to encourage the effective dissemination of technology skills within the wider community;

(13) serve as a focal point for discussions among United States companies on topics of interest to industry and labor, including discussions regarding manufacturing and discussions regarding emerging technologies;

(14) consider government measures with the potential of advancing United States technological innovation and exploiting innovations of foreign origin; and

(15) publish the results of studies and policy experiments.

(d) Japanese technical literature

(1) In addition to the duties specified in subsection (c) of this section, the Secretary and the Under Secretary shall establish, and through the National Technical Information Service and with the cooperation of such other offices within the Department of Commerce as the Secretary considers appropriate, maintain a program (including an office in Japan) which shall, on a continuing basis -

(A) monitor Japanese technical activities and developments;

(B) consult with businesses, professional societies, and libraries in the United States regarding their needs for information on Japanese developments in technology and engineering;

(C) acquire and translate selected Japanese technical reports and documents that may be of value to agencies and departments of the Federal Government, and to businesses and researchers in the United States; and

(D) coordinate with other agencies and departments of the Federal Government to identify significant gaps and avoid duplication in efforts by the Federal Government to acquire, translate, index, and disseminate Japanese technical information.

Activities undertaken pursuant to subparagraph (C) of this paragraph shall only be performed on a cost-reimbursable basis. Translations referred to in such subparagraph shall be performed only to the extent that they are not otherwise available from sources within the private sector in the United States.

(2) Beginning in 1986, the Secretary shall prepare annual reports regarding important Japanese scientific discoveries and technical innovations in such areas as computers, semiconductors, biotechnology, and robotics and manufacturing. In preparing such reports, the Secretary shall consult with professional societies and businesses in the United States. The Secretary may, to the extent provided in advance by appropriation Acts, contract with private organizations to acquire and translate Japanese scientific and technical information relevant to the preparation of such reports.

(3) The Secretary also shall encourage professional societies and private businesses in the United States to increase their efforts to acquire, screen, translate, and disseminate Japanese technical literature.

(4) In addition, the Secretary shall compile, publish, and disseminate an annual directory which lists -

(A) all programs and services in the United States that collect, abstract, translate, and distribute Japanese scientific and technical information; and

(B) all translations of Japanese technical documents performed by agencies and departments of the Federal Government in the preceding 12 months that are available to the public.

(5) The Secretary shall transmit to the Congress, within 1 year after August 14, 1986, a report on the activities of the Federal Government to collect, abstract, translate, and distribute declassified Japanese scientific and technical information.

(e) Omitted

(f) Experimental Program to Stimulate Competitive Technology

(1) In general

The Secretary, acting through the Under Secretary, shall establish for fiscal year 1999 a program to be known as the Experimental Program to Stimulate Competitive Technology (referred to in this subsection as the ''program''). The purpose of the program shall be to strengthen the technological competitiveness of those States that have historically received less Federal research and development funds than those received by a majority of the States.

(2) Arrangements

In carrying out the program, the Secretary, acting through the Under Secretary, shall -

(A) enter into such arrangements as may be necessary to provide for the coordination of the program through the State committees established under the Experimental Program to Stimulate Competitive Research of the National Science Foundation; and

(B) cooperate with -

(i) any State science and technology council established under the program under subparagraph (A); and

(ii) representatives of small business firms and other appropriate technology-based businesses.

(3) Grants and cooperative agreements

In carrying out the program, the Secretary, acting through the Under Secretary, may make grants or enter into cooperative agreements to provide for -

(A) technology research and development;

(B) technology transfer from university research;

(C) technology deployment and diffusion; and

(D) the strengthening of technological capabilities through consortia comprised of -

(i) technology-based small business firms;

(ii) industries and emerging companies;

(iii) universities; and

(iv) State and local development agencies and entities.

(4) Requirements for making awards

(A) In general

In making awards under this subsection, the Secretary, acting through the Under Secretary, shall ensure that the awards are awarded on a competitive basis that includes a review of the merits of the activities that are the subject of the award.

(B) Matching requirement

The non-Federal share of the activities (other than planning activities) carried out under an award under this subsection shall be not less than 25 percent of the cost of those activities.

(5) Criteria for States

The Secretary, acting through the Under Secretary, shall establish criteria for achievement by each State that participates in the program. Upon the achievement of all such criteria, a State shall cease to be eligible to participate in the program.

(6) Coordination

To the extent practicable, in carrying out this subsection, the Secretary, acting through the Under Secretary, shall coordinate the program with other programs of the Department of Commerce.

(7) Report

(A) In general

Not later than 90 days after October 30, 1998, the Under Secretary shall prepare and submit a report that meets the requirements of this paragraph to the Secretary. Upon receipt of the report, the Secretary shall transmit a copy of the report to the Committee on Commerce, Science, and Transportation of the Senate and the Committee on Science of the House of Representatives.

(B) Requirements for report

The report prepared under this paragraph shall contain with respect to the program -

(i) a description of the structure and procedures of the program;

(ii) a management plan for the program;

(iii) a description of the merit-based review process to be used in the program;

(iv) milestones for the evaluation of activities to be assisted under the program in fiscal year 1999;

(v) an assessment of the eligibility of each State that participates in the Experimental Program to Stimulate Competitive Research of the National Science Foundation to participate in the program under this subsection; and

(vi) the evaluation criteria with respect to which the overall management and effectiveness of the program will be evaluated.

-SOURCE-

(Pub. L. 96-480, Sec. 5, Oct. 21, 1980, 94 Stat. 2312; Pub. L. 99-382, Sec. 2, Aug. 14, 1986, 100 Stat. 811; Pub. L. 99-502, Sec. 9(b)(3)-(5), (e)(2)(A), Oct. 20, 1986, 100 Stat. 1795, 1797; Pub. L. 100-519, title II, Sec. 201(a)-(c), (d)(2), Oct. 24, 1988, 102 Stat. 2593, 2594; Pub. L. 102-245, title III, Sec. 306, Feb. 14, 1992, 106 Stat. 20; Pub. L. 105-309, Sec. 9, Oct. 30, 1998, 112

Stat. 2938; Pub. L. 106-404, Sec. 7(3), Nov. 1, 2000, 114 Stat. 1745.)

-REFTEXT-

REFERENCES IN TEXT

The Metric Conversion Act of 1975, referred to in subsec. (c)(4), is Pub. L. 94-168, Dec. 23, 1975, 89 Stat. 1007, as amended, which is classified generally to subchapter II (Sec. 205a et seq.) of chapter 6 of this title. For complete classification of this Act to the Code, see Short Title note set out under section 205a of this title and Tables.

-COD-

CODIFICATION

Subsec. (e) of this section, which required Secretary to prepare and submit to President and Congress, within 3 years after Oct. 21, 1980, a report on progress, findings, and conclusions of activities conducted pursuant to this section and sections 3705, 3707, 3710, 3711, and 3712 of this title (as then in effect) and recommendations for possible modifications thereof, was omitted from the Code.

-MISC3-

AMENDMENTS

2000 - Subsec. (c)(11). Pub. L. 106-404 substituted ''State or local governments'' for ''State of local governments''.

1998 - Subsec. (f). Pub. L. 105-309 added subsec. (f).

1992 - Subsec. (c)(13) to (15). Pub. L. 102-245 added par. (13) and redesignated former pars. (13) and (14) as (14) and (15), respectively.

1988 - Subsec. (a). Pub. L. 100-519, Sec. 201(a), amended subsec. (a) generally. Prior to amendment, subsec. (a) read as follows: ''The Secretary shall establish and maintain an Office of Productivity, Technology, and Innovation in accordance with the provisions, findings, and purposes of this chapter.''

Subsec. (b). Pub. L. 100-519, Sec. 201(b), amended subsec. (b) generally. Prior to amendment, subsec. (b) read as follows: ''The President shall appoint, by and with the advice and consent of the Senate, an Assistant Secretary for Productivity, Technology, and Innovation.''

Subsec. (c). Pub. L. 100-519, Sec. 201(c)(2), substituted ''Under Secretary, as appropriate,'' for ''Assistant Secretary, on a continuing basis,'' in introductory provisions.

Subsec. (c)(1) to (9). Pub. L. 100-519, Sec. 201(c)(1), (2), added pars. (1) to (4) and redesignated former pars. (1) to (5) as (5) to (9), respectively. Former pars. (6) to (9) redesignated (10) to (13), respectively.

Subsec. (c)(10). Pub. L. 100-519, Sec. 201(c)(1), (3), redesignated former par. (6) as (10) and substituted ''Under Secretary'' for ''Assistant Secretary''. Former par. (10) redesignated (14).

Subsec. (c)(11) to (14). Pub. L. 100-519, Sec. 201(c)(1), redesignated former pars. (7) to (10) as (11) to (14), respectively.

Subsec. (d)(1). Pub. L. 100-519, Sec. 201(d)(2), substituted ''and the Under Secretary shall establish, and through the National Technical Information Service and with the cooperation of'' for ''shall establish and, through the National Technical Information Service and''.

1986 - Subsec. (a). Pub. L. 99-502, Sec. 9(b)(3), substituted ''Office of Productivity, Technology, and Innovation'' for ''Office of Industrial Technology''.

Subsec. (b). Pub. L. 99-502, Sec. 9(b)(4), substituted ''an Assistant Secretary for Productivity, Technology, and Innovation'' for ''a Director of the Office, who shall be compensated at the rate provided for level V of the Executive Schedule in section 5316 of title 5''.

Subsec. (c). Pub. L. 99-502, Sec. 9(b)(5)(A), substituted ''the Assistant Secretary'' for ''the Director'' in provisions preceding par. (1).

Subsec. (c)(6). Pub. L. 99-502, Sec. 9(b)(5)(A), substituted ''the Assistant Secretary'' for ''the Director''.

Subsec. (c)(7) to (10). Pub. L. 99-502, Sec. 9(b)(5)(B), (C), added pars. (7) and (8) and redesignated former pars. (7) and (8) as (9) and (10), respectively.

Subsec. (d). Pub. L. 99-382, Sec. 2(2), added subsec. (d). Former subsec. (d) redesignated (e).

Subsec. (e). Pub. L. 99-502, Sec. 9(e)(2)(A), which directed the insertion of ''(as then in effect)'' in subsec. (d), was executed to subsec. (e) to reflect the probable intent of Congress in view of the redesignation of subsec. (d) as (e) by Pub. L. 99-382.

Pub. L. 99-382, Sec. 2(1), redesignated subsec. (d) as (e).

TRANSITION PROVISION

Section 201(e) of Pub. L. 100-519 provided that: ''The individual serving as the Assistant Secretary of Commerce for Productivity, Technology, and Innovation immediately before the date of enactment of this Act (Oct. 24, 1988) shall serve as Acting Assistant Secretary of Commerce for Technology Policy until the Assistant Secretary takes office.''

COMMERCIAL SPACE PROGRAMS

Section 201(f) of Pub. L. 100-519, as added by Pub. L. 100-685, title II, Sec. 219, Nov. 17, 1988, 102 Stat. 4095, provided that: ''Nothing in this section (amending sections 3703, 3704, and 3710 of this title and section 5314 of Title 5, Government Organization and Employees, and enacting provisions set out as a note above) authorizes the Department to establish an Office of Commercial Space Programs or to place such an office into the Technology Administration without prior authorization of the Congress.''

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in sections 3703, 3713 of this title.

-CITE-

15 USC Sec. 3704a 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE

CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3704a. Clearinghouse for State and Local Initiatives on Productivity, Technology, and Innovation

-STATUTE-

(a) Establishment

There is established within the Office of Productivity, Technology, and Innovation a Clearinghouse for State and Local Initiatives on Productivity, Technology, and Innovation. The Clearinghouse shall serve as a central repository of information on initiatives by State and local governments to enhance the competitiveness of American business through the stimulation of productivity, technology, and innovation and Federal efforts to assist State and local governments to enhance competitiveness.

(b) Responsibilities

The Clearinghouse may -

(1) establish relationships with State and local governments, and regional and multistate organizations of such governments, which carry out such initiatives;

(2) collect information on the nature, extent, and effects of such initiatives, particularly information useful to the Congress, Federal agencies, State and local governments, regional and multistate organizations of such governments, businesses, and the public throughout the United States;

(3) disseminate information collected under paragraph (2) through reports, directories, handbooks, conferences, and seminars;

(4) provide technical assistance and advice to such governments with respect to such initiatives, including assistance in determining sources of assistance from Federal agencies which may be available to support such initiatives;

(5) study ways in which Federal agencies, including Federal laboratories, are able to use their existing policies and programs to assist State and local governments, and regional and multistate organizations of such governments, to enhance the competitiveness of American business;

(6) make periodic recommendations to the Secretary, and to other Federal agencies upon their request, concerning modifications in Federal policies and programs which would improve Federal assistance to State and local technology and business assistance programs;

(7) develop methodologies to evaluate State and local programs, and, when requested, advise State and local governments, and regional and multistate organizations of such governments, as to which programs are most effective in enhancing the competitiveness of American business through the stimulation of productivity, technology, and innovation; and

(8) make use of, and disseminate, the nationwide study of State industrial extension programs conducted by the Secretary.

(c) Contracts

In carrying out subsection (b) of this section, the Secretary may enter into contracts for the purpose of collecting information on

the nature, extent, and effects of initiatives.

-SOURCE-
(Pub. L. 96-480, Sec. 6, as added Pub. L. 100-418, title V, Sec. 5122(a)(2), Aug. 23, 1988, 102 Stat. 1438.)

-COD-
CODIFICATION

Subsec. (d) of this section, which required the Secretary to prepare and transmit a triennial report to Congress, including recommendations to the President, Congress, and Federal agencies, on initiatives by State and local governments to enhance the competitiveness of American businesses through the stimulation of productivity, technology, and innovation, terminated, effective May 15, 2000, pursuant to section 3003 of Pub. L. 104-66, as amended, set out as a note under section 1113 of Title 31, Money and Finance. See, also, page 50 of House Document No. 103-7.

-SECREF-
SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in sections 3703, 3713, 3715 of this title.

-CITE-
15 USC Sec. 3704b 01/06/03

-EXPCITE-
TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-
Sec. 3704b. National Technical Information Service

-STATUTE-
(a) Powers

(1) The Secretary of Commerce, acting through the Director of the National Technical Information Service (hereafter in this section referred to as the ''Director'') is authorized to do the following:

(A) Enter into such contracts, cooperative agreements, joint ventures, and other transactions, in accordance with all relevant provisions of Federal law applicable to such contracts and agreements, and under reasonable terms and conditions, as may be necessary in the conduct of the business of the National Technical Information Service (hereafter in this section referred to as the ''Service'').

(B) In addition to the authority regarding fees contained in section 2 of the Act entitled ''An Act to provide for the dissemination of technological, scientific, and engineering information to American business and industry, and for other purposes'' enacted September 9, 1950 (15 U.S.C. 1152), retain and, subject to appropriations Acts, utilize its net revenues to the extent necessary to implement the plan submitted under subsection (f)(3)(D) of this section.

(C) Enter into contracts for the performance of part or all of

the functions performed by the Promotion Division of the Service prior to October 24, 1988. The details of any such contract, and a statement of its effect on the operations and personnel of the Service, shall be provided to the appropriate committees of the Congress 30 days in advance of the execution of such contract.

(D) Employ such personnel as may be necessary to conduct the business of the Service.

(E) For the period of October 1, 1991 through September 30, 1992, only, retain and use all earned and unearned monies heretofore or hereafter received, including receipts, revenues, and advanced payments and deposits, to fund all obligations and expenses, including inventories and capital equipment.

An increase or decrease in the personnel of the Service shall not affect or be affected by any ceilings on the number or grade of personnel.

(2) The functions and activities of the Service specified in subsection (e)(1) through (6) of this section are permanent Federal functions to be carried out by the Secretary through the Service and its employees, and shall not be transferred from the Service, by contract or otherwise, to the private sector on a permanent or temporary basis without express approval of the Congress. Functions or activities -

(A) for the procurement of supplies, materials, and equipment by the Service;

(B) referred to in paragraph (1)(C); or

(C) to be performed through joint ventures or cooperative agreements which do not result in a reduction in the Federal workforce of the affected programs of the service, (FOOTNOTE 1)

(FOOTNOTE 1) So in original. Probably should be capitalized.

shall not be considered functions or activities for purposes of this paragraph.

(3) For the purposes of this subsection, the term ''net revenues'' means the excess of revenues and receipts from any source, other than royalties and other income described in section 3710c(a)(4) (FOOTNOTE 2) of this title, over operating expenses.

(FOOTNOTE 2) See References in Text note below.

(4) Omitted.

(b) Director of the Service

The management of the Service shall be vested in a Director who shall report to the Under Secretary of Commerce for Technology and the Secretary of Commerce.

(c) Advisory Board

(1) There is established the Advisory Board of the National Technical Information Service, which shall be composed of a chairman and four other members appointed by the Secretary.

(2) In appointing members of the Advisory Board the Secretary shall solicit recommendations from the major users and beneficiaries of the Service's activities and shall select individuals experienced in providing or utilizing technical information.

(3) The Advisory Board shall review the general policies and operations of the Service, including policies in connection with fees and charges for its services, and shall advise the Secretary and the Director with respect thereto.

(4) The Advisory Board shall meet at the call of the Secretary, but not less often than once each six months.

(d) Audits

The Secretary of Commerce shall provide for annual independent audits of the Service's financial statements beginning with fiscal year 1988, to be conducted in accordance with generally accepted accounting principles.

(e) Functions

The Secretary of Commerce, acting through the Service, shall -

(1) establish and maintain a permanent repository of nonclassified scientific, technical, and engineering information;

(2) cooperate and coordinate its operations with other Government scientific, technical, and engineering information programs;

(3) make selected bibliographic information products available in a timely manner to depository libraries as part of the Depository Library Program of the Government Printing Office;

(4) in conjunction with the private sector as appropriate, collect, translate into English, and disseminate unclassified foreign scientific, technical, and engineering information;

(5) implement new methods or media for the dissemination of scientific, technical, and engineering information, including producing and disseminating information products in electronic format; and

(6) carry out the functions and activities of the Secretary under the Act entitled ''An Act to provide for the dissemination of technological, scientific, and engineering information to American business and industry, and for other purposes'' enacted September 9, 1950 (15 U.S.C. 1151 et seq.), and the functions and activities of the Secretary performed through the National Technical Information Service as of October 24, 1988, under this chapter.

(f) Notification of Congress

(1) The Secretary of Commerce and the Director shall keep the appropriate committees of Congress fully and currently informed about all activities related to the carrying out of the functions of the Service, including changes in fee policies.

(2) Within 90 days after October 24, 1988, the Secretary of Commerce shall submit to the Congress a report on the current fee structure of the Service, including an explanation of the basis for the fees, taking into consideration all applicable costs, and the adequacy of the fees, along with reasons for the declining sales at the Service of scientific, technical, and engineering publications. Such report shall explain any actions planned or taken to increase such sales at reasonable fees.

(3) The Secretary shall submit an annual report to the Congress which shall -

(A) summarize the operations of the Service during the preceding year, including financial details and staff levels broken down by major activities;

(B) detail the operating plan of the Service, including specific expense and staff needs, for the upcoming year;

(C) set forth details of modernization progress made in the preceding year;

(D) describe the long-term modernization plans of the Service; and

(E) include the results of the most recent annual audit carried out under subsection (d) of this section.

(4) The Secretary shall also give the Congress detailed advance notice of not less than 30 calendar days of -

(A) any proposed reduction-in-force;

(B) any joint venture or cooperative agreement which involves a financial incentive to the joint venturer or contractor; and

(C) any change in the operating plan submitted under paragraph (3)(B) which would result in a variation from such plan with respect to expense levels of more than 10 percent.

-SOURCE-

(Pub. L. 100-519, title II, Sec. 212, Oct. 24, 1988, 102 Stat. 2594; Pub. L. 102-140, title II, Oct. 28, 1991, 105 Stat. 804; Pub. L. 102-245, title V, Sec. 506(c), Feb. 14, 1992, 106 Stat. 27.)

-REFTEXT-

REFERENCES IN TEXT

This section, referred to in subsec. (a)(1), was in the original ''this subtitle'', meaning subtitle B (Sec. 211, 212) of title II of Pub. L. 100-519, Oct. 24, 1988, 102 Stat. 2594, which enacted section 3704b of this title and amended section 3710 of this title. For complete classification of this subtitle to the Code, see Short Title of 1988 Amendment note set out under section 3701 of this title and Tables.

Section 3710c(a)(4) of this title, referred to in subsec. (a)(3), was in the original a reference to section 13(a)(4) of the Stevenson-Wydler Technology Innovation Act of 1980 which was translated as reading section 14(a)(4) of the Act to reflect the probable intent of Congress and the renumbering of section 13 of the Act as section 14 by section 5122(a)(1) of Pub. L. 100-418.

The Act entitled ''An Act to provide for the dissemination of technological, scientific, and engineering information to American business and industry, and for other purposes'' enacted September 9, 1950, referred to in subsec. (e)(6), is act Sept. 9, 1950, ch. 936, 64 Stat. 823, as amended, which is classified generally to chapter 23 (Sec. 1151 et seq.) of this title. For complete classification of this Act to the Code, see Tables.

-COD-

CODIFICATION

Section was enacted as part of the National Technical Information Act of 1988, and not as part of the Stevenson-Wydler Technology Innovation Act of 1980 which comprises this chapter.

Subsec. (a)(4) of this section repealed subsec. (h) of section 3710 of this title.

-MISC3-

AMENDMENTS

1992 - Subsec. (e)(5). Pub. L. 102-245 inserted '', including producing and disseminating information products in electronic format'' after ''engineering information''.

1991 - Subsec. (a)(1)(E). Pub. L. 102-140 added subpar. (E).

TERMINATION OF ADVISORY BOARDS

Advisory boards established after Jan. 5, 1973, to terminate not later than the expiration of the 2-year period beginning on the date of their establishment, unless, in the case of a board established by the President or an officer of the Federal Government, such board is renewed by appropriate action prior to the expiration of such 2-year period, or in the case of a board established by the Congress, its duration is otherwise provided by law. See sections 3(2) and 14 of Pub. L. 92-463, Oct. 6, 1972, 86 Stat. 770, 776, set out in the Appendix to Title 5, Government Organization and Employees.

NATIONAL TECHNICAL INFORMATION SERVICE REVOLVING FUND

Pub. L. 102-395, title II, Oct. 6, 1992, 106 Stat. 1853, provided that: ''For establishment of a National Technical Information Service Revolving Fund, $8,000,000 without fiscal year limitation: Provided, That unexpended balances in Information Products and Services shall be transferred to and merged with this account, to remain available until expended. Notwithstanding 15 U.S.C. 1525 and 1526, all payments collected by the National Technical Information Service in performing its activities authorized by chapters 23 and 63 of title 15 of the United States Code shall be credited to this Revolving Fund. Without further appropriations action, all expenses incurred in performing the activities of the National Technical Information Service, including modernization, capital equipment and inventory, shall be paid from the fund. A business-type budget for the fund shall be prepared in the manner prescribed by 31 U.S.C. 9103.''

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in section 3704b-2 of this title.

-CITE-

15 USC Sec. 3704b-1 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3704b-1. Recovery of operating costs through fee collections

-STATUTE-

Operating costs for the National Technical Information Service associated with the acquisition, processing, storage, bibliographic control, and archiving of information and documents shall be recovered primarily through the collection of fees.

-SOURCE-

(Pub. L. 102-245, title I, Sec. 103(c), Feb. 14, 1992, 106 Stat. 8.)

-COD-

private sector;

(3) the host university or other nonprofit institution has a plan for the management and evaluation of the activities proposed within the particular Center, including:

(A) the agreement between the parties as to the allocation of patent rights on a nonexclusive, partially exclusive, or exclusive license basis to and inventions conceived or made under the auspices of the Center; and

(B) the consideration of means to place the Center, to the maximum extent feasible, on a self-sustaining basis;

(4) suitable consideration has been given to the university's or other nonprofit institution's capabilities and geographical location; and

(5) consideration has been given to any effects upon competition of the activities proposed under the Center.

(d) Planning grants

The Secretary is authorized to make available nonrenewable planning grants to universities or nonprofit institutions for the purpose of developing a plan required under subsection (c)(3) of this section.

(e) Research and development utilization

In the promotion of technology from research and development efforts by Centers under this section, chapter 18 of title 35 shall apply to the extent not inconsistent with this section.

-SOURCE-

(Pub. L. 96-480, Sec. 7, formerly Sec. 6, Oct. 21, 1980, 94 Stat. 2313; Pub. L. 99-502, Sec. 9(b)(6)-(10), Oct. 20, 1986, 100 Stat. 1796; renumbered Sec. 7, Pub. L. 100-418, title V, Sec. 5122(a)(1), Aug. 23, 1988, 102 Stat. 1438.)

-MISC1-

PRIOR PROVISIONS

A prior section 7 of Pub. L. 96-480 was renumbered section 8 and is classified to section 3706 of this title.

AMENDMENTS

1986 - Subsec. (a). Pub. L. 99-502, Sec. 9(b)(7), substituted ''Cooperative Research Centers'' for ''Centers for Industrial Technology''.

Subsec. (b)(1). Pub. L. 99-502, Sec. 9(b)(8), struck out ''basic and applied'' after ''industry-university''.

Subsec. (e). Pub. L. 99-502, Sec. 9(b)(9), amended subsec. (e) generally. Prior to amendment, subsec. (e) provided that a Center of Industrial Technology had the option to acquire title to an invention conceived or made under its auspices and supported by Federal funds, authorized supporting agency to require the Center to grant licenses to the invention to responsible applicants in certain cases, and provided for judicial review of licensing determinations by the supporting agency.

Subsec. (f). Pub. L. 99-502, Sec. 9(b)(10), struck out subsec. (f) which read as follows: ''The supporting agency may request the Attorney General's opinion whether the proposed joint research activities of a Center would violate any of the antitrust laws. The Attorney General shall advise the supporting agency of his

determination and the reasons for it within 120 days after receipt of such request.''

MODEL PROGRAM

Pub. L. 101-510, div. A, title VIII, Sec. 827(b), Nov. 5, 1990, 104 Stat. 1607, as amended by Pub. L. 102-190, div. A, title X, Sec. 1062(a)(2), Dec. 5, 1991, 105 Stat. 1475, provided that:

''(1) In the administration of applicable provisions of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3701 et seq.) or section 5121(b) of the Omnibus Trade and Competitiveness Act of 1988 (Pub. L. 100-418, 15 U.S.C. 2781 note), the Secretary of Commerce shall develop, in consultation with the Secretary of Defense and the Secretary of Energy, model programs for national defense laboratories.

''(2) Model programs under this subsection shall involve Federal laboratories, small businesses, and partnership intermediaries. The purpose of the model programs is to demonstrate successful relationships between the Federal Government, State and local governments, and small businesses which encourage economic growth through the commercial application of technology resulting from federally funded research.

''(3) In this subsection, the term 'national defense laboratory' means any laboratory, federally funded research and development center (FFRDC), or other center established under section 7 or 9 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3705, 3707) that is owned by the Federal Government, whether operated by the Federal Government or by a contractor, and -

''(A) is under the jurisdiction of the Secretary of Defense; or

''(B) is under the jurisdiction of the Secretary of Energy, but only if the primary function of the laboratory, FFRDC, or other center under the Secretary's jurisdiction is to support the national defense activities of the Department of Defense or the Department of Energy.''

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in sections 3703, 3707, 3708 of this title; title 30 section 1805.

-CITE-

15 USC Sec. 3706 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3706. Grants and cooperative agreements

-STATUTE-

(a) In general

The Secretary may make grants and enter into cooperative agreements according to the provisions of this section in order to assist any activity consistent with this chapter, including activities performed by individuals. The total amount of any such

grant or cooperative agreement may not exceed 75 percent of the total cost of the program.

(b) Eligibility and procedure

Any person or institution may apply to the Secretary for a grant or cooperative agreement available under this section. Application shall be made in such form and manner, and with such content and other submissions, as the Assistant Secretary shall prescribe. The Secretary shall act upon each such application within 90 days after the date on which all required information is received.

(c) Terms and conditions

(1) Any grant made, or cooperative agreement entered into, under this section shall be subject to the limitations and provisions set forth in paragraph (2) of this subsection, and to such other terms, conditions, and requirements as the Secretary deems necessary or appropriate.

(2) Any person who receives or utilizes any proceeds of any grant made or cooperative agreement entered into under this section shall keep such records as the Secretary shall by regulation prescribe as being necessary and appropriate to facilitate effective audit and evaluation, including records which fully disclose the amount and disposition by such recipient of such proceeds, the total cost of the program or project in connection with which such proceeds were used, and the amount, if any, of such costs which was provided through other sources.

-SOURCE-

(Pub. L. 96-480, Sec. 8, formerly Sec. 7, Oct. 21, 1980, 94 Stat. 2315; renumbered Sec. 8 and amended Pub. L. 100-418, title V, Sec. 5115(b)(1), 5122(a)(1), Aug. 23, 1988, 102 Stat. 1433, 1438.)

-MISC1-

PRIOR PROVISIONS

A prior section 8 of Pub. L. 96-480 was renumbered section 9 and is classified to section 3707 of this title.

AMENDMENTS

1988 - Subsec. (b). Pub. L. 100-418, Sec. 5115(b)(1), substituted ''Assistant Secretary'' for ''Director''.

-CITE-

15 USC Sec. 3707 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3707. National Science Foundation Cooperative Research Centers

-STATUTE-

(a) Establishment and provisions

The National Science Foundation shall provide assistance for the establishment of Cooperative Research Centers. Such Centers shall be affiliated with a university, or other nonprofit institution, or a group thereof. The objective of the Centers is to enhance

technological innovation as provided in section 3705(a) of this title through the conduct of activities as provided in section 3705(b) of this title.

(b) Planning grants

The National Science Foundation is authorized to make available nonrenewable planning grants to universities of nonprofit institutions for the purpose of developing the plan, as described under section 3705(c)(3) of this title.

(c) Terms and conditions

Grants, contracts, and cooperative agreements entered into by the National Science Foundation in execution of the powers and duties of the National Science Foundation under this chapter shall be governed by the National Science Foundation Act of 1950 (42 U.S.C. 1861 et seq.) and other pertinent Acts.

-SOURCE-

(Pub. L. 96-480, Sec. 9, formerly Sec. 8, Oct. 21, 1980, 94 Stat. 2316; Pub. L. 99-502, Sec. 9(b)(11), (12), (e)(2)(B), Oct. 20, 1986, 100 Stat. 1796, 1797; renumbered Sec. 9, Pub. L. 100-418, title V, Sec. 5122(a)(1), Aug. 23, 1988, 102 Stat. 1438; Pub. L. 106-404, Sec. 7(4), Nov. 1, 2000, 114 Stat. 1745.)

-REFTEXT-

REFERENCES IN TEXT

The National Science Foundation Act of 1950, referred to in subsec. (c), is act May 10, 1950, ch. 171, 64 Stat. 149, as amended, which is classified generally to chapter 16 (Sec. 1861 et seq.) of Title 42, The Public Health and Welfare. For complete classification of this Act to the Code, see Short Title note set out under section 1861 of Title 42 and Tables.

-MISC2-

PRIOR PROVISIONS

A prior section 9 of Pub. L. 96-480 was renumbered section 10 and is classified to section 3708 of this title.

AMENDMENTS

2000 - Subsecs. (a), (b). Pub. L. 106-404 made technical amendments to references in original act which appear in text as references to section 3705 of this title.

1986 - Subsec. (a). Pub. L. 99-502 substituted ''Cooperative Research Centers'' for ''Centers for Industrial Technology'' and struck out last sentence which read as follows: ''The provisions of sections 3705(e) and 3705(f) of this title shall apply to Centers established under this section.''

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in sections 3703, 3708 of this title.

-CITE-

15 USC Sec. 3708 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE

CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3708. Administrative arrangements

-STATUTE-

(a) Coordination

The Secretary and the National Science Foundation shall, on a continuing basis, obtain the advice and cooperation of departments and agencies whose missions contribute to or are affected by the programs established under this chapter, including the development of an agenda for research and policy experimentation. These departments and agencies shall include but not be limited to the Departments of Defense, Energy, Education, Health and Human Services, Housing and Urban Development, the Environmental Protection Agency, National Aeronautics and Space Administration, Small Business Administration, Council of Economic Advisers, Council on Environmental Quality, and Office of Science and Technology Policy.

(b) Cooperation

It is the sense of the Congress that departments and agencies, including the Federal laboratories, whose missions are affected by, or could contribute to, the programs established under this chapter, should, within the limits of budgetary authorizations and appropriations, support or participate in activities or projects authorized by this chapter.

(c) Administrative authorization

(1) Departments and agencies described in subsection (b) of this section are authorized to participate in, contribute to, and serve as resources for the Centers and for any other activities authorized under this chapter.

(2) The Secretary and the National Science Foundation are authorized to receive moneys and to receive other forms of assistance from other departments or agencies to support activities of the Centers and any other activities authorized under this chapter.

(d) Cooperative efforts

The Secretary and the National Science Foundation shall, on a continuing basis, provide each other the opportunity to comment on any proposed program of activity under section 3705, 3707, 3710, 3710d, 3711a, or 3712 of this title before funds are committed to such program in order to mount complementary efforts and avoid duplication.

-SOURCE-

(Pub. L. 96-480, Sec. 10, formerly Sec. 9, Oct. 21, 1980, 94 Stat. 2316; Pub. L. 99-502, Sec. 9(e)(2)(C), Oct. 20, 1986, 100 Stat. 1797; Pub. L. 100-107, Sec. 3(b), Aug. 20, 1987, 101 Stat. 727; renumbered Sec. 10 and amended Pub. L. 100-418, title V, Sec. 5122(a)(1), (c), Aug. 23, 1988, 102 Stat. 1438, 1439; Pub. L. 102-240, title VI, Sec. 6019, Dec. 18, 1991, 105 Stat. 2183.)

-MISC1-

PRIOR PROVISIONS

A prior section 10 of Pub. L. 96-480 was renumbered section 11 and is classified to section 3710 of this title.

Another prior section 10 of Pub. L. 96-480 related to National Industrial Technology Board and was classified to section 3709 of this title, prior to repeal by section 9(a) of Pub. L. 99-502.

AMENDMENTS

1991 - Subsec. (d). Pub. L. 102-240 made technical amendment to reference to section 3712 of this title to reflect renumbering of corresponding section of original act.

1988 - Subsec. (d). Pub. L. 100-418, Sec. 5122(c), made technical amendment to references to sections 3705, 3707, 3710, 3710d, 3711a, and 3712 of this title to reflect renumbering of corresponding sections of original act.

1987 - Subsec. (d). Pub. L. 100-107 inserted reference to section 3711a of this title.

1986 - Subsec. (d). Pub. L. 99-502 inserted references to sections 3710 and 3710d of this title.

-CITE-
15 USC Sec. 3709 01/06/03

-EXPCITE-
TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-
Sec. 3709. Repealed. Pub. L. 99-502, Sec. 9(a), Oct. 20, 1986, 100 Stat. 1795

-MISC1-
Section, Pub. L. 96-480, Sec. 10, Oct. 21, 1980, 94 Stat. 2317, related to establishment, duties, membership, and terms of National Industrial Technology Board.

-CITE-
15 USC Sec. 3710 01/06/03

-EXPCITE-
TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-
Sec. 3710. Utilization of Federal technology

-STATUTE-
(a) Policy

(1) It is the continuing responsibility of the Federal Government to ensure the full use of the results of the Nation's Federal investment in research and development. To this end the Federal Government shall strive where appropriate to transfer federally owned or originated technology to State and local governments and to the private sector.

(2) Technology transfer, consistent with mission responsibilities, is a responsibility of each laboratory science

and engineering professional.

(3) Each laboratory director shall ensure that efforts to transfer technology are considered positively in laboratory job descriptions, employee promotion policies, and evaluation of the job performance of scientists and engineers in the laboratory.

(b) Establishment of Research and Technology Applications Offices

Each Federal laboratory shall establish an Office of Research and technology Applications. Laboratories having existing organizational structures which perform the functions of this section may elect to combine the Office of Research and Technology Applications within the existing organization. The staffing and funding levels for these offices shall be determined between each Federal laboratory and the Federal agency operating or directing the laboratory, except that (1) each laboratory having 200 or more full-time equivalent scientific, engineering, and related technical positions shall provide one or more full-time equivalent positions as staff for its Office of Research and Technology Applications, and (2) each Federal agency which operates or directs one or more Federal laboratories shall make available sufficient funding, either as a separate line item or from the agency's research and development budget, to support the technology transfer function at the agency and at its laboratories, including support of the Offices of Research and Technology Applications. Furthermore, individuals filling positions in an Office of Research and Technology Applications shall be included in the overall laboratory/agency management development program so as to ensure that highly competent technical managers are full participants in the technology transfer process.

(c) Functions of Research and Technology Applications Offices

It shall be the function of each Office of Research and Technology Applications -

(1) to prepare application assessments for selected research and development projects in which that laboratory is engaged and which in the opinion of the laboratory may have potential commercial applications;

(2) to provide and disseminate information on federally owned or originated products, processes, and services having potential application to State and local governments and to private industry;

(3) to cooperate with and assist the National Technical Information Service, the Federal Laboratory Consortium for Technology Transfer, and other organizations which link the research and development resources of that laboratory and the Federal Government as a whole to potential users in State and local government and private industry;

(4) to provide technical assistance to State and local government officials; and

(5) to participate, where feasible, in regional, State, and local programs designed to facilitate or stimulate the transfer of technology for the benefit of the region, State, or local jurisdiction in which the Federal laboratory is located.

Agencies which have established organizational structures outside their Federal laboratories which have as their principal purpose the transfer of federally owned or originated technology to State

and local government and to the private sector may elect to perform the functions of this subsection in such organizational structures. No Office of Research and Technology Applications or other organizational structures performing the functions of this subsection shall substantially compete with similar services available in the private sector.

(d) Dissemination of technical information

The National Technical Information Service shall -

(1) serve as a central clearinghouse for the collection, dissemination and transfer of information on federally owned or originated technologies having potential application to State and local governments and to private industry;

(2) utilize the expertise and services of the National Science Foundation and the Federal Laboratory Consortium for Technology Transfer; particularly in dealing with State and local governments;

(3) receive requests for technical assistance from State and local governments, respond to such requests with published information available to the Service, and refer such requests to the Federal Laboratory Consortium for Technology Transfer to the extent that such requests require a response involving more than the published information available to the Service;

(4) provide funding, at the discretion of the Secretary, for Federal laboratories to provide the assistance specified in subsection (c)(3) of this section;

(5) use appropriate technology transfer mechanisms such as personnel exchanges and computer-based systems; and

(6) maintain a permanent archival repository and clearinghouse for the collection and dissemination of nonclassified scientific, technical, and engineering information.

(e) Establishment of Federal Laboratory Consortium for Technology Transfer

(1) There is hereby established the Federal Laboratory Consortium for Technology Transfer (hereinafter referred to as the ''Consortium'') which, in cooperation with Federal laboratories and the private sector, shall -

(A) develop and (with the consent of the Federal laboratory concerned) administer techniques, training courses, and materials concerning technology transfer to increase the awareness of Federal laboratory employees regarding the commercial potential of laboratory technology and innovations;

(B) furnish advice and assistance requested by Federal agencies and laboratories for use in their technology transfer programs (including the planning of seminars for small business and other industry);

(C) provide a clearinghouse for requests, received at the laboratory level, for technical assistance from States and units of local governments, businesses, industrial development organizations, not-for-profit organizations including universities, Federal agencies and laboratories, and other persons, and -

(i) to the extent that such requests can be responded to with published information available to the National Technical Information Service, refer such requests to that Service, and

(ii) otherwise refer these requests to the appropriate Federal laboratories and agencies;

(D) facilitate communication and coordination between Offices of Research and Technology Applications of Federal laboratories;

(E) utilize (with the consent of the agency involved) the expertise and services of the National Science Foundation, the Department of Commerce, the National Aeronautics and Space Administration, and other Federal agencies, as necessary;

(F) with the consent of any Federal laboratory, facilitate the use by such laboratory of appropriate technology transfer mechanisms such as personnel exchanges and computer-based systems;

(G) with the consent of any Federal laboratory, assist such laboratory to establish programs using technical volunteers to provide technical assistance to communities related to such laboratory;

(H) facilitate communication and cooperation between Offices of Research and Technology Applications of Federal laboratories and regional, State, and local technology transfer organizations;

(I) when requested, assist colleges or universities, businesses, nonprofit organizations, State or local governments, or regional organizations to establish programs to stimulate research and to encourage technology transfer in such areas as technology program development, curriculum design, long-term research planning, personnel needs projections, and productivity assessments;

(J) seek advice in each Federal laboratory consortium region from representatives of State and local governments, large and small business, universities, and other appropriate persons on the effectiveness of the program (and any such advice shall be provided at no expense to the Government); and

(K) work with the Director of the National Institute on Disability and Rehabilitation Research to compile a compendium of current and projected Federal Laboratory technologies and projects that have or will have an intended or recognized impact on the available range of assistive technology for individuals with disabilities (as defined in section 3002 of title 29), including technologies and projects that incorporate the principles of universal design (as defined in section 3002 of title 29), as appropriate.

(2) The membership of the Consortium shall consist of the Federal laboratories described in clause (1) of subsection (b) of this section and such other laboratories as may choose to join the Consortium. The representatives to the Consortium shall include a senior staff member of each Federal laboratory which is a member of the Consortium and a senior representative appointed from each Federal agency with one or more member laboratories.

(3) The representatives to the Consortium shall elect a Chairman of the Consortium.

(4) The Director of the National Institute of Standards and Technology shall provide the Consortium, on a reimbursable basis, with administrative services, such as office space, personnel, and support services of the Institute, as requested by the Consortium and approved by such Director.

(5) Each Federal laboratory or agency shall transfer technology directly to users or representatives of users, and shall not transfer technology directly to the Consortium. Each Federal laboratory shall conduct and transfer technology only in accordance with the practices and policies of the Federal agency which owns, leases, or otherwise uses such Federal laboratory.

(6) Not later than one year after October 20, 1986, and every year thereafter, the Chairman of the Consortium shall submit a report to the President, to the appropriate authorization and appropriation committees of both Houses of the Congress, and to each agency with respect to which a transfer of funding is made (for the fiscal year or years involved) under paragraph (7), concerning the activities of the Consortium and the expenditures made by it under this subsection during the year for which the report is made. Such report shall include an annual independent audit of the financial statements of the Consortium, conducted in accordance with generally accepted accounting principles.

(7)(A) Subject to subparagraph (B), an amount equal to 0.008 percent of the budget of each Federal agency from any Federal source, including related overhead, that is to be utilized by or on behalf of the laboratories of such agency for a fiscal year referred to in subparagraph (B)(ii) shall be transferred by such agency to the National Institute of Standards and Technology at the beginning of the fiscal year involved. Amounts so transferred shall be provided by the Institute to the Consortium for the purpose of carrying out activities of the Consortium under this subsection.

(B) A transfer shall be made by any Federal agency under subparagraph (A), for any fiscal year, only if the amount so transferred by that agency (as determined under such subparagraph) would exceed $10,000.

(C) The heads of Federal agencies and their designees, and the directors of Federal laboratories, may provide such additional support for operations of the Consortium as they deem appropriate.

(f) Agency reports on utilization

(1) In general

Each Federal agency which operates or directs one or more Federal laboratories or which conducts activities under sections 207 and 209 of title 35 shall report annually to the Office of Management and Budget, as part of the agency's annual budget submission, on the activities performed by that agency and its Federal laboratories under the provisions of this section and of sections 207 and 209 of title 35.

(2) Contents

The report shall include -

(A) an explanation of the agency's technology transfer program for the preceding fiscal year and the agency's plans for conducting its technology transfer function, including its plans for securing intellectual property rights in laboratory innovations with commercial promise and plans for managing its intellectual property so as to advance the agency's mission and benefit the competitiveness of United States industry; and

(B) information on technology transfer activities for the preceding fiscal year, including -

(i) the number of patent applications filed;

(ii) the number of patents received;

(iii) the number of fully-executed licenses which received royalty income in the preceding fiscal year, categorized by whether they are exclusive, partially-exclusive, or non-exclusive, and the time elapsed from the date on which the license was requested by the licensee in writing to the date the license was executed;

(iv) the total earned royalty income including such statistical information as the total earned royalty income, of the top 1 percent, 5 percent, and 20 percent of the licenses, the range of royalty income, and the median, except where disclosure of such information would reveal the amount of royalty income associated with an individual license or licensee;

(v) what disposition was made of the income described in clause (iv);

(vi) the number of licenses terminated for cause; and

(vii) any other parameters or discussion that the agency deems relevant or unique to its practice of technology transfer.

(3) Copy to Secretary; Attorney General; Congress

The agency shall transmit a copy of the report to the Secretary of Commerce and the Attorney General for inclusion in the annual report to Congress and the President required by subsection (g)(2) of this section.

(4) Public availability

Each Federal agency reporting under this subsection is also strongly encouraged to make the information contained in such report available to the public through Internet sites or other electronic means.

(g) Functions of Secretary

(1) The Secretary, through the Under Secretary, and in consultation with other Federal agencies, may -

(A) make available to interested agencies the expertise of the Department of Commerce regarding the commercial potential of inventions and methods and options for commercialization which are available to the Federal laboratories, including research and development limited partnerships;

(B) develop and disseminate to appropriate agency and laboratory personnel model provisions for use on a voluntary basis in cooperative research and development arrangements; and

(C) furnish advice and assistance, upon request, to Federal agencies concerning their cooperative research and development programs and projects.

(2) Reports. -

(A) Annual report required. - The Secretary, in consultation with the Attorney General and the Commissioner of Patents and Trademarks, shall submit each fiscal year, beginning 1 year after November 1, 2000, a summary report to the President, the United States Trade Representative, and the Congress on the use by Federal agencies and the Secretary of the technology transfer authorities specified in this chapter and in sections 207 and 209 of title 35.

(B) Content. - The report shall -

(i) draw upon the reports prepared by the agencies under subsection (f) of this section;

(ii) discuss technology transfer best practices and effective approaches in the licensing and transfer of technology in the context of the agencies' missions; and

(iii) discuss the progress made toward development of additional useful measures of the outcomes of technology transfer programs of Federal agencies.

(C) Public availability. - The Secretary shall make the report available to the public through Internet sites or other electronic means.

(3) Not later than one year after October 20, 1986, the Secretary shall submit to the President and the Congress a report regarding -

(A) any copyright provisions or other types of barriers which tend to restrict or limit the transfer of federally funded computer software to the private sector and to State and local governments, and agencies of such State and local governments; and

(B) the feasibility and cost of compiling and maintaining a current and comprehensive inventory of all federally funded training software.

(h) Duplication of reporting

The reporting obligations imposed by this section -

(1) are not intended to impose requirements that duplicate requirements imposed by the Government Performance and Results Act of 1993 (31 U.S.C. 1101 note);

(2) are to be implemented in coordination with the implementation of that Act; and

(3) are satisfied if an agency provided the information concerning technology transfer activities described in this section in its annual submission under the Government Performance and Results Act of 1993 (31 U.S.C. 1101 note).

(i) Research equipment

The Director of a laboratory, or the head of any Federal agency or department, may loan, lease, or give research equipment that is excess to the needs of the laboratory, agency, or department to an educational institution or nonprofit organization for the conduct of technical and scientific education and research activities. Title of ownership shall transfer with a gift under this section.

-SOURCE-

(Pub. L. 96-480, Sec. 11, Oct. 21, 1980, 94 Stat. 2318; renumbered Sec. 10 and amended Pub. L. 99-502, Sec. 3-5, 9(e)(1), Oct. 20, 1986, 100 Stat. 1787, 1789, 1791, 1797; renumbered Sec. 11 and amended Pub. L. 100-418, title V, Sec. 5115(b)(2), 5122(a)(1), 5162(b), 5163(c)(1), (3), Aug. 23, 1988, 102 Stat. 1433, 1438, 1450, 1451; Pub. L. 100-519, title II, Sec. 201(d)(3), 212(a)(4), Oct. 24, 1988, 102 Stat. 2594, 2595; Pub. L. 101-189, div. C, title XXXI, Sec. 3133(e), Nov. 29, 1989, 103 Stat. 1679; Pub. L. 102-245, title III, Sec. 301, 303, Feb. 14, 1992, 106 Stat. 19, 20; Pub. L. 104-66, title III, Sec. 3001(f), Dec. 21, 1995, 109 Stat. 734; Pub. L. 104-113, Sec. 3, 9, Mar. 7, 1996, 110 Stat. 775, 779; Pub. L. 105-394, title II, Sec. 212(d), Nov. 13, 1998, 112 Stat.

3655; Pub. L. 106-404, Sec. 7(5), (6), 10(a), Nov. 1, 2000, 114 Stat. 1745-1747.)

-REFTEXT-

REFERENCES IN TEXT

The Government Performance and Results Act of 1993, referred to in subsec. (h), is Pub. L. 103-62, Aug. 3, 1993, 107 Stat. 285, which enacted section 306 of Title 5, Government Organization and Employees, sections 1115 to 1119, 9703, and 9704 of Title 31, Money and Finance, and sections 2801 to 2805 of Title 39, Postal Service, amended section 1105 of Title 31, and enacted provisions set out as notes under sections 1101 and 1115 of Title 31. For complete classification of this Act to the Code, see Short Title of 1993 Amendment note set out under section 1101 of Title 31 and Tables.

-MISC2-

AMENDMENTS

2000 - Subsec. (b). Pub. L. 106-404, Sec. 10(a)(1), struck out at end ''The agency head shall submit to Congress at the time the President submits the budget to Congress an explanation of the agency's technology transfer program for the preceding year and the agency's plans for conducting its technology transfer function for the upcoming year, including plans for securing intellectual property rights in laboratory innovations with commercial promise and plans for managing such innovations so as to benefit the competitiveness of United States industry.''

Subsec. (e)(1). Pub. L. 106-404, Sec. 7(5), substituted ''in cooperation with Federal laboratories'' for ''in cooperation with Federal Laboratories'' in introductory provisions.

Subsec. (f). Pub. L. 106-404, Sec. 10(a)(2), added subsec. (f).

Subsec. (g)(2). Pub. L. 106-404, Sec. 10(a)(3), added par. (2) and struck out former par. (2) which read as follows: ''Two years after October 20, 1986, and every two years thereafter, the Secretary shall submit a summary report to the President and the Congress on the use by the agencies and the Secretary of the authorities specified in this chapter. Other Federal agencies shall cooperate in the report's preparation.''

Subsec. (h). Pub. L. 106-404, Sec. 10(a)(4), added subsec. (h).

Subsec. (i). Pub. L. 106-404, Sec. 7(6), substituted ''a gift under this section'' for ''a gift under the section''.

1998 - Subsec. (e)(1)(K). Pub. L. 105-394 added subpar. (K).

1996 - Subsec. (e)(7)(B). Pub. L. 104-113, Sec. 3, amended subpar. (B) generally. Prior to amendment, subpar. (B) read as follows: ''A transfer shall be made by any Federal agency under subparagraph (A), for any fiscal year, only if -

''(i) the amount so transferred by that agency (as determined under such subparagraph) would exceed $10,000; and

''(ii) such transfer is made with respect to the fiscal year 1987, 1988, 1989, 1990, 1991, 1992, 1993, 1994, 1995, or 1996.''

Subsec. (i). Pub. L. 104-113, Sec. 9, inserted ''loan, lease, or'' before ''give''.

1995 - Subsec. (f). Pub. L. 104-66 struck out heading and text of subsec. (f). Text read as follows: ''Each Federal agency which operates or directs one or more Federal laboratories shall report

annually to the Congress, as part of the agency's annual budget submission, on the activities performed by that agency and its Federal laboratories pursuant to the provisions of this section.''

1992 - Subsec. (e)(2). Pub. L. 102-245, Sec. 301(a), inserted ''senior'' before ''representative''.

Subsec. (e)(6). Pub. L. 102-245, Sec. 301(b), inserted at end ''Such report shall include an annual independent audit of the financial statements of the Consortium, conducted in accordance with generally accepted accounting principles.''

Subsec. (e)(7)(B)(ii). Pub. L. 102-245, Sec. 301(c), substituted ''1991, 1992, 1993, 1994, 1995, or 1996'' for ''or 1991''.

Subsec. (e)(8). Pub. L. 102-245, Sec. 301(d), struck out former par. (8) which read as follows:

''(A) The Consortium shall use 5 percent of the funds provided in paragraph (7)(A) to establish demonstration projects in technology transfer. To carry out such projects, the Consortium may arrange for grants or awards to, or enter into agreements with, nonprofit State, local, or private organizations or entities whose primary purposes are to facilitate cooperative research between the Federal laboratories and organizations not associated with the Federal laboratories, to transfer technology from the Federal laboratories, and to advance State and local economic activity.

''(B) The demonstration projects established under subparagraph (A) shall serve as model programs. Such projects shall be designed to develop programs and mechanisms for technology transfer from the Federal laboratories which may be utilized by the States and which will enhance Federal, State, and local programs for the transfer of technology.

''(C) Application for such grants, awards, or agreements shall be in such form and contain such information as the Consortium or its designee shall specify.

''(D) Any person who receives or utilizes any proceeds of a grant or award made, or agreement entered into, under this paragraph shall keep such records as the Consortium or its designee shall determine are necessary and appropriate to facilitate effective audit and evaluation, including records which fully disclose the amount and disposition of such proceeds and the total cost of the project in connection with which such proceeds were used.''

Subsec. (i). Pub. L. 102-245, Sec. 303, added subsec. (i).

1989 - Subsec. (b). Pub. L. 101-189 struck out ''after September 30, 1981,'' after ''(2)'', substituted ''sufficient funding, either as a separate line item or from the agency's research and development budget,'' for ''not less than 0.5 percent of the agency's research and development budget'', struck out ''agency head may waive the requirement set forth in clause (2) of the preceding sentence. If the agency head waives such requirement, the'' after ''transfer process. The'', and substituted ''agency's technology transfer program for the preceding year and the agency's plans for conducting its technology transfer function for the upcoming year, including plans for securing intellectual property rights in laboratory innovations with commercial promise and plans for managing such innovations so as to benefit the competitiveness of United States industry'' for ''reasons for the waiver and alternate plans for conducting the technology transfer function at

the agency''.

1988 - Subsec. (d)(6). Pub. L. 100-418, Sec. 5163(c)(3), added par. (6).

Subsec. (e)(4). Pub. L. 100-418, Sec. 5115(b)(2), substituted ''National Institute of Standards and Technology'' for ''National Bureau of Standards'' and ''Institute'' for ''Bureau''.

Subsec. (e)(7)(A). Pub. L. 100-418, Sec. 5162(b), substituted ''0.008 percent of the budget of each Federal agency from any Federal source, including related overhead, that is to be utilized by or on behalf of'' for ''0.005 percent of that portion of the research and development budget of each Federal agency that is to be utilized by''.

Pub. L. 100-418, Sec. 5115(b)(2), substituted ''National Institute of Standards and Technology'' for ''National Bureau of Standards'' and ''Institute'' for ''Bureau''.

Subsec. (g)(1). Pub. L. 100-519, Sec. 201(d)(3), inserted reference to the Under Secretary.

Subsec. (h). Pub. L. 100-519, Sec. 212(a)(4), struck out subsec. (h) which read as follows: ''None of the activities or functions of the National Technical Information Service which are not performed by contractors as of September 30, 1987, shall be contracted out or otherwise transferred from the Federal Government unless such transfer is expressly authorized by statute, or unless the value of all work performed under the contract and related contracts in each fiscal year does not exceed $250,000.''

Pub. L. 100-418, Sec. 5163(c)(1), added subsec. (h).

1986 - Subsec. (a). Pub. L. 99-502, Sec. 4(a), designated existing provisions as par. (1) and added pars. (2) and (3).

Subsec. (b). Pub. L. 99-502, Sec. 4(b)(1), substituted ''200 or more full-time equivalent scientific, engineering, and related technical positions shall provide one or more full-time equivalent positions'' for ''a total annual budget exceeding $20,000,000 shall provide at least one professional individual full-time'', inserted ''Furthermore, individuals filling positions in an Office of Research and Technology Applications shall be included in the overall laboratory/agency management development program so as to ensure that highly competent technical managers are full participants in the technology transfer process.'', substituted ''requirement set forth in clause (2) of the preceding sentence'' for ''requirements set forth in (1) and/or (2) of this subsection'', and substituted ''such requirement'' for ''either requirement (1) or (2)''.

Subsec. (c)(1). Pub. L. 99-502, Sec. 4(b)(2)(A), added par. (1) and struck out former par. (1) which read as follows: ''to prepare an application assessment of each research and development project in which that laboratory is engaged which has potential for successful application in State or local government or in private industry;''.

Subsec. (c)(3). Pub. L. 99-502, Sec. 4(b)(2)(B), substituted ''the National Technical Information Service, the Federal Laboratory Consortium for Technology Transfer,'' for ''the Center for the Utilization of Federal Technology'' and struck out ''and'' after the semicolon.

Subsec. (c)(4). Pub. L. 99-502, Sec. 4(b)(2)(C), substituted ''to

State and local government officials; and'' for ''in response to requests from State and local government officials.''.

Subsec. (c)(5). Pub. L. 99-502, Sec. 4(b)(2)(D), added par. (5).

Subsec. (d). Pub. L. 99-502, Sec. 4(c)(1), substituted ''The National Technical Information Service shall'' for ''There is hereby established in the Department of Commerce a Center for the Utilization of Federal Technology. The Center for the Utilization of Federal Technology shall'' in introductory par.

Subsec. (d)(2). Pub. L. 99-502, Sec. 4(c)(2), (3), redesignated par. (3) as (2) and struck out ''existing'' before ''Federal Laboratory''. Former par. (2), which required the Center for the Utilization of Federal Technology to coordinate the activities of the Offices of Research and Technology Applications of the Federal laboratories, was struck out.

Subsec. (d)(3). Pub. L. 99-502, Sec. 4(c)(4), added par. (3). Former par. (3) redesignated (2).

Subsec. (d)(4). Pub. L. 99-502, Sec. 4(c)(4)-(6), redesignated par. (5) as (4) and substituted ''subsection (c)(3)'' for ''subsection (c)(4)''. Former par. (4), which required the Center for the Utilization of Federal Technology to receive requests for technical assistance from State and local governments and refer those requests to the appropriate Federal laboratories, was struck out.

Subsec. (d)(5), (6). Pub. L. 99-502, Sec. 4(c)(5), redesignated pars. (5) and (6) as (4) and (5), respectively.

Subsecs. (e), (f). Pub. L. 99-502, Sec. 3, 4(d), added subsec. (e), redesignated former subsec. (e) as (f), substituted ''report annually to the Congress, as part of the agency's annual budget submission, on the activities'' for ''prepare biennially a report summarizing the activities'', and struck out ''The report shall be transmitted to the Center for the Utilization of Federal Technology by November 1 of each year in which it is due.''.

Subsec. (g). Pub. L. 99-502, Sec. 5, added subsec. (g).

-EXEC-

EX. ORD. NO. 12591. FACILITATING ACCESS TO SCIENCE AND TECHNOLOGY

Ex. Ord. No. 12591, Apr. 10, 1987, 52 F.R. 13414, as amended by Ex. Ord. No. 12618, Dec. 22, 1987, 52 F.R. 48661, provided:

By the authority vested in me as President by the Constitution and laws of the United States of America, including the Federal Technology Transfer Act of 1986 (Public Law 99-502) (see Short Title of 1986 Amendments note set out under section 3701 of this title), the Trademark Clarification Act of 1984 (Public Law 98-620) (see Short Title of 1984 Amendment note set out under section 1051 of this title), and the University and Small Business Patent Procedure Act of 1980 (Public Law 96-517) (see Tables for classification), and in order to ensure that Federal agencies and laboratories assist universities and the private sector in broadening our technology base by moving new knowledge from the research laboratory into the development of new products and processes, it is hereby ordered as follows:

Section 1. Transfer of Federally Funded Technology.

(a) The head of each Executive department and agency, to the extent permitted by law, shall encourage and facilitate

collaboration among Federal laboratories, State and local governments, universities, and the private sector, particularly small business, in order to assist in the transfer of technology to the marketplace.

(b) The head of each Executive department and agency shall, within overall funding allocations and to the extent permitted by law:

(1) delegate authority to its government-owned, government-operated Federal laboratories:

(A) to enter into cooperative research and development agreements with other Federal laboratories, State and local governments, universities, and the private sector; and

(B) to license, assign, or waive rights to intellectual property developed by the laboratory either under such cooperative research or development agreements and from within individual laboratories.

(2) identify and encourage persons to act as conduits between and among Federal laboratories, universities, and the private sector for the transfer of technology developed from federally funded research and development efforts;

(3) ensure that State and local governments, universities, and the private sector are provided with information on the technology, expertise, and facilities available in Federal laboratories;

(4) promote the commercialization, in accord with my Memorandum to the Heads of Executive Departments and Agencies of February 18, 1983, of patentable results of federally funded research by granting to all contractors, regardless of size, the title to patents made in whole or in part with Federal funds, in exchange for royalty-free use by or on behalf of the government;

(5) administer all patents and licenses to inventions made with federal assistance, which are owned by the non-profit contractor or grantee, in accordance with Section 202(c)(7) of Title 35 of the United States Code as amended by Public Law 98-620, without regard to limitations on licensing found in that section prior to amendment or in Institutional Patent Agreements now in effect that were entered into before that law was enacted on November 8, 1984, unless, in the case of an invention that has not been marketed, the funding agency determines, based on information in its files, that the contractor or grantee has not taken adequate steps to market the inventions, in accordance with applicable law or an Institutional Patent Agreement;



(6) implement, as expeditiously as practicable, royalty-sharing programs with inventors who were employees of the agency at the time their inventions were made, and cash award programs; and

(7) cooperate, under policy guidance provided by the Office of Federal Procurement Policy, with the heads of other affected departments and agencies in the development of a uniform policy permitting Federal contractors to retain rights to software, engineering drawings, and other technical data generated by Federal grants and contracts, in exchange for royalty-free use by or on behalf of the government.

Sec. 2. Establishment of the Technology Share Program. The Secretaries of Agriculture, Commerce, Energy, and Health and Human Services and the Administrator of the National Aeronautics and Space Administration shall select one or more of their Federal

laboratories to participate in the Technology Share Program. Consistent with its mission and policies and within its overall funding allocation in any year, each Federal laboratory so selected shall:

(a) Identify areas of research and technology of potential importance to long-term national economic competitiveness and in which the laboratory possesses special competence and/or unique facilities;

(b) Establish a mechanism through which the laboratory performs research in areas identified in Section 2(a) as a participant of a consortium composed of United States industries and universities. All consortia so established shall have, at a minimum, three individual companies that conduct the majority of their business in the United States; and

(c) Limit its participation in any consortium so established to the use of laboratory personnel and facilities. However, each laboratory may also provide financial support generally not to exceed 25 percent of the total budget for the activities of the consortium. Such financial support by any laboratory in all such consortia shall be limited to a maximum of $5 million per annum.

Sec. 3. Technology Exchange - Scientists and Engineers. The Executive Director of the President's Commission on Executive Exchange shall assist Federal agencies, where appropriate, by developing and implementing an exchange program whereby scientists and engineers in the private sector may take temporary assignments in Federal laboratories, and scientists and engineers in Federal laboratories may take temporary assignments in the private sector.

Sec. 4. International Science and Technology. In order to ensure that the United States benefits from and fully exploits scientific research and technology developed abroad,

(a) The head of each Executive department and agency, when negotiating or entering into cooperative research and development agreements and licensing arrangements with foreign persons or industrial organizations (where these entities are directly or indirectly controlled by a foreign company or government), shall, in consultation with the United States Trade Representative, give appropriate consideration:

(1) to whether such foreign companies or governments permit and encourage United States agencies, organizations, or persons to enter into cooperative research and development agreements and licensing arrangements on a comparable basis;

(2) to whether those foreign governments have policies to protect the United States intellectual property rights; and

(3) where cooperative research will involve data, technologies, or products subject to national security export controls under the laws of the United States, to whether those foreign governments have adopted adequate measures to prevent the transfer of strategic technology to destinations prohibited under such national security export controls, either through participation in the Coordinating Committee for Multilateral Export Controls (COCOM) or through other international agreements to which the United States and such foreign governments are signatories.

(b) The Secretary of State shall develop a recruitment policy that encourages scientists and engineers from other Federal

agencies, academic institutions, and industry to apply for assignments in embassies of the United States; and

(c) The Secretaries of State and Commerce and the Director of the National Science Foundation shall develop a central mechanism for the prompt and efficient dissemination of science and technology information developed abroad to users in Federal laboratories, academic institutions, and the private sector on a fee-for-service basis.

Sec. 5. Technology Transfer from the Department of Defense. Within 6 months of the date of this Order (Apr. 10, 1987), the Secretary of Defense shall identify a list of funded technologies that would be potentially useful to United States industries and universities. The Secretary shall then accelerate efforts to make these technologies more readily available to United States industries and universities.

Sec. 6. Basic Science and Technology Centers. The head of each Executive department and agency shall examine the potential for including the establishment of university research centers in engineering, science, or technology in the strategy and planning for any future research and development programs. Such university centers shall be jointly funded by the Federal Government, the private sector, and, where appropriate, the States and shall focus on areas of fundamental research and technology that are both scientifically promising and have the potential to contribute to the Nation's long-term economic competitiveness.

Sec. 7. Reporting Requirements. (a) Within 1 year from the date of this Order (Apr. 10, 1987), the Director of the Office of Science and Technology Policy shall convene an interagency task force comprised of the heads of representative agencies and the directors of representative Federal laboratories, or their designees, in order to identify and disseminate creative approaches to technology transfer from Federal laboratories. The task force will report to the President on the progress of and problems with technology transfer from Federal laboratories.

(b) Specifically, the report shall include:

(1) a listing of current technology transfer programs and an assessment of the effectiveness of these programs;

(2) identification of new or creative approaches to technology transfer that might serve as model programs for Federal laboratories;

(3) criteria to assess the effectiveness and impact on the Nation's economy of planned or future technology transfer efforts; and

(4) a compilation and assessment of the Technology Share Program established in Section 2 and, where appropriate, related cooperative research and development venture programs.

Sec. 8. Relation to Existing Law. Nothing in this Order shall affect the continued applicability of any existing laws or regulations relating to the transfer of United States technology to other nations. The head of any Executive department or agency may exclude from consideration, under this Order, any technology that would be, if transferred, detrimental to the interests of national security.

Ronald Reagan.

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in sections 3704b-2, 3708, 3713, 3715 of this title; title 6 section 189; title 10 section 2371a; title 29 section 3032.

-CITE-

15 USC Sec. 3710a 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3710a. Cooperative research and development agreements

-STATUTE-

(a) General authority

Each Federal agency may permit the director of any of its Government-operated Federal laboratories, and, to the extent provided in an agency-approved joint work statement or, if permitted by the agency, in an agency-approved annual strategic plan, the director of any of its Government-owned, contractor-operated laboratories -

(1) to enter into cooperative research and development agreements on behalf of such agency (subject to subsection (c) of this section) with other Federal agencies; units of State or local government; industrial organizations (including corporations, partnerships, and limited partnerships, and industrial development organizations); public and private foundations; nonprofit organizations (including universities); or other persons (including licensees of inventions owned by the Federal agency); and

(2) to negotiate licensing agreements under section 207 of title 35, or under other authorities (in the case of a Government-owned, contractor-operated laboratory, subject to subsection (c) of this section) for inventions made or other intellectual property developed at the laboratory and other inventions or other intellectual property that may be voluntarily assigned to the Government.

(b) Enumerated authority

(1) Under an agreement entered into pursuant to subsection (a)(1) of this section, the laboratory may grant, or agree to grant in advance, to a collaborating party patent licenses or assignments, or options thereto, in any invention made in whole or in part by a laboratory employee under the agreement, or, subject to section 209 of title 35, may grant a license to an invention which is federally owned, for which a patent application was filed before the signing of the agreement, and directly within the scope of the work under the agreement, for reasonable compensation when appropriate. The laboratory shall ensure, through such agreement, that the collaborating party has the option to choose an exclusive license for a pre-negotiated field of use for any such invention under the agreement or, if there is more than one collaborating party, that

the collaborating parties are offered the option to hold licensing rights that collectively encompass the rights that would be held under such an exclusive license by one party. In consideration for the Government's contribution under the agreement, grants under this paragraph shall be subject to the following explicit conditions:

(A) A nonexclusive, nontransferable, irrevocable, paid-up license from the collaborating party to the laboratory to practice the invention or have the invention practiced throughout the world by or on behalf of the Government. In the exercise of such license, the Government shall not publicly disclose trade secrets or commercial or financial information that is privileged or confidential within the meaning of section 552(b)(4) of title 5 or which would be considered as such if it had been obtained from a non-Federal party.

(B) If a laboratory assigns title or grants an exclusive license to such an invention, the Government shall retain the right -

(i) to require the collaborating party to grant to a responsible applicant a nonexclusive, partially exclusive, or exclusive license to use the invention in the applicant's licensed field of use, on terms that are reasonable under the circumstances; or

(ii) if the collaborating party fails to grant such a license, to grant the license itself.

(C) The Government may exercise its right retained under subparagraph (B) only in exceptional circumstances and only if the Government determines that -

(i) the action is necessary to meet health or safety needs that are not reasonably satisfied by the collaborating party;

(ii) the action is necessary to meet requirements for public use specified by Federal regulations, and such requirements are not reasonably satisfied by the collaborating party; or

(iii) the collaborating party has failed to comply with an agreement containing provisions described in subsection (c)(4)(B) of this section.

This determination is subject to administrative appeal and judicial review under section 203(2) of title 35.

(2) Under agreements entered into pursuant to subsection (a)(1) of this section, the laboratory shall ensure that a collaborating party may retain title to any invention made solely by its employee in exchange for normally granting the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice the invention or have the invention practiced throughout the world by or on behalf of the Government for research or other Government purposes.

(3) Under an agreement entered into pursuant to subsection (a)(1) of this section, a laboratory may -

(A) accept, retain, and use funds, personnel, services, and property from a collaborating party and provide personnel, services, and property to a collaborating party;

(B) use funds received from a collaborating party in accordance with subparagraph (A) to hire personnel to carry out the agreement who will not be subject to full-time-equivalent

restrictions of the agency;

(C) to the extent consistent with any applicable agency requirements or standards of conduct, permit an employee or former employee of the laboratory to participate in an effort to commercialize an invention made by the employee or former employee while in the employment or service of the Government; and

(D) waive, subject to reservation by the Government of a nonexclusive, irrevocable, paid-up license to practice the invention or have the invention practiced throughout the world by or on behalf of the Government, in advance, in whole or in part, any right of ownership which the Federal Government may have to any subject invention made under the agreement by a collaborating party or employee of a collaborating party.

(4) A collaborating party in an exclusive license in any invention made under an agreement entered into pursuant to subsection (a)(1) of this section shall have the right of enforcement under chapter 29 of title 35.

(5) A Government-owned, contractor-operated laboratory that enters into a cooperative research and development agreement pursuant to subsection (a)(1) of this section may use or obligate royalties or other income accruing to the laboratory under such agreement with respect to any invention only -

(A) for payments to inventors;

(B) for purposes described in clauses (i), (ii), (iii), and (iv) of section 3710c(a)(1)(B) of this title; and

(C) for scientific research and development consistent with the research and development missions and objectives of the laboratory.

(6)(A) In the case of a laboratory that is part of the National Nuclear Security Administration, a designated official of that Administration may waive any license retained by the Government under paragraph (1)(A), (2), or (3)(D), in whole or in part and according to negotiated terms and conditions, if the designated official finds that the retention of the license by the Government would substantially inhibit the commercialization of an invention that would otherwise serve an important national security mission.

(B) The authority to grant a waiver under subparagraph (A) shall expire on the date that is five years after October 30, 2000. The expiration under the preceding sentence of authority to grant a waiver under subparagraph (A) shall not affect any waiver granted under that subparagraph before the expiration of such authority.

(C) Not later than February 15 of each year, the Administrator for Nuclear Security shall submit to Congress a report on any waivers granted under this paragraph during the preceding year.

(c) Contract considerations

(1) A Federal agency may issue regulations on suitable procedures for implementing the provisions of this section; however, implementation of this section shall not be delayed until issuance of such regulations.

(2) The agency in permitting a Federal laboratory to enter into agreements under this section shall be guided by the purposes of this chapter.

(3)(A) Any agency using the authority given it under subsection

(a) of this section shall review standards of conduct for its employees for resolving potential conflicts of interest to make sure they adequately establish guidelines for situations likely to arise through the use of this authority, including but not limited to cases where present or former employees or their partners negotiate licenses or assignments of titles to inventions or negotiate cooperative research and development agreements with Federal agencies (including the agency with which the employee involved is or was formerly employed).

(B) If, in implementing subparagraph (A), an agency is unable to resolve potential conflicts of interest within its current statutory framework, it shall propose necessary statutory changes to be forwarded to its authorizing committees in Congress.

(4) The laboratory director in deciding what cooperative research and development agreements to enter into shall -

(A) give special consideration to small business firms, and consortia involving small business firms; and

(B) give preference to business units located in the United States which agree that products embodying inventions made under the cooperative research and development agreement or produced through the use of such inventions will be manufactured substantially in the United States and, in the case of any industrial organization or other person subject to the control of a foreign company or government, as appropriate, take into consideration whether or not such foreign government permits United States agencies, organizations, or other persons to enter into cooperative research and development agreements and licensing agreements.

(5)(A) If the head of the agency or his designee desires an opportunity to disapprove or require the modification of any such agreement presented by the director of a Government-operated laboratory, the agreement shall provide a 30-day period within which such action must be taken beginning on the date the agreement is presented to him or her by the head of the laboratory concerned.

(B) In any case in which the head of an agency or his designee disapproves or requires the modification of an agreement presented by the director of a Government-operated laboratory under this section, the head of the agency or such designee shall transmit a written explanation of such disapproval or modification to the head of the laboratory concerned.

(C)(i) Any non-Federal entity that operates a laboratory pursuant to a contract with a Federal agency shall submit to the agency any cooperative research and development agreement that the entity proposes to enter into and the joint work statement if required with respect to that agreement.

(ii) A Federal agency that receives a proposed agreement and joint work statement under clause (i) shall review and approve, request specific modifications to, or disapprove the proposed agreement and joint work statement within 30 days after such submission. No agreement may be entered into by a Government-owned, contractor-operated laboratory under this section before both approval of the agreement and approval of a joint work statement under this clause.

(iii) In any case in which an agency which has contracted with an

entity referred to in clause (i) disapproves or requests the modification of a cooperative research and development agreement or joint work statement submitted under that clause, the agency shall transmit a written explanation of such disapproval or modification to the head of the laboratory concerned.

(iv) Any agency that has contracted with a non-Federal entity to operate a laboratory may develop and provide to such laboratory one or more model cooperative research and development agreements for purposes of standardizing practices and procedures, resolving common legal issues, and enabling review of cooperative research and development agreements to be carried out in a routine and prompt manner.

(v) A Federal agency may waive the requirements of clause (i) or (ii) under such circumstances as the agency considers appropriate.

(6) Each agency shall maintain a record of all agreements entered into under this section.

(7)(A) No trade secrets or commercial or financial information that is privileged or confidential, under the meaning of section 552(b)(4) of title 5, which is obtained in the conduct of research or as a result of activities under this chapter from a non-Federal party participating in a cooperative research and development agreement shall be disclosed.

(B) The director, or in the case of a contractor-operated laboratory, the agency, for a period of up to 5 years after development of information that results from research and development activities conducted under this chapter and that would be a trade secret or commercial or financial information that is privileged or confidential if the information had been obtained from a non-Federal party participating in a cooperative research and development agreement, may provide appropriate protections against the dissemination of such information, including exemption from subchapter II of chapter 5 of title 5.

(d) Definitions

As used in this section -

(1) the term ''cooperative research and development agreement'' means any agreement between one or more Federal laboratories and one or more non-Federal parties under which the Government, through its laboratories, provides personnel, services, facilities, equipment, intellectual property, or other resources with or without reimbursement (but not funds to non-Federal parties) and the non-Federal parties provide funds, personnel, services, facilities, equipment, intellectual property, or other resources toward the conduct of specified research or development efforts which are consistent with the missions of the laboratory; except that such term does not include a procurement contract or cooperative agreement as those terms are used in sections 6303, 6304, and 6305 of title 31;

(2) the term ''laboratory'' means -

(A) a facility or group of facilities owned, leased, or otherwise used by a Federal agency, a substantial purpose of which is the performance of research, development, or engineering by employees of the Federal Government;

(B) a group of Government-owned, contractor-operated facilities (including a weapon production facility of the

Department of Energy) under a common contract, when a substantial purpose of the contract is the performance of research and development, or the production, maintenance, testing, or dismantlement of a nuclear weapon or its components, for the Federal Government; and

(C) a Government-owned, contractor-operated facility (including a weapon production facility of the Department of Energy) that is not under a common contract described in subparagraph (B), and the primary purpose of which is the performance of research and development, or the production, maintenance, testing, or dismantlement of a nuclear weapon or its components, for the Federal Government,

but such term does not include any facility covered by Executive Order No. 12344, dated February 1, 1982, pertaining to the naval nuclear propulsion program;

(3) the term ''joint work statement'' means a proposal prepared for a Federal agency by the director of a Government-owned, contractor-operated laboratory describing the purpose and scope of a proposed cooperative research and development agreement, and assigning rights and responsibilities among the agency, the laboratory, and any other party or parties to the proposed agreement; and

(4) the term ''weapon production facility of the Department of Energy'' means a facility under the control or jurisdiction of the Secretary of Energy that is operated for national security purposes and is engaged in the production, maintenance, testing, or dismantlement of a nuclear weapon or its components.

(e) Determination of laboratory missions

For purposes of this section, an agency shall make separate determinations of the mission or missions of each of its laboratories.

(f) Relationship to other laws

Nothing in this section is intended to limit or diminish existing authorities of any agency.

(g) Principles

In implementing this section, each agency which has contracted with a non-Federal entity to operate a laboratory shall be guided by the following principles:

(1) The implementation shall advance program missions at the laboratory, including any national security mission.

(2) Classified information and unclassified sensitive information protected by law, regulation, or Executive order shall be appropriately safeguarded.

-SOURCE-

(Pub. L. 96-480, Sec. 12, as added and renumbered Sec. 11, Pub. L. 99-502, Sec. 2, 9(e)(1), Oct. 20, 1986, 100 Stat. 1785, 1797; renumbered Sec. 12, Pub. L. 100-418, title V, Sec. 5122(a)(1), Aug. 23, 1988, 102 Stat. 1438; amended Pub. L. 100-519, title III, Sec. 301, Oct. 24, 1988, 102 Stat. 2597; Pub. L. 101-189, div. C, title XXXI, Sec. 3133(a), (b), Nov. 29, 1989, 103 Stat. 1675, 1677; Pub. L. 102-25, title VII, Sec. 705(g), Apr. 6, 1991, 105 Stat. 121; Pub. L. 102-245, title III, Sec. 302(a), Feb. 14, 1992, 106 Stat. 20; Pub. L. 102-484, div. C, title XXXI, Sec. 3135(a), Oct. 23,

1992, 106 Stat. 2640; Pub. L. 103-160, div. C, title XXXI, Sec. 3160, Nov. 30, 1993, 107 Stat. 1957; Pub. L. 104-113, Sec. 4, Mar. 7, 1996, 110 Stat. 775; Pub. L. 106-398, Sec. 1 (div. C, title XXXI, Sec. 3196), Oct. 30, 2000, 114 Stat. 1654, 1654A-481; Pub. L. 106-404, Sec. 3, Nov. 1, 2000, 114 Stat. 1742.)

-REFTEXT-

REFERENCES IN TEXT

Executive Order No. 12344, referred to in subsec. (d)(2), is set out as a note under section 7158 of Title 42, The Public Health and Welfare.

-MISC2-

AMENDMENTS

2000 - Subsec. (a). Pub. L. 106-398, Sec. 1 (div. C, title XXXI, Sec. 3196(a)), substituted ''joint work statement or, if permitted by the agency, in an agency-approved annual strategic plan,'' for ''joint work statement,'' in introductory provisions.

Subsec. (b)(1). Pub. L. 106-404, in first sentence, inserted ''or, subject to section 209 of title 35, may grant a license to an invention which is federally owned, for which a patent application was filed before the signing of the agreement, and directly within the scope of the work under the agreement,'' after ''under the agreement,''.

Subsec. (b)(6). Pub. L. 106-398, Sec. 1 (div. C, title XXXI, Sec. 3196(b)), added par. (6).

Subsec. (c)(5)(C), (D). Pub. L. 106-398, Sec. 1 (div. C, title XXXI, Sec. 3196(c)), redesignated subpar. (D) as (C), struck out ''with a small business firm'' after ''enter into'' and inserted ''if'' after ''statement'' in cl. (i), added cls. (iv) and (v), and struck out former subpar. (C) which related to the duties of an agency which has contracted with a non-Federal entity to operate a laboratory with respect to review and approval of joint work statements and agreements under this section and with respect to providing the entity with model cooperative research and development agreements.

1996 - Subsec. (b). Pub. L. 104-113 amended subsec. (b) generally, to require that laboratory ensure that collaborating party be provided option of choosing exclusive license for pre-negotiated field of use for any invention under agreement or that collaborating party be offered option of holding licensing rights that collectively encompass rights that would be held under such exclusive license by one party, to set forth explicit conditions that grants under par. (1) were to be subject to, and to require laboratory to ensure that collaborating party might retain title to any invention made solely by its employee in exchange for normally granting Government nonexclusive, nontransferable, irrevocable, paid-up license to practice invention by or on behalf of Government for research or for other Government purposes.

1993 - Subsec. (d)(2)(B). Pub. L. 103-160, Sec. 3160(1), inserted ''(including a weapon production facility of the Department of Energy)'' after ''facilities'' and '', or the production, maintenance, testing, or dismantlement of a nuclear weapon or its components,'' after ''research and development''.

Subsec. (d)(2)(C). Pub. L. 103-160, Sec. 3160(2), inserted ''(including a weapon production facility of the Department of Energy)'' after ''facility'' and '', or the production, maintenance, testing, or dismantlement of a nuclear weapon or its components,'' after ''research and development''.

Subsec. (d)(4). Pub. L. 103-160, Sec. 3160(3)-(5), added par. (4).

1992 - Subsec. (c)(5)(C)(i). Pub. L. 102-484, Sec. 3135(a)(1), substituted ''Except as provided in subparagraph (D), any agency'' for ''Any agency''.

Subsec. (c)(5)(D). Pub. L. 102-484, Sec. 3135(a)(2), added subpar. (D).

Subsec. (d)(1). Pub. L. 102-245 inserted ''intellectual property,'' after ''equipment,'' in two places.

1991 - Subsec. (d)(2). Pub. L. 102-25 substituted ''naval'' for ''Naval'' in concluding provisions.

1989 - Subsec. (a). Pub. L. 101-189, Sec. 3133(a)(1)(A), inserted '', and, to the extent provided in an agency-approved joint work statement, the director of any of its Government-owned, contractor-operated laboratories'' after ''Government-operated Federal laboratories'' in introductory provisions.

Subsec. (a)(2). Pub. L. 101-189, Sec. 3133(a)(1)(B), (C), substituted ''(in the case of a Government-owned, contractor-operated laboratory, subject to subsection (c) of this section) for'' for ''for Government-owned'' and struck out ''of Federal employees'' before ''that may be voluntarily''.

Subsec. (b). Pub. L. 101-189, Sec. 3133(a)(2)(A), (C), inserted '', and, to the extent provided in an agency-approved joint work statement, a Government-owned, contractor-operated laboratory,'' after ''Government-operated Federal laboratory'' in introductory provisions and inserted concluding provisions ''A Government-owned, contractor-operated laboratory that enters into a cooperative research and development agreement under subsection (a)(1) of this section may use or obligate royalties or other income accruing to such laboratory under such agreement with respect to any invention only (i) for payments to inventors; (ii) for the purposes described in section 3710c(a)(1)(B)(i), (ii), and (iv) of this title; and (iii) for scientific research and development consistent with the research and development mission and objectives of the laboratory.''

Subsec. (b)(2). Pub. L. 101-189, Sec. 3133(a)(2)(B), substituted ''a laboratory employee'' for ''a Federal employee''.

Subsec. (c)(3)(A). Pub. L. 101-189, Sec. 3133(a)(3), substituted ''standards of conduct for its employees'' for ''employee standards of conduct''.

Subsec. (c)(5)(A). Pub. L. 101-189, Sec. 3133(a)(4), inserted ''presented by the director of a Government-operated laboratory'' after ''any such agreement''.

Subsec. (c)(5)(B). Pub. L. 101-189, Sec. 3133(a)(5), inserted ''by the director of a Government-operated laboratory'' after ''an agreement presented''.

Subsec. (c)(5)(C). Pub. L. 101-189, Sec. 3133(a)(6), added subpar. (C).

Subsec. (c)(7). Pub. L. 101-189, Sec. 3133(a)(7), added par. (7).

Subsec. (d)(2). Pub. L. 101-189, Sec. 3133(a)(8)(B), amended par. (2) generally. Prior to amendment, par. (2) read as follows: ''the term 'laboratory' means a facility or group of facilities owned, leased, or otherwise used by a Federal agency, a substantial purpose of which is the performance of research, development, or engineering by employees of the Federal Government.''

Subsec. (d)(3). Pub. L. 101-189, Sec. 3133(a)(8)(A), (C), added par. (3).

Subsec. (g). Pub. L. 101-189, Sec. 3133(b), added subsec. (g).

1988 - Subsec. (a)(2). Pub. L. 100-519, Sec. 301(1), substituted ''or other intellectual property developed at the laboratory and other inventions or other intellectual property'' for ''at the laboratory and other inventions''.

Subsec. (b)(4), (5). Pub. L. 100-519, Sec. 301(2), added par. (4) and redesignated former par. (4) as (5).

REVIEW OF COOPERATIVE RESEARCH AND DEVELOPMENT AGREEMENT PROCEDURES

Pub. L. 106-404, Sec. 8, Nov. 1, 2000, 114 Stat. 1746, provided that:

''(a) Review. - Within 90 days after the date of the enactment of this Act (Nov. 1, 2000), each Federal agency with a federally funded laboratory that has in effect on that date of the enactment one or more cooperative research and development agreements under section 12 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3710a) shall report to the Committee on National Security of the National Science and Technology Council and the Congress on the general policies and procedures used by that agency to gather and consider the views of other agencies on -

''(1) joint work statements under section 12(c)(5)(C) or (D) of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3710a(c)(5)(C) or (D)); or

''(2) in the case of laboratories described in section 12(d)(2)(A) of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3710a(d)(2)(A)), cooperative research and development agreements under such section 12,

with respect to major proposed cooperative research and development agreements that involve critical national security technology or may have a significant impact on domestic or international competitiveness.

''(b) Procedures. - Within 1 year after the date of the enactment of this Act (Nov. 1, 2000), the Committee on National Security of the National Science and Technology Council, in conjunction with relevant Federal agencies and national laboratories, shall -

''(1) determine the adequacy of existing procedures and methods for interagency coordination and awareness with respect to cooperative research and development agreements described in subsection (a); and

''(2) establish and distribute to appropriate Federal agencies -

''(A) specific criteria to indicate the necessity for gathering and considering the views of other agencies on joint work statements or cooperative research and development agreements as described in subsection (a); and

''(B) additional procedures, if any, for carrying out such gathering and considering of agency views with respect to

cooperative research and development agreements described in subsection (a).

Procedures established under this subsection shall be designed to the extent possible to use or modify existing procedures, to minimize burdens on Federal agencies, to encourage industrial partnerships with national laboratories, and to minimize delay in the approval or disapproval of joint work statements and cooperative research and development agreements.

''(c) Limitation. - Nothing in this Act (see Short Title of 2000 Amendment note set out under section 3701 of this title), nor any procedures established under this section shall provide to the Office of Science and Technology Policy, the National Science and Technology Council, or any Federal agency the authority to disapprove a cooperative research and development agreement or joint work statement, under section 12 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3710a), of another Federal agency.''

MAGNETIC LEVITATION TECHNOLOGY

The Secretary of the Army, in cooperation with the Secretary of Transportation, authorized to conduct research and development activities on magnetic levitation technology using contracts or cooperative research and development agreements under this section, see section 417 of Pub. L. 101-640, set out as a note under section 2313 of Title 33, Navigation and Navigable Waters.

CONTRACT PROVISIONS

Section 3133(d) of Pub. L. 101-189, as amended by Pub. L. 101-510, div. A, title VIII, Sec. 828(a), Nov. 5, 1990, 104 Stat. 1607, provided that:

''(1) Not later than 150 days after the date of enactment of this Act (Nov. 29, 1989), each agency which has contracted with a non-Federal entity to operate a Government-owned laboratory shall propose for inclusion in that laboratory's operating contract, to the extent not already included and subject to paragraph (6), appropriate contract provisions that -

''(A) establish technology transfer, including cooperative research and development agreements, as a mission for the laboratory under section 11(a)(1) of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3710(a)(1));

''(B) describe the respective obligations and responsibilities of the agency and the laboratory with respect to this part (part C (Sec. 3131-3133) of title XXXI of div. C of Pub. L. 101-189, see Short Title of 1989 Amendment note under section 3701 of this title) and section 12 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3710a);

''(C) require that, except as provided in paragraph (2), no employee of the laboratory shall have a substantial role (including an advisory role) in the preparation, negotiation, or approval of a cooperative research and development agreement if, to such employee's knowledge -

''(i) such employee, or the spouse, child, parent, sibling, or partner of such employee, or an organization (other than the laboratory) in which such employee serves as an officer, director, trustee, partner, or employee -

''(I) holds a financial interest in any entity, other than

the laboratory, that has a substantial interest in the preparation, negotiation, or approval of the cooperative research and development agreement; or

''(II) receives a gift or gratuity from any entity, other than the laboratory, that has a substantial interest in the preparation, negotiation, or approval of the cooperative research and development agreement; or

''(ii) a financial interest in any entity, other than the laboratory, that has a substantial interest in the preparation, negotiation, or approval of the cooperative research and development agreement, is held by any person or organization with whom such employee is negotiating or has any arrangement concerning prospective employment;

''(D) require that each employee of the laboratory who negotiates or approves a cooperative research and development agreement shall certify to the agency that the circumstances described in subparagraph (C)(i) and (ii) do not apply to such employee;

''(E) require the laboratory to widely disseminate information on opportunities to participate with the laboratory in technology transfer, including cooperative research and development agreements; and

''(F) provides for an accounting of all royalty or other income received under cooperative research and development agreements.

''(2) The requirements described in paragraph (1)(C) and (D) shall not apply in a case where the negotiating or approving employee advises the agency that reviewed the applicable joint work statement under section 12(c)(5)(C)(i) of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3710a(c)(5)(C)(i)) in advance of the matter in which he is to participate and the nature of any financial interest described in paragraph (1)(C), and where the agency employee determines that such financial interest is not so substantial as to be considered likely to affect the integrity of the laboratory employee's service in that matter.

''(3) Not later than 180 days after the date of enactment of this Act (Nov. 29, 1989), each agency which has contracted with a non-Federal entity to operate a Government-owned laboratory shall submit a report to the Congress which includes a copy of each contract provision amended pursuant to this subsection.

''(4) No Government-owned, contractor-operated laboratory may enter into a cooperative research and development agreement under section 12 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3710a) unless -

''(A) that laboratory's operating contract contains the provisions described in paragraph (1)(A) through (F); or

''(B) such laboratory agrees in a separate writing to be bound by the provisions described in paragraph (1)(A) through (F).

''(5) Any contract for a Government-owned, contractor-operated laboratory entered into after the expiration of 150 days after the date of enactment of this Act (Nov. 29, 1989) shall contain the provisions described in paragraph (1)(A) through (F).

''(6) Contract provisions referred to in paragraph (1) shall include only such provisions as are necessary to carry out paragraphs (1) and (2) of this subsection.''

(Pub. L. 101-510, div. A, title VIII, Sec. 828(b), Nov. 5, 1990, 104 Stat. 1607, provided that: ''Paragraph (6) of 3133(d) of such Act (Pub. L. 101-189, set out above), as added by subsection (a), shall apply only to contracts entered into after the date of enactment of this Act (Nov. 5, 1990).'')

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in sections 278n, 3710c, 3714, 3715, 5528 of this title; title 6 section 189; title 7 section 7624; title 10 sections 2371a, 2500, 2519; title 23 sections 403, 502; title 33 section 2313; title 35 section 209; title 42 sections 2123, 13541; title 49 section 309.

-CITE-

15 USC Sec. 3710b 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3710b. Rewards for scientific, engineering, and technical personnel of Federal agencies

-STATUTE-

The head of each Federal agency that is making expenditures at a rate of more than $50,000,000 per fiscal year for research and development in its Government-operated laboratories shall use the appropriate statutory authority to develop and implement a cash awards program to reward its scientific, engineering, and technical personnel for -

(1) inventions, innovations, computer software, or other outstanding scientific or technological contributions of value to the United States due to commercial application or due to contributions to missions of the Federal agency or the Federal government, (FOOTNOTE 1) or

(FOOTNOTE 1) So in original. Probably should be capitalized.

(2) exemplary activities that promote the domestic transfer of science and technology development within the Federal Government and result in utilization of such science and technology by American industry or business, universities, State or local governments, or other non-Federal parties.

-SOURCE-

(Pub. L. 96-480, Sec. 13, as added and renumbered Sec. 12, Pub. L. 99-502, Sec. 6, 9(e)(1), Oct. 20, 1986, 100 Stat. 1792, 1797; renumbered Sec. 13, Pub. L. 100-418, title V, Sec. 5122(a)(1), Aug. 23, 1988, 102 Stat. 1438; amended Pub. L. 100-519, title III, Sec. 302, Oct. 24, 1988, 102 Stat. 2597.)

-MISC1-

AMENDMENTS

1988 - Par. (1). Pub. L. 100-519 inserted ''computer software,''

after ''inventions, innovations,''.

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in section 3714 of this title.

-CITE-

15 USC Sec. 3710c 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3710c. Distribution of royalties received by Federal agencies

-STATUTE-

(a) In general

(1) Except as provided in paragraphs (2) and (4), any royalties or other payments received by a Federal agency from the licensing and assignment of inventions under agreements entered into by Federal laboratories under section 3710a of this title, and from the licensing of inventions of Federal laboratories under section 207 of title 35 or under any other provision of law, shall be retained by the laboratory which produced the invention and shall be disposed of as follows:

(A)(i) The head of the agency or laboratory, or such individual's designee, shall pay each year the first $2,000, and thereafter at least 15 percent, of the royalties or other payments, other than payments of patent costs as delineated by a license or assignment agreement, to the inventor or coinventors, if the inventor's or coinventor's rights are assigned to the United States.

(ii) An agency or laboratory may provide appropriate incentives, from royalties, or other payments, to laboratory employees who are not an inventor of such inventions but who substantially increased the technical value of such inventions.

(iii) The agency or laboratory shall retain the royalties and other payments received from an invention until the agency or laboratory makes payments to employees of a laboratory under clause (i) or (ii).

(B) The balance of the royalties or other payments shall be transferred by the agency to its laboratories, with the majority share of the royalties or other payments from any invention going to the laboratory where the invention occurred. The royalties or other payments so transferred to any laboratory may be used or obligated by that laboratory during the fiscal year in which they are received or during the 2 succeeding fiscal years -

(i) to reward scientific, engineering, and technical employees of the laboratory, including developers of sensitive or classified technology, regardless of whether the technology has commercial applications;

(ii) to further scientific exchange among the laboratories of the agency;

(iii) for education and training of employees consistent with the research and development missions and objectives of the agency or laboratory, and for other activities that increase the potential for transfer of the technology of the laboratories of the agency;

(iv) for payment of expenses incidental to the administration and licensing of intellectual property by the agency or laboratory with respect to inventions made at that laboratory, including the fees or other costs for the services of other agencies, persons, or organizations for intellectual property management and licensing services; or

(v) for scientific research and development consistent with the research and development missions and objectives of the laboratory.

(C) All royalties or other payments retained by the agency or laboratory after payments have been made pursuant to subparagraphs (A) and (B) that is unobligated and unexpended at the end of the second fiscal year succeeding the fiscal year in which the royalties and other payments were received shall be paid into the Treasury.

(2) If, after payments to inventors under paragraph (1), the royalties or other payments received by an agency in any fiscal year exceed 5 percent of the budget of the agency for that year, 75 percent of such excess shall be paid to the Treasury of the United States and the remaining 25 percent may be used or obligated under paragraph (1)(B). Any funds not so used or obligated shall be paid into the Treasury of the United States.

(3) Any payment made to an employee under this section shall be in addition to the regular pay of the employee and to any other awards made to the employee, and shall not affect the entitlement of the employee to any regular pay, annuity, or award to which he is otherwise entitled or for which he is otherwise eligible or limit the amount thereof. Any payment made to an inventor as such shall continue after the inventor leaves the laboratory or agency. Payments made under this section shall not exceed $150,000 per year to any one person, unless the President approves a larger award (with the excess over $150,000 being treated as a Presidential award under section 4504 of title 5).

(4) A Federal agency receiving royalties or other payments as a result of invention management services performed for another Federal agency or laboratory under section 207 of title 35, may retain such royalties or payments to the extent required to offset payments to inventors under clause (i) of paragraph (1)(A), costs and expenses incurred under clause (iv) of paragraph (1)(B), and the cost of foreign patenting and maintenance for any invention of the other agency. All royalties and other payments remaining after offsetting the payments to inventors, costs, and expenses described in the preceding sentence shall be transferred to the agency for which the services were performed, for distribution in accordance with paragraph (1)(B).

(b) Certain assignments

If the invention involved was one assigned to the Federal agency -

(1) by a contractor, grantee, or participant, or an employee of

a contractor, grantee, or participant, in an agreement or other arrangement with the agency, or

(2) by an employee of the agency who was not working in the laboratory at the time the invention was made,

the agency unit that was involved in such assignment shall be considered to be a laboratory for purposes of this section.

(c) Reports

The Comptroller General shall transmit a report to the appropriate committees of the Senate and House of Representatives on the effectiveness of Federal technology transfer programs, including findings, conclusions, and recommendations for improvements in such programs. The report shall be integrated with, and submitted at the same time as, the report required by section 202(b)(3) of title 35.

-SOURCE-

(Pub. L. 96-480, Sec. 14, as added, renumbered Sec. 13, and amended Pub. L. 99-502, Sec. 7, 9(e)(1), (3), Oct. 20, 1986, 100 Stat. 1792, 1797; renumbered Sec. 14 and amended Pub. L. 100-418, title V, Sec. 5122(a)(1), 5162(a), Aug. 23, 1988, 102 Stat. 1438, 1450; Pub. L. 100-519, title III, Sec. 303(a), Oct. 24, 1988, 102 Stat. 2597; Pub. L. 101-189, div. C, title XXXI, Sec. 3133(c), Nov. 29, 1989, 103 Stat. 1677; Pub. L. 104-113, Sec. 5, Mar. 7, 1996, 110 Stat. 777; Pub. L. 106-404, Sec. 7(7), 10(b), Nov. 1, 2000, 114 Stat. 1746, 1749.)

-MISC1-

AMENDMENTS

2000 - Subsec. (a)(1)(A)(i). Pub. L. 106-404, Sec. 7(7)(A), (B), inserted '', other than payments of patent costs as delineated by a license or assignment agreement,'' after ''or other payments'' and '', if the inventor's or coinventor's rights are assigned to the United States'' before period at end.

Subsec. (a)(1)(B). Pub. L. 106-404, Sec. 7(7)(C), substituted ''2 succeeding fiscal years'' for ''succeeding fiscal year'' in introductory provisions.

Subsec. (a)(2). Pub. L. 106-404, Sec. 7(7)(D), struck out ''Government-operated laboratories of the'' before ''agency for that year,''.

Subsec. (b)(2). Pub. L. 106-404, Sec. 7(7)(E), substituted ''invention'' for ''inventon''.

Subsec. (c). Pub. L. 106-404, Sec. 10(b), amended heading and text of subsec. (c) generally. Prior to amendment, text read as follows:

''(1) In making their annual budget submissions Federal agencies shall submit, to the appropriate authorization and appropriation committees of both Houses of the Congress, summaries of the amount of royalties or other income received and expenditures made (including inventor awards) under this section.

''(2) The Comptroller General, five years after October 20, 1986, shall review the effectiveness of the various royalty-sharing programs established under this section and report to the appropriate committees of the House of Representatives and the Senate, in a timely manner, his findings, conclusions, and

recommendations for improvements in such programs.''

1996 - Subsec. (a)(1). Pub. L. 104-113, Sec. 5(1), amended par. (1) generally, restructuring subpar. (A) to require head of agency or his designee to pay each year first $2,000, and thereafter at least 15 percent of royalties or other income received by agency on account of any invention to inventor or coinventors if they had assigned their rights in invention to United States and to authorize agencies to provide incentives to laboratory employees who substantially increase technical value of inventions, restructuring subpar. (B) to reorder cls. (i) to (iv), to add cl. (v), and to strike out closing provisions which required unobligated or unused funds to be paid into Treasury, and adding subpar. (C).

Subsec. (a)(2). Pub. L. 104-113, Sec. 5(2), in first sentence, inserted ''or other payments'' after ''royalties'' and substituted ''under paragraph (1)(B)'' for ''for the purposes described in clauses (i) through (iv) of paragraph (1)(B) during that fiscal year or the succeeding fiscal year''.

Subsec. (a)(3). Pub. L. 104-113, Sec. 5(3), substituted ''$150,000'' for ''$100,000'' in two places.

Subsec. (a)(4). Pub. L. 104-113, Sec. 5(4), in first sentence, substituted ''other payments'' for ''other income'', ''such royalties or payments'' for ''such royalties or income'', ''offset payments to inventors'' for ''offset the payment of royalties to inventors'', and ''clause (iv) of paragraph (1)(B)'' for ''clause (i) of paragraph (1)(B)'' and, in second sentence, substituted ''other payments'' for ''other income'', substituted ''offsetting the payments to inventors'' for ''payment of the royalties'', and struck out ''clauses (i) through (iv) of'' before ''paragraph (1)(B)''.

Subsec. (b)(1). Pub. L. 104-113, Sec. 5(5), amended par. (1) generally. Prior to amendment, par. (1) read as follows: ''by a contractor, grantee, or participant in a cooperative agreement with the agency, or''.

1989 - Subsec. (a)(1). Pub. L. 101-189, Sec. 3133(c)(1), in introductory provisions, inserted ''by Government-operated Federal laboratories'' after ''entered into'' and made technical amendment to reference to section 3710a of this title to correct reference to corresponding section of original Act, requiring no change in text.

Subsec. (a)(1)(B)(ii). Pub. L. 101-189, Sec. 3133(c)(2), inserted '', including payments to inventors and developers of sensitive or classified technology, regardless of whether the technology has commercial applications'' after ''that laboratory''.

Subsec. (a)(1)(B)(iv). Pub. L. 101-189, Sec. 3133(c)(3), substituted ''technology of the laboratories'' for ''technology of the Government-operated laboratories''.

1988 - Subsec. (a)(1)(A)(i). Pub. L. 100-519, Sec. 303(a)(1), substituted ''has assigned his or her rights in the invention to the United States'' for ''was an employee of the agency at the time the invention was made''.

Subsec. (a)(1)(A)(ii). Pub. L. 100-519, Sec. 303(a)(2), substituted ''under clause (i)'' for ''who were employed by the agency at the time the invention was made and whose names appear on licensed inventions''.

Subsec. (a)(4). Pub. L. 100-418, Sec. 5162(a), substituted ''may'' for ''shall'' and ''any invention of the other agency'' for ''such invention performed at the request of the other agency or laboratory'' in first sentence.

1986 - Subsec. (a)(1). Pub. L. 99-502, Sec. 9(e)(3), in introductory par. made technical amendment to reference to section 3710a of this title to reflect renumbering of corresponding section of original act.

EFFECTIVE DATE OF 1988 AMENDMENT

Section 303(b) of Pub. L. 100-519 provided that: ''This section (amending this section) shall be effective as of October 20, 1986.''

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in sections 3704b, 3710a, 3714 of this title.

-CITE-

15 USC Sec. 3710d 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3710d. Employee activities

-STATUTE-

(a) In general

If a Federal agency which has ownership of or the right of ownership to an invention made by a Federal employee does not intend to file for a patent application or otherwise to promote commercialization of such invention, the agency shall allow the inventor, if the inventor is a Government employee or former employee who made the invention during the course of employment with the Government, to obtain or retain title to the invention (subject to reservation by the Government of a nonexclusive, nontransferrable, irrevocable, paid-up license to practice the invention or have the invention practiced throughout the world by or on behalf of the Government). In addition, the agency may condition the inventor's right to title on the timely filing of a patent application in cases when the Government determines that it has or may have a need to practice the invention.

(b) ''Special Government employees'' defined

For purposes of this section, Federal employees include ''special Government employees'' as defined in section 202 of title 18.

(c) Relationship to other laws

Nothing in this section is intended to limit or diminish existing authorities of any agency.

-SOURCE-

(Pub. L. 96-480, Sec. 15, as added and renumbered Sec. 14, Pub. L. 99-502, Sec. 8, 9(e)(1), Oct. 20, 1986, 100 Stat. 1794, 1797;

renumbered Sec. 15, Pub. L. 100-418, title V, Sec. 5122(a)(1), Aug. 23, 1988, 102 Stat. 1438; amended Pub. L. 104-113, Sec. 6, Mar. 7, 1996, 110 Stat. 779.)

-MISC1-

AMENDMENTS

1996 - Subsec. (a). Pub. L. 104-113 substituted ''ownership of or the right of ownership to an invention made by a Federal employee'' for ''the right of ownership to an invention under this chapter'' and inserted ''obtain or'' before ''retain title to the invention''.

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in section 3708 of this title.

-CITE-

15 USC Sec. 3711 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3711. National Technology Medal

-STATUTE-

(a) Establishment

There is hereby established a National Technology Medal, which shall be of such design and materials and bear such inscriptions as the President, on the basis of recommendations submitted by the Office of Science and Technology Policy, may prescribe.

(b) Award

The President shall periodically award the medal, on the basis of recommendations received from the Secretary or on the basis of such other information and evidence as he deems appropriate, to individuals or companies, which in his judgment are deserving of special recognition by reason of their outstanding contributions to the promotion of technology or technological manpower for the improvement of the economic, environmental, or social well-being of the United States.

(c) Presentation

The presentation of the award shall be made by the President with such ceremonies as he may deem proper.

-SOURCE-

(Pub. L. 96-480, Sec. 16, formerly Sec. 12, Oct. 21, 1980, 94 Stat. 2319; renumbered Sec. 16, Pub. L. 99-502, Sec. 2, Oct. 20, 1986, 100 Stat. 1785; renumbered Sec. 15, Pub. L. 99-502, Sec. 9(e)(1), Oct. 20, 1986, 100 Stat. 1797; renumbered Sec. 16, Pub. L. 100-418, title V, Sec. 5122(a)(1), Aug. 23, 1988, 102 Stat. 1438.)

-MISC1-

NATIONAL TECHNOLOGY MEDAL FOR ENVIRONMENTAL TECHNOLOGY

Pub. L. 105-309, Sec. 10, Oct. 30, 1998, 112 Stat. 2939, provided that: ''In the administration of section 16 of the Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3711), Environmental Technology shall be established as a separate nomination category with appropriate unique criteria for that category.''

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in section 3713 of this title.

-CITE-

15 USC Sec. 3711a 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3711a. Malcolm Baldrige National Quality Award

-STATUTE-

(a) Establishment

There is hereby established the Malcolm Baldrige National Quality Award, which shall be evidenced by a medal bearing the inscriptions ''Malcolm Baldrige National Quality Award'' and ''The Quest for Excellence''. The medal shall be of such design and materials and bear such additional inscriptions as the Secretary may prescribe.

(b) Making and presentation of award

(1) The President (on the basis of recommendations received from the Secretary), or the Secretary, shall periodically make the award to companies and other organizations which in the judgment of the President or the Secretary have substantially benefited the economic or social well-being of the United States through improvements in the quality of their goods or services resulting from the effective practice of quality management, and which as a consequence are deserving of special recognition.

(2) The presentation of the award shall be made by the President or the Secretary with such ceremonies as the President or the Secretary may deem proper.

(3) An organization to which an award is made under this section, and which agrees to help other American organizations improve their quality management, may publicize its receipt of such award and use the award in its advertising, but it shall be ineligible to receive another such award in the same category for a period of 5 years.

(c) Categories in which award may be given

(1) Subject to paragraph (2), separate awards shall be made to qualifying organizations in each of the following categories -

(A) Small businesses.

(B) Companies or their subsidiaries.

(C) Companies which primarily provide services.

(D) Health care providers.

(E) Education providers.

(2) The Secretary may at any time expand, subdivide, or otherwise modify the list of categories within which awards may be made as

initially in effect under paragraph (1), and may establish separate awards for other organizations including units of government, upon a determination that the objectives of this section would be better served thereby; except that any such expansion, subdivision, modification, or establishment shall not be effective unless and until the Secretary has submitted a detailed description thereof to the Congress and a period of 30 days has elapsed since that submission.

(3) Not more than two awards may be made within any subcategory in any year, unless the Secretary determines that a third award is merited and can be given at no additional cost to the Federal Government (and no award shall be made within any category or subcategory if there are no qualifying enterprises in that category or subcategory).

(d) Criteria for qualification

(1) An organization may qualify for an award under this section only if it -

(A) applies to the Director of the National Institute of Standards and Technology in writing, for the award,

(B) permits a rigorous evaluation of the way in which its business and other operations have contributed to improvements in the quality of goods and services, and

(C) meets such requirements and specifications as the Secretary, after receiving recommendations from the Board of Overseers established under paragraph (2)(B) and the Director of the National Institute of Standards and Technology, determines to be appropriate to achieve the objectives of this section.

In applying the provisions of subparagraph (C) with respect to any organization, the Director of the National Institute of Standards and Technology shall rely upon an intensive evaluation by a competent board of examiners which shall review the evidence submitted by the organization and, through a site visit, verify the accuracy of the quality improvements claimed. The examination should encompass all aspects of the organization's current practice of quality management, as well as the organization's provision for quality management in its future goals. The award shall be given only to organizations which have made outstanding improvements in the quality of their goods or services (or both) and which demonstrate effective quality management through the training and involvement of all levels of personnel in quality improvement.

(2)(A) The Director of the National Institute of Standards and Technology shall, under appropriate contractual arrangements, carry out the Director's responsibilities under subparagraphs (A) and (B) of paragraph (1) through one or more broad-based nonprofit entities which are leaders in the field of quality management and which have a history of service to society.

(B) The Secretary shall appoint a board of overseers for the award, consisting of at least five persons selected for their preeminence in the field of quality management. This board shall meet annually to review the work of the contractor or contractors and make such suggestions for the improvement of the award process as they deem necessary. The board shall report the results of the award activities to the Director of the National Institute of Standards and Technology each year, along with its recommendations

for improvement of the process.

(e) Information and technology transfer program

The Director of the National Institute of Standards and Technology shall ensure that all program participants receive the complete results of their audits as well as detailed explanations of all suggestions for improvements. The Director shall also provide information about the awards and the successful quality improvement strategies and programs of the award-winning participants to all participants and other appropriate groups.

(f) Funding

The Secretary is authorized to seek and accept gifts from public and private sources to carry out the program under this section. If additional sums are needed to cover the full cost of the program, the Secretary shall impose fees upon the organizations applying for the award in amounts sufficient to provide such additional sums. The Director is authorized to use appropriated funds to carry out responsibilities under this chapter.

(g) Report

The Secretary shall prepare and submit to the President and the Congress, within 3 years after August 20, 1987, a report on the progress, findings, and conclusions of activities conducted pursuant to this section along with recommendations for possible modifications thereof.

-SOURCE-

(Pub. L. 96-480, Sec. 17, formerly Sec. 16, as added Pub. L. 100-107, Sec. 3(a), Aug. 20, 1987, 101 Stat. 725; renumbered Sec. 17 and amended Pub. L. 100-418, title V, Sec. 5115(b)(2)(A), 5122(a)(1), Aug. 23, 1988, 102 Stat. 1433, 1438; Pub. L. 102-245, title III, Sec. 305, Feb. 14, 1992, 106 Stat. 20; Pub. L. 105-309, Sec. 3, Oct. 30, 1998, 112 Stat. 2935.)

-MISC1-

AMENDMENTS

1998 - Subsec. (c)(1)(D), (E). Pub. L. 105-309, Sec. 3(b), added subpars. (D) and (E).

Subsec. (c)(3). Pub. L. 105-309, Sec. 3(a), inserted '', unless the Secretary determines that a third award is merited and can be given at no additional cost to the Federal Government'' after ''in any year''.

1992 - Subsec. (f). Pub. L. 102-245 inserted at end ''The Director is authorized to use appropriated funds to carry out responsibilities under this chapter.''

1988 - Subsecs. (d), (e). Pub. L. 100-418, Sec. 5115(b)(2)(A), substituted ''National Institute of Standards and Technology'' for ''National Bureau of Standards'' wherever appearing.

FINDINGS AND PURPOSES

Section 2 of Pub. L. 100-107 provided that:

''(a) Findings. - The Congress finds and declares that -

''(1) the leadership of the United States in product and process quality has been challenged strongly (and sometimes successfully) by foreign competition, and our Nation's productivity growth has improved less than our competitors over the last two decades;

''(2) American business and industry are beginning to understand that poor quality costs companies as much as 20 percent of sales revenues nationally, and that improved quality of goods and services goes hand in hand with improved productivity, lower costs, and increased profitability;

''(3) strategic planning for quality and quality improvement programs, through a commitment to excellence in manufacturing and services, are becoming more and more essential to the well-being of our Nation's economy and our ability to compete effectively in the global marketplace;

''(4) improved management understanding of the factory floor, worker involvement in quality, and greater emphasis on statistical process control can lead to dramatic improvements in the cost and quality of manufactured products;

''(5) the concept of quality improvement is directly applicable to small companies as well as large, to service industries as well as manufacturing, and to the public sector as well as private enterprise;

''(6) in order to be successful, quality improvement programs must be management-led and customer-oriented and this may require fundamental changes in the way companies and agencies do business;

''(7) several major industrial nations have successfully coupled rigorous private sector quality audits with national awards giving special recognition to those enterprises the audits identify as the very best; and

''(8) a national quality award program of this kind in the United States would help improve quality and productivity by -

''(A) helping to stimulate American companies to improve quality and productivity for the pride of recognition while obtaining a competitive edge through increased profits,

''(B) recognizing the achievements of those companies which improve the quality of their goods and services and providing an example to others,

''(C) establishing guidelines and criteria that can be used by business, industrial, governmental, and other organizations in evaluating their own quality improvement efforts, and

''(D) providing specific guidance for other American organizations that wish to learn how to manage for high quality by making available detailed information on how winning organizations were able to change their cultures and achieve eminence.

''(b) Purpose. - It is the purpose of this Act (enacting section 3711a of this title, amending section 3708 of this title, and enacting provisions set out as a note under section 3701 of this title) to provide for the establishment and conduct of a national quality improvement program under which (1) awards are given to selected companies and other organizations in the United States that practice effective quality management and as a result make significant improvements in the quality of their goods and services, and (2) information is disseminated about the successful strategies and programs.''

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in section 3708 of this title.

-CITE-

15 USC Sec. 3711b 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3711b. Conference on advanced automotive technologies

-STATUTE-

Not later than 180 days after December 18, 1991, the Secretary of Commerce, through the Under Secretary of Commerce for Technology, in consultation with other appropriate officials, shall convene a conference of domestic motor vehicle manufacturers, parts suppliers, Federal laboratories, and motor vehicle users to explore ways in which cooperatively they can improve the competitiveness of the United States motor vehicle industry by developing new technologies which will enhance the safety and energy savings, and lessen the environmental impact of domestic motor vehicles, and the results of such conference shall be published and then submitted to the President and to the Committees on Science, Space, and Technology and Public Works and Transportation of the House of Representatives and the Committee on Commerce, Science, and Transportation of the Senate.

-SOURCE-

(Pub. L. 96-480, Sec. 18, as added Pub. L. 102-240, title VI, Sec. 6019, Dec. 18, 1991, 105 Stat. 2183.)

-CHANGE-

CHANGE OF NAME

Committee on Science, Space, and Technology of House of Representatives treated as referring to Committee on Science of House of Representatives by section 1(a) of Pub. L. 104-14, set out as a note preceding section 21 of Title 2, The Congress.

Committee on Public Works and Transportation of House of Representatives treated as referring to Committee on Transportation and Infrastructure of House of Representatives by section 1(a) of Pub. L. 104-14, set out as a note preceding section 21 of Title 2.

-CITE-

15 USC Sec. 3711c 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3711c. Advanced motor vehicle research award

-STATUTE-

(a) Establishment

There is established a National Award for the Advancement of Motor Vehicle Research and Development. The award shall consist of a medal, and a cash prize if funding is available for the prize under subsection (c) of this section. The medal shall be of such design and materials and bear inscriptions as is determined by the Secretary of Transportation.

(b) Making and presenting award

The Secretary of Transportation shall periodically make and present the award to domestic motor vehicle manufacturers, suppliers, or Federal laboratory personnel who, in the opinion of the Secretary of Transportation, have substantially improved domestic motor vehicle research and development in safety, energy savings, or environmental impact. No person may receive the award more than once every 5 years.

(c) Funding for award

The Secretary of Transportation may seek and accept gifts of money from private sources for the purpose of making cash prize awards under this section. Such money may be used only for that purpose, and only such money may be used for that purpose.

-SOURCE-

(Pub. L. 96-480, Sec. 19, as added Pub. L. 102-240, title VI, Sec. 6019, Dec. 18, 1991, 105 Stat. 2184.)

-CITE-

15 USC Sec. 3712 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3712. Personnel exchanges

-STATUTE-

The Secretary and the National Science Foundation, jointly, shall establish a program to foster the exchange of scientific and technical personnel among academia, industry, and Federal laboratories. Such program shall include both (1) federally supported exchanges and (2) efforts to stimulate exchanges without Federal funding.

-SOURCE-

(Pub. L. 96-480, Sec. 20, formerly Sec. 13, Oct. 21, 1980, 94 Stat. 2320; renumbered Sec. 17, Pub. L. 99-502, Sec. 2, Oct. 20, 1986, 100 Stat. 1785; renumbered Sec. 16, Pub. L. 99-502, Sec. 9(e)(1), Oct. 20, 1986, 100 Stat. 1797; renumbered Sec. 17, Pub. L. 100-107, Sec. 3(a), Aug. 20, 1987, 101 Stat. 725; renumbered Sec. 18, Pub. L. 100-418, title V, Sec. 5122(a)(1), Aug. 23, 1988, 102 Stat. 1438; renumbered Sec. 20, Pub. L. 102-240, title VI, Sec. 6019, Dec. 18, 1991, 105 Stat. 2183.)

-SECREF-

SECTION REFERRED TO IN OTHER SECTIONS

This section is referred to in section 3708 of this title.

-CITE-

15 USC Sec. 3713 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE

CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3713. Authorization of appropriations

-STATUTE-

(a)(1) There is authorized to be appropriated to the Secretary for the purposes of carrying out sections 3704, 3710(g), and 3711 of this title not to exceed $3,400,000 for the fiscal year ending September 30, 1988.

(2) Of the amount authorized under paragraph (1) of this subsection, $2,400,000 is authorized only for the Office of Productivity, Technology, and Innovation; $500,000 is authorized only for the purpose of carrying out the requirements of the Japanese technical literature program established under section 3704(d) of this title; and $500,000 is authorized only for the patent licensing activities of the National Technical Information Service.

(b) In addition to the authorization of appropriations provided under subsection (a) of this section, there is authorized to be appropriated to the Secretary for the purposes of carrying out section 3704a of this title not to exceed $500,000 for the fiscal year ending September 30, 1988, $1,000,000 for the fiscal year ending September 30, 1989, and $1,500,000 for the fiscal year ending September 30, 1990.

(c) Such sums as may be appropriated under subsections (a) and (b) of this section shall remain available until expended.

(d) To enable the National Science Foundation to carry out its powers and duties under this chapter only such sums may be appropriated as the Congress may authorize by law.

-SOURCE-

(Pub. L. 96-480, Sec. 21, formerly Sec. 14, Oct. 21, 1980, 94 Stat. 2320; renumbered Sec. 18, Pub. L. 99-502, Sec. 2, Oct. 20, 1986, 100 Stat. 1785; renumbered Sec. 17, Pub. L. 99-502, Sec. 9(e)(1), Oct. 20, 1986, 100 Stat. 1797; renumbered Sec. 18, Pub. L. 100-107, Sec. 3(a), Aug. 20, 1987, 101 Stat. 725; renumbered Sec. 19 and amended Pub. L. 100-418, title V, Sec. 5122(a)(1), 5152, Aug. 23, 1988, 102 Stat. 1438, 1449; renumbered Sec. 21, Pub. L. 102-240, title VI, Sec. 6019, Dec. 18, 1991, 105 Stat. 2183.)

-MISC1-

AMENDMENTS

1988 - Subsec. (a). Pub. L. 100-418, Sec. 5152, amended subsec. (a) generally. Prior to amendment, subsec. (a) read as follows:

''There is authorized to be appropriated to the Secretary for purposes of carrying out section 3705 of this title, not to exceed $19,000,000 for the fiscal year ending September 30, 1981, $40,000,000 for fiscal year ending September 30, 1982, $50,000,000 for the fiscal year ending September 30, 1983, and $60,000,000 for each of the fiscal years ending September 30, 1984, and 1985.''

Subsec. (b). Pub. L. 100-418, Sec. 5152, amended subsec. (b) generally. Prior to amendment, subsec. (b) read as follows: ''In addition to authorizations of appropriations under subsection (a) of this section, there is authorized to be appropriated to the Secretary for purposes of carrying out the provisions of this chapter, not to exceed $5,000,000 for the fiscal year ending September 30, 1981, $9,000,000 for the fiscal year ending September 30, 1982, and $14,000,000 for each of the fiscal years ending September 30, 1983, 1984, and 1985.''

-CITE-

15 USC Sec. 3714 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3714. Spending authority

-STATUTE-

No payments shall be made or contracts shall be entered into pursuant to the provisions of this chapter (other than sections 3710a, 3710b, and 3710c of this title) except to such extent or in such amounts as are provided in advance in appropriation Acts.

-SOURCE-

(Pub. L. 96-480, Sec. 22, formerly Sec. 15, Oct. 21, 1980, 94 Stat. 2320; renumbered Sec. 19, Pub. L. 99-502, Sec. 2, Oct. 20, 1986, 100 Stat. 1785; renumbered Sec. 18, and amended Pub. L. 99-502, Sec. 9(b)(13), (e)(1), (4), Oct. 20, 1986, 100 Stat. 1796, 1797; renumbered Sec. 19, Pub. L. 100-107, Sec. 3(a), Aug. 20, 1987, 101 Stat. 725; renumbered Sec. 20, Pub. L. 100-418, title V, Sec. 5122(a)(1), Aug. 23, 1988, 102 Stat. 1438; renumbered Sec. 22, Pub. L. 102-240, title VI, Sec. 6019, Dec. 18, 1991, 105 Stat. 2183; Pub. L. 106-404, Sec. 7(8), Nov. 1, 2000, 114 Stat. 1746.)

-MISC1-

AMENDMENTS

2000 - Pub. L. 106-404 made technical amendments to references in original act which appear in text as references to sections 3710a, 3710b, and 3710c of this title.

1986 - Pub. L. 99-502, Sec. 9(e)(4), made technical amendment to references to sections 3710a, 3710b, and 3710c of this title to reflect renumbering of corresponding sections of original act.

Pub. L. 99-502, Sec. 9(b)(13), inserted exception relating to sections 3710a, 3710b, and 3710c of this title.

-CITE-
15 USC Sec. 3715 01/06/03

-EXPCITE-
TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-
Sec. 3715. Use of partnership intermediaries

-STATUTE-
(a) Authority

Subject to the approval of the Secretary or head of the affected department or agency, the Director of a Federal laboratory, or in the case of a federally funded research and development center that is not a laboratory (as defined in section 3710a(d)(2) of this title), the Federal employee who is the contract officer, may -

(1) enter into a contract or memorandum of understanding with a partnership intermediary that provides for the partnership intermediary to perform services for the Federal laboratory that increase the likelihood of success in the conduct of cooperative or joint activities of such Federal laboratory with small business firms, institutions of higher education as defined in section 1141(a) (FOOTNOTE 1) of title 20, or educational institutions within the meaning of section 2194 of title 10; and

(FOOTNOTE 1) See References in Text note below.

(2) pay the Federal costs of such contract or memorandum of understanding out of funds available for the support of the technology transfer function pursuant to section 3710(b) of this title.

(b) Omitted

(c) ''Partnership intermediary'' defined

For purposes of this section, the term ''partnership intermediary'' means an agency of a State or local government, or a nonprofit entity owned in whole or in part by, chartered by, funded in whole or in part by, or operated in whole or in part by or on behalf of a State or local government, that assists, counsels, advises, evaluates, or otherwise cooperates with small business firms, institutions of higher education as defined in section 1141(a) (FOOTNOTE 1) of title 20, or educational institutions within the meaning of section 2194 of title 10, that need or can make demonstrably productive use of technology-related assistance from a Federal laboratory, including State programs receiving funds under cooperative agreements entered into under section 5121(b) of the Omnibus Trade and Competitiveness Act of 1988 (15 U.S.C. 278l note).

-SOURCE-
(Pub. L. 96-480, Sec. 23, formerly Sec. 21, as added Pub. L. 101-510, div. A, title VIII, Sec. 827(a), Nov. 5, 1990, 104 Stat. 1606; amended Pub. L. 102-190, div. A, title VIII, Sec. 836, Dec. 5, 1991, 105 Stat. 1448; renumbered Sec. 23, Pub. L. 102-240, title VI, Sec. 6019, Dec. 18, 1991, 105 Stat. 2183; Pub. L. 106-404, Sec. 9, Nov. 1, 2000, 114 Stat. 1747.)

-REFTEXT-

REFERENCES IN TEXT

Section 1141(a) of title 20, referred to in subsecs. (a)(1) and (c), was repealed by Pub. L. 105-244, Sec. 3, title I, Sec. 101(b), title VII, Sec. 702, Oct. 7, 1998, 112 Stat. 1585, 1616, 1803, effective Oct. 1, 1998. However, the term ''institution of higher education'' is defined in section 1001 of Title 20, Education.

-COD-

CODIFICATION

Subsec. (b) of this section, which required the Secretary to include in each triennial report required under section 3704d of this title a discussion and evaluation of activities carried out pursuant to this section, was omitted because of the termination of the triennial reporting requirement. See Codification note set out after section 3704a of this title.

-MISC3-

AMENDMENTS

2000 - Subsec. (a)(1). Pub. L. 106-404, Sec. 9(1), inserted '', institutions of higher education as defined in section 1141(a) of title 20, or educational institutions within the meaning of section 2194 of title 10'' after ''small business firms''.

Subsec. (c). Pub. L. 106-404, Sec. 9(2), inserted '', institutions of higher education as defined in section 1141(a) of title 20, or educational institutions within the meaning of section 2194 of title 10,'' after ''small business firms''.

1991 - Subsec. (a). Pub. L. 102-190 inserted ''that is not a laboratory (as defined in section 3710a(d)(2) of this title)'' after ''center'' in introductory provisions.

-CITE-

15 USC Sec. 3716 01/06/03

-EXPCITE-

TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-

Sec. 3716. Critical industries

-STATUTE-

(a) Identification of industries and development of plan

The Secretary shall -

(1) identify those civilian industries in the United States that are necessary to support a robust manufacturing infrastructure and critical to the economic security of the United States; and

(2) list the major research and development initiatives being undertaken, and the substantial investments being made, by the Federal Government, including its research laboratories, in each of the critical industries identified under paragraph (1).

(b) Initial report

The Secretary shall submit a report to the Congress within 1 year after February 14, 1992, on the actions taken under subsection (a) of this section.

-SOURCE-
(Pub. L. 102-245, title V, Sec. 504, Feb. 14, 1992, 106 Stat. 24.)

-COD-
CODIFICATION

Subsec. (c) of this section, which required the Secretary to annually submit to Congress an update of the report submitted under subsec. (b) of this section, terminated, effective May 15, 2000, pursuant to section 3003 of Pub. L. 104-66, as amended, set out as a note under section 1113 of Title 31, Money and Finance. See, also, page 52 of House Document No. 103-7.

Section was enacted as part of the American Technology Preeminence Act of 1991, and not as part of the Stevenson-Wydler Technology Innovation Act of 1980 which comprises this chapter.

-CITE-
15 USC Sec. 3717 01/06/03

-EXPCITE-
TITLE 15 - COMMERCE AND TRADE
CHAPTER 63 - TECHNOLOGY INNOVATION

-HEAD-
Sec. 3717. National Quality Council

-STATUTE-
(a) Establishment and functions

There is established a National Quality Council (hereafter in this section referred to as the ''Council''). The functions of the Council shall be -

(1) to establish national goals and priorities for Quality performance in business, education, government, and all other sectors of the Nation;

(2) to encourage and support the voluntary adoption of these goals and priorities by companies, unions, professional and business associations, coalition groups, and units of government, as well as private and nonprofit organizations;

(3) to arouse and maintain the interest of the people of the United States in Quality performance, and to encourage the adoption and institution of Quality performance methods by all corporations, government agencies, and other organizations; and

(4) to conduct a White House Conference on Quality Performance in the American Workplace that would bring together in a single forum national leaders in business, labor, education, professional societies, the media, government, and politics to address Quality performance as a means of improving United States competitiveness.

(b) Membership

The Council shall consist of not less than 17 or more than 20 members, appointed by the Secretary. Members shall include -

(1) at least 2 but not more than 3 representatives from manufacturing industry;

(2) at least 2 but not more than 3 representatives from service industry;

(3) at least 2 but not more than 3 representatives from national Quality not-for-profit organizations;

(4) two representatives from education, one with expertise in elementary and secondary education, and one with expertise in post-secondary education;

(5) one representative from labor;

(6) one representative from professional societies;

(7) one representative each from local and State government;

(8) one representative from the Federal Quality Institute;

(9) one representative from the National Institute of Standards and Technology;

(10) one representative from the Department of Defense;

(11) one representative from a civilian Federal agency not otherwise represented on the Council, to be rotated among such agencies every 2 years; and

(12) one representative from the Foundation for the Malcolm Baldrige National Quality Award.

(c) Terms

The term of office of each member of the Council appointed under paragraphs (1) through (7) of subsection (b) of this section shall be 2 years, except that when making the initial appointments under such paragraphs; the Secretary shall appoint not more than 50 percent of the members to 1 year terms. No member appointed under such paragraphs shall serve on the Council for more than 2 consecutive terms.

(d) Chairman and Vice Chairman

The Secretary shall designate one of the members initially appointed to the Council as Chairman. Thereafter, the members of the Council shall annually elect one of their number as Chairman. The members of the Council shall also annually elect one of their members as Vice Chairman. No individual shall serve as Chairman or Vice Chairman for more than 2 consecutive years.

(e) Executive Director and employees

The Council shall appoint and fix the compensation of an Executive Director, who shall hire and fix the compensation of such additional employees as may be necessary to assist the Council in carrying out its functions. In hiring such additional employees, the Executive Director shall ensure that no individual hired has a conflict of interest with the responsibilities of the Council.

(f) Funding

There is established in the Treasury of the United States a National Quality Performance Trust Fund, into which all funds received by the Council, through private donations or otherwise, shall be deposited. Amounts in such Trust Fund shall be available to the Council, to the extent provided in advance in appropriations Acts, for the purpose of carrying out the functions of the Council under this Act.

(g) Contributions

The Council may not accept private donations from a single source in excess of $25,000 per year. Private donations from a single

source in excess of $10,000 per year may be accepted by the Council only on approval of two-thirds of the Council.

(h) Annual report

The Council shall annually submit to the President and the Congress a comprehensive and detailed report on -

(1) the progress in meeting the goals and priorities established by the Council;

(2) the Council's operations, activities, and financial condition;

(3) contributions to the Council from non-Federal sources;

(4) plans for the Council's operations and activities for the future; and

(5) any other information or recommendations the Council considers appropriate.

-SOURCE-

(Pub. L. 102-245, title V, Sec. 507, Feb. 14, 1992, 106 Stat. 27.)

-REFTEXT-

REFERENCES IN TEXT

This Act, referred to in subsec. (f), is Pub. L. 102-245, Feb. 14, 1992, 106 Stat. 7, known as the American Technology Preeminence Act of 1991. For complete classification of this Act to the Code, see Short Title of 1992 Amendment note set out under section 3701 of this title and Tables.

-COD-

CODIFICATION

Section was enacted as part of the American Technology Preeminence Act of 1991, and not as part of the Stevenson-Wydler Technology Innovation Act of 1980 which comprises this chapter.

-MISC3-

TERMINATION OF REPORTING REQUIREMENTS

For termination, effective May 15, 2000, of provisions in subsec. (h) of this section relating to annually submitting a report to Congress, see section 3003 of Pub. L. 104-66, as amended, set out as a note under section 1113 of Title 31, Money and Finance, and page 184 of House Document No. 103-7.

-CITE-