PCT NEWSLETTER

FEBRUARY 1996 No. 02/1996

EUROPEAN PATENT CONVENTION: ACCESSION BY FINLAND

On 29 December 1995, Finland deposited its instrument of accession to the European Patent Convention and will become bound by that Convention on 1 March 1996. Therefore, in any international application filed on or after 1 March 1996, Finland may be designated either for a national patent or a European patent, or both a national and a European patent. Any designation in an international application for a European patent will, as from that date, automatically include the designation of Finland for that purpose, if the printed PCT request form is used, since the statement "and any other State which is a Contracting State of the European Patent Convention and of the PCT" is already printed on that form. If the request is presented as a computer print-out, applicants must make sure that either the statement quoted above, or the two letter code FI or the name of Finland, is included next to the EP designation.

Moreover, as from 1 March 1996, nationals and residents of Finland may file international applications with the European Patent Office as receiving Office, in addition to the National Board of Patents and Registration of Finland and the International Bureau of WIPO.

EXTENSION OF EUROPEAN PATENTS TO ALBANIA

The agreement between the European Patent Organisation and Albania on the extension of the effects of European patent applications and patents to Albania, which was signed on 3 November 1995 and which was referred to in *PCT Newsletter* Nos. 12/1995 and 01/1996, entered into force on 1 February 1996.

The requirements necessary for obtaining such an extension, and the procedure for requesting it, are explained in *PCT Newsletter* No. 12/1995, cover page. Note, however, that the extension of a European patent is only possible in respect of international applications ***filed on or after 1 February 1996*** (and not filed on or after 3 November 1995, as was indicated in the above-mentioned issue of the *Newsletter*).

PCT STATISTICS — 1 January to 31 December 1995

The steep growth in recent years in the number of international applications filed under the PCT continued during 1995. During that year, the International Bureau of WIPO received the record copies of 38,906 applications filed with PCT receiving Offices, which represented an increase over 1994 of 14.1%. Fig. 1, on page 2, shows the increase in the number of international applica-

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[continued from cover page]

tions received each year since 1978, when PCT operations began.

The 38,906 international applications received in 1995 had the effect of 916,273 national applications, and of 68,206 regional applications which were the equivalent of 890,943 applications for patent protection in the member States of the regional patent systems, that is, a total of 1,807,216 national applications.

Table 1 shows the top ten countries of origin of those international applications. (Note that 15.6% of international applications received were filed with either the European Patent Office (EPO) or the International Bureau as a receiving Office; those filings are included in the figures relating to the country of origin of the applicant.)

The number of demands for international preliminary examination also increased in 1995. The total received was 26,894, which represents an increase over 1994 of 16.3%.

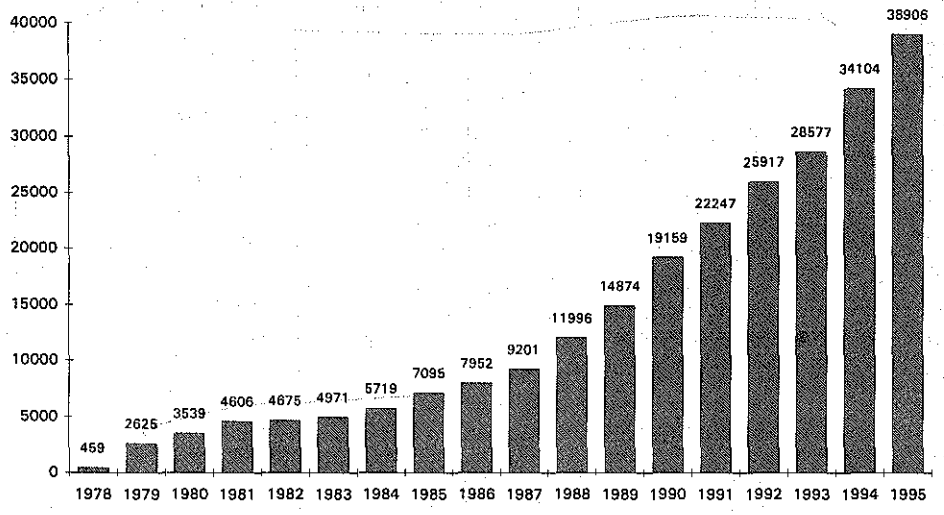
Table 1: PCT filings by country of origin (1995)

Country of origin	*Applications received*	*% of total*
US	16,588	42.6
DE	5,054	13.0
GB	3,425	8.8
JP	2,700	7.0
FR	1,808	4.7
SE	1,572	4.1
NL	1,297	3.3
AU	877	2.2
CA	786	2.0
CH (incl. LI)	786	2.0
Other States* bound by the PCT	4,013	10.3
	38,906	100.0

*71 at the end of December 1995

Further statistics, as well as information on the main events which occurred in 1995 in relation to the PCT, are contained in WIPO Press Release PCT/97 which is inserted in this issue.

Fig. 1: Number of international applications received since 1978



PRACTICAL ADVICE

Time limit for submitting priority documents if there is more than one priority claim

Q: On 15 November 1995, I filed an international application which claims the priority of two earlier national applications the filing dates of which are 1 December 1994 and 15 October 1995. I have already obtained, from the Office with which they were filed, a certified copy of the first application (priority document), but I am experiencing delays in obtaining the second priority document. I know that the time limit for submitting the priority document is 16 months from the priority date. As there are two priority claims, does this mean that I have 16 months from the filing date of the second priority application to furnish the second priority document? If not, is it possible to ask for an extension of the time limit for furnishing the missing priority document?

A: You must furnish the second priority document within 16 months of the filing date of the first priority application. PCT Article 2 states that, for the purpose of computing time limits, where the international application contains several priority claims, "priority date" means the filing date of the earliest application whose priority is so claimed. Therefore, your second priority document is due within 16 months from the ***earliest priority date***, that is,

by 1 April 1996. The PCT does not provide for an extension of that time limit. If you obtain the priority document just before the 16-month time limit, you may wish to send it by fax to the International Bureau to ensure receipt before the expiration of that time limit. Provided that you then furnish the original within 14 days, it is of no consequence if the original is received after the 16-month time limit.

Note that if the earlier application was filed with the receiving Office with which the international application was filed, the requirement to furnish the priority document will be considered to have been met if you request the receiving Office, not later than 16 months from the earliest priority date, to transmit the priority document to the International Bureau, and pay any fee which may be required by the receiving Office for that purpose (see PCT Rule 17.1(b)). The easiest way to do this is, when filing an international application, to mark the check-box provided for that purpose in Box No. VI of the request form.

If within the applicable time limit you neither furnish the missing priority document nor, if applicable, request the receiving Office to transmit the priority document to the International Bureau, some designated Offices may disregard the priority claim in respect of which the priority document has not been furnished. The *PCT Applicant's Guide,* Vol. I/A, Annexes B1 and B2, indicates, for each designated Office, whether or not it disregards the priority claim inr such circumstances.

PCT INFORMATION UPDATE

Hungary (fees)

The amount of the following fee, payable to the Hungarian Patent Office as receiving Office, has changed:

Transmittal fee:	See Table I(a)

The amounts of the following fee, payable to the Hungarian Patent Office as designated and elected Office, have changed:

National fee:

– where the Office is a designated Office:	HUF	20,000
plus, per claim in excess of 10:	HUF	1,000
– where the Office is an elected Office:	HUF	10,000
plus, per claim in excess of 10:	HUF	500

(Updating of PCT Applicant's Guide, Vol. I/B, Annex C (HU), and Vol. II/B, National Chapter, Summary (HU))

Iceland (fees)

The amount of the following fee, payable to the Icelandic Patent Office as receiving Office, has changed:

Transmittal fee:	See Table I(a)

The amounts of the following fees, payable to the Icelandic Patent Office as designated and elected Office, have changed:

National fee:

– Basic fee:	ISK	28,000
– Claim fee for each claim in excess of ten:	ISK	1,300
– Annual fees for the first three years:	ISK	7,500

(Updating of PCT Applicant's Guide, Vol. I/B, Annex C (IS), and Vol. II/B, National Chapter, Summary (IS))

Turkey (competent International Searching and Preliminary Examining Authorities)

The Turkish Patent Institute, in its capacity as receiving Office, has specified the Chinese Patent Office, in addition to the European Patent Office and the Russian Patent Office, as competent International Searching and Preliminary Examining Authority.

(Updating of *PCT Applicant's Guide*, Vol I/B, Annex C (TR))

International preliminary examination fee and handling fee (European Patent Office (EPO))

The equivalent amounts in FIM of the following fees, in respect of international preliminary examination carried out by the EPO, have been established and are applicable as from 1 March 1996:

International preliminary examination fee	See Table II
Handling fee:	See Table II

(Updating of *PCT Applicant's Guide*, Vol. I/B, Annex E (EP))

PCT SCHEDULE OF FEES

The Schedule of Fees which is annexed to the Regulations under the PCT should be replaced by the amended Schedule of Fees inserted in this issue. The amended Schedule of Fees was adopted by the Assembly of the PCT Union on 3 October 1995 and has been applicable from 1 January 1996. It reflects the 75% fee reduction available to certain applicants and the change in the maximum number of designation fees payable from 10 to 11 (see *PCT Newsletter* Nos. 10/1995, cover page, and 11/1995, page 6, for details).

PCT SEMINAR CALENDAR

Dates Location	Language of seminar	Nature of seminar WIPO speakers (and others where known)	Organizer and contact numbers
28 (p.m.)–29 February 1996 Cleveland, Ohio (US)	English	PCT seminar for patent attorneys WIPO speakers: Ms. Boutillon and Mr. Maassel	Cleveland Intellectual Property Law Association and Jones, Day, Reavis & Pogue Tel: (1–216) 586 73 29 Fax: (1–216) 579 02 12
29 February–1 March 1996 Chicago (US)	English	Basic PCT seminar for patent administrators and legal assistants WIPO speakers: Mrs. Coeckelbergs and Mr. Matthes	John Marshall Law School (Mr. Gary T. Watson) Tel: (1–312) 987 14 20 Fax: (1–312) 427 71 28
4–5 March 1996 San Francisco (US)	English	Basic PCT seminar for patent administrators and legal assistants WIPO speakers: Mrs. Coeckelbergs and Mr. Matthes	Intellectual Property International (Ms. Virginia H. Meyer) Tel: (1–415) 289 74 71 Fax: (1–415) 331 60 68
25 March 1996 Istanbul (TR)	English	Basic PCT seminar for patent attorneys and industry WIPO speakers: Ms. Boutillon and Mr. Bryan	Turkish Patent Institute (Ms. Hülya Çayli) Tel: (90–312) 419 02 30 Fax: (90–312) 419 02 48
27 March 1996 Ankara (TR)	English	Basic PCT seminar for patent attorneys and industry WIPO speakers: Ms. Boutillon and Mr. Bryan	Turkish Patent Institute (Ms. Hülya Çayli) Tel: (90–312) 419 02 30 Fax: (90–312) 419 02 48
3 April 1996 (originally scheduled for 4 April 1996) Ecully (FR)	French	PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	Centre Paul Roubier (Mrs. Audi) Tel: (33–78) 33 07 08 Fax: (33–78) 33 58 96
16–17 April 1996 Munich (DE)	German	Basic PCT seminar for patent administrators WIPO speakers: Mr. Wolff and Mrs. Coeckelbergs	Forum Institut für Management GmbH Tel: (49–6221) 47 95 12 Fax: (49–6221) 41 16 27
17–18 April 1996 Boston (US)	English	Advanced PCT seminar for patent attorneys and patent administrators WIPO speakers: Ms. Boutillon and Mr. Maassel	Boston Patent Law Association (Mr. Peter Corles) Tel: (1–617) 523 34 00 Fax: (1–617) 523 73 18
18–19 April 1996 Munich (DE)	German	Basic PCT seminar for patent attorneys WIPO speakers: Mr. Wolff and Mr. Matthes	Forum Institut für Management GmbH Tel: (49–6221) 47 95 12 Fax: (49–6221) 41 16 27
19–20 April 1996 Concord, New Hampshire (US)	English	Basic PCT seminar for patent attorneys and patent administrators WIPO speakers: Ms. Boutillon and Mr. Maassel	Franklin Pierce Law Center (Mr. Karl Jorda) Tel: (1–603) 228 15 41 (extension 109) Fax: (1–603) 224 33 42
22–24 April 1996 Naples, Florida (US)	English	PCT seminar for patent attorneys "Basic Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
25–27 April 1996 Naples, Florida (US)	English	PCT seminar for patent attorneys "Advanced Patent Cooperation Treaty Practice" WIPO speakers: Mr. Bartels, Ms. Boutillon and Mr. Maassel	Patent Resources Group, Inc. Tel: (1–804) 296 39 00 Fax: (1–804) 296 39 99
11–12 June 1996 Paris (FR)	French	Basic PCT seminar for patent attorneys WIPO speaker: Ms. Boutillon	EUROFORUM (Mrs. Françoise Parolari) Tel: (33–1–44) 88 14 88 Fax: (33–1–44) 88 14 99

PCT FEE TABLES

The following Tables show the amounts (including currencies – see the key to currency abbreviations following Table II) of the main PCT fees which are payable to the receiving Offices (ROs) and the International Preliminary Examining Authorities (IPEAs) during the international phase under Chapter I (Tables I(a) and I(b)) and under Chapter II (Table II). Fees which are payable only in particular circumstances are not shown; nor are details of certain reductions and refunds which may be available; such information can be found in the *PCT Applicant's Guide,* Vol. I/B, Annexes C, D and E. Note that all amounts are subject to change due variations in the fees themselves or fluctuations in exchange rates. ***A 75% reduction in the basic fee, the supplement per sheet over 30, the designation and confirmation fees and the handling fee is available to applicants from certain States; see footnote 2 for details.*** Footnotes to all Tables follow Table II.

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES

(as at 1 February 1996, unless otherwise indicated)

RO	Transmittal fee[1]	Basic fee[1,2] (CHF 762)	Supplement per sheet over 30[1,2] (CHF 15)	Designation fee[1,2,3] (CHF 185)	Competent ISA(s)[4]
AL	ALL 9,000	CHF 762	15	185	EP
AM	AMD[5] –	USD 677	13	164	EP RU
AP	USD 70	USD 677	13	164	AT EP SE
AT	ATS 700	ATS 6,730	133	1,630	EP
AU	AUD 100	AUD 906	18	220	AU
AZ	AZM[5] –	USD 677	13	164	EP RU
BE	BEF 1,500	BEF 19,700	388	4,780	EP
BG	BGL 600	BGL equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
BR	BRR 21,670	BRR equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP SE US
BY	RUR[5] –	USD 677	13	164	EP RU
CA	CAD 200	CAD 914	18	222	EP
CH	CHF 100	CHF 762	15	185	EP
CN	CNY 500	CNY equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	CN
CZ	CZK 1,500	CZK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
DE	DEM 150	DEM 955	19	232	EP
DK	DKK 1,500	DKK 3,740	74	910	EP SE
EA	RUR equiv of USD 100	USD 677	13	164	EP RU
EE	EEK 1,500	EEK equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
EP[6]	DEM 200	DEM 955	19	232	EP
ES	ESP 8,475	ESP 83,500	1,640	20,300	EP ES
FI	FIM 700	FIM 2,900	55	700	EP SE
FR	FRF 400	FRF 3,300	65	800	EP
GB	GBP 55	GBP 430	8	104	EP
GE	USD[5] –	USD 677	13	164	EP RU
GR	GRD 34,000	GRD 162,000	3,190	39,400	EP
HU	HUF[7] 10,000	HUF equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
IB	CHF 300	CHF 762	15	185	*See footnote 8*
	or USD 200	*or* USD 677	13	164	
IE	IEP 60	IEP 425	8	103	EP
IS	ISK 5,500	ISK 43,100	848	10,500	EP SE
IT	ITL 60,000	ITL 1,090,000	21,500	265,000	EP
JP	JPY 18,000	JPY 67,400	1,300	16,400	EP JP
KE	USD (or KES equiv) 30	USD 677	13	164	AT AU CN EP SE
KG	KGS equiv of USD 60	USD 677	13	164	EP RU
KP	KPW 50	KPW equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT RU
KR	KRW 40,000	KRW 544,000	10,700	132,000	AT AU JP
KZ	KZT[5] –	USD 677	13	164	EP RU
LR	USD 45	USD 677	13	164	AT AU CN EP SE
LS	LSM[5] –	LSM equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT EP
LT	LTL equiv of USD 80	USD 677	13	164	EP RU
LU	LUF/BEF 1,000	LUF/BEF 19,700	388	4,780	EP

[continued on page 6]

Table I(a) – TRANSMITTAL, BASIC AND DESIGNATION FEES *[continued]*

(as at 1 February 1996, unless otherwise indicated)

RO	Transmittal fee[1]		Basic fee[1,2] (CHF 762)		Supplement per sheet over 30[1,2] (CHF 15)	Designation fee[1,2,3] (CHF 185)	Competent ISA(s)[4]
LV	LVL	40	USD	677	13	164	EP RU
MC	FRF	300	FRF	3,300	65	800	EP
MD	MDL[5]	54	USD	677	13	164	EP RU
MK	MKD	2,750	MKD	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
MN		None	MNT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP RU
MW	MWK	8	MWK	10,100	199	2,460	EP
MX	MXP	equiv of USD200	MXP	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP ES SE US
NL	NLG	110	NLG	1,070	21	260	EP
NO	NOK	500	NOK	4,250	84	1,030	EP SE
NZ	NZD	155	NZD	1,020	20	248	AU EP
PL	PLZ	200	PLZ	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
PT	PTE	3,500	PTE	101,000	1,990	24,500	EP
RO	ROL	10,000	CHF	762	15	185	AT EP RU
RU	RUR	294,000	USD	677	13	164	EP RU
SD	SDP	50	SDP	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SE	SEK	600	SEK	4,770	94	1,160	EP SE
SG	SGD	120	SGD	971	19	236	AT AU EP
SI	SIT	*See footnote 9*	SIT	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
SK	SKK	1,600	SKK	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	EP
TJ	RUR[5]	–	USD	677	13	164	EP RU
TM	USD[5]	–	USD	677	13	164	EP RU
TR	CHF	100	CHF	762	15	185	CN EP RU
TT	TTD	750	USD	677	13	164	AT EP SE US
UA	UAK	21,000,000	USD	677	13	164	EP RU
US	USD	220	USD	677	13	164	EP US
UZ	USD[5]	–	USD	677	13	164	EP RU
VN	USD	50	VND	equiv of CHF 762	equiv of CHF 15	equiv of CHF 185	AT AU EP RU SE

Table I(b) – SEARCH FEES

(as at 1 February 1996, unless otherwise indicated)

ISA	Search fee[1]											
AT	ATS	2,200	CHF	250	KRW	177,000	SGD	317	USD	225		
AU	AUD	800	CHF	672	KRW	471,000	NZD	905	SGD	900	USD	592
CN	CNY	800	CHF	110	USD	100						
EP[10]	DEM	2,400	CHF	2,040	FRF	8,730	ISK	108,100	MWK	25,440	PTE	258,800
	ATS	17,140	DKK	9,840	GBP	1,104	ITL	2,963,000	NLG	2,740	SEK	12,700
	BEF	50,300	ESP	225,800	GRD	400,000	JPY	169,000	NOK	10,660	SGD	2,440
	CAD	2,300	FIM	7,500	IEP	1,103	LUF	50,300	NZD	2,557	USD	1,700
ES	ESP	62,100	CHF	565	USD	500						
JP	JPY	77,000	CHF	870	KRW	622,000	USD	775				
RU[10]	RUR	840,000	CHF	225	USD	200						
SE	SEK	2,600[11]	3,600[12]	4,200[13]	FIM	1,540[11]	2,130[12]	2,480[13]	USD	347[11]	480[12]	560[13]
	CHF	410[11]	570[12]	660[13]	ISK	22,000[11]	31,000[12]	36,000[13]				
	DKK	2,000[11]	2,710[12]	3,160[13]	NOK	2,240[11]	3,100[12]	3,620[13]				
US	USD	660	(430[14])		CHF	740	(480[14])					

Table II – PRELIMINARY EXAMINATION FEES

(as at 1 February 1996, unless otherwise indicated)

IPEA	Preliminary examination fee[15]	Handling fee[2,15] (CHF 233)
AT	ATS 2,200	ATS 2,060
AU	AUD 450	AUD 277
CN	CNY 800	CNY equiv of CHF 233
EP[10]	DEM 3,000 FIM* LUF 62,900 ATS 21,430 FRF 10,910 NLG 3,430 BEF 62,900 GBP 1,380 PTE 323,500 CHF 2,550 IEP 1,379 SEK 15,870 DKK 12,300 ITL 3,704,000 *(*from 01.03.96: FIM 9,380)*	DEM 292 FIM* LUF 6,020 ATS 2,060 FRF 1,000 NLG 328 BEF 6,020 GBP 131 PTE 30,900 CHF 233 IEP 130 SEK 1,460 DKK 1,140 ITL 333,000 **(from 01.03.96: FIM 887)*
JP	JPY 28,000	JPY 20,600
RU[10]	RUR 1,260,000 USD 300	USD 207
SE	SEK 3,200	SEK 1,460
US	USD 470 (710[16])	USD 207

Key to currency abbreviations for all fee tables:

ALL	Lek	DEM	Mark	ITL	Italian Lira	MDL	Moldovan Leu	RUR	Rouble
AMD	Dram	DKK	Danish Krone	JPY	Yen	MKD	Denar	SDP	Sudanese Pound
ATS	Schilling	EEK	Estonian Kroon	KES	Kenyan Shilling	MNT	Tugrik	SEK	Swedish Krona
AUD	Australian Dollar	ESP	Peseta	KGS	Som	MWK	Kwacha	SGD	Singapore Dollar
AZM	Manat	FIM	Markka	KPW	Won	MXP	Mexican Peso	SIT	Tolar
BEF	Belgian Franc	FRF	French Franc	KRW	Won	NLG	Guilder	SKK	Slovak Koruna
BGL	Lev	GBP	Pound Sterling	KZT	Tenge	NOK	Norwegian Krone	TTD	Trinidad and Tobago
BRR	Real	GRD	Drachma	LSM	Maloti	NZD	New Zealand Dollar		Dollar
CAD	Canadian Dollar	HUF	Forint	LTL	Lita	PLZ	Zloty	UAK	Karbovanets
CHF	Swiss Franc	IEP	Irish Pound	LUF	Luxembourg Franc	PTE	Escudo	USD	US Dollar
CNY	Yuan Renminbi	ISK	Icelandic Kronur	LVL	Lat	ROL	Romanian Leu	VND	Dong
CZK	Czech Koruna								

Footnotes for all fee tables:

1 Payable to the receiving Office in the currency or one of the currencies prescribed by it.

2 This fee is reduced by 75% where the applicant or, if there are two or more applicants, each applicant is a natural person and is a national of and resides in Albania, Armenia, Azerbaijan, Belarus, Benin, Brazil, Bulgaria, Burkina Faso, Cameroon, Central African Republic, Chad, China, Congo, Côte d'Ivoire, Czech Republic, Democratic People's Republic of Korea, Estonia, Gabon, Georgia, Guinea, Hungary, Kazakstan, Kenya, Kyrgyzstan, Latvia, Lesotho, Liberia, Lithuania, Madagascar, Malawi, Mali, Mauritania, Mexico, Mongolia, Niger, Poland, Republic of Moldova, Romania, Russian Federation, Senegal, Slovakia, Sri Lanka, Sudan, Swaziland, Tajikistan, The former Yugoslav Republic of Macedonia, Togo, Turkey, Turkmenistan, Uganda, Ukraine, Uzbekistan or Viet Nam. For further details see *PCT Newsletter* No. 10/1995, cover page, and No. 11/1995, page 6.

3 The confirmation fee payable under PCT Rules 4.9(c) and 15.5 is 50% of the designation fee.

4 For the search fee payable to the receiving Office, consult the entry in Table I(b) for the competent International Searching Authority.

5 The amounts are not yet known or, where known, are subject to periodical revision. The Office or the agent should be consulted for the latest applicable schedule of fees.

6 Payments can also be made in ATS, BEF, CHF, DKK, ESP, FRF, GBP, GRD, IEP, ITL, LUF, NLG, PTE, SEK and, as from 1 March 1996, in FIM. For the amounts in the said currencies, reference should be made to the latest issue of the *Official Journal* of the EPO.

7 A supplement of HUF 500 is also payable for each claim in excess of 10.

8 The competent International Searching Authority(ies) for an international application filed with the International Bureau as receiving Office is (are) the Authority(ies) which would have been competent if the international application had been filed with the receiving Office of, or acting for, the Contracting State of which the applicant (or any of the applicants) is a resident or national.

9 5% of the total value of the basic fee, supplement per sheet over 30, designation fee and search fee.

10 Nationals of developing countries and (in the case of the EPO) countries in transition ("reform States") may be eligible for fee reductions. For details, including the amount of the fee reduction, the countries concerned and applicable conditions, See *PCT Applicant's Guide*, Vol. I, Annex D (EP) and (RU) and Annex E (EP) and (RU).

11 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Swedish Patent Office.

12 If on an earlier application, the priority of which is claimed, a first office action has been issued by the Danish Patent Office, the National Board of Patents and Registration (Finland) or the Norwegian Patent Office.

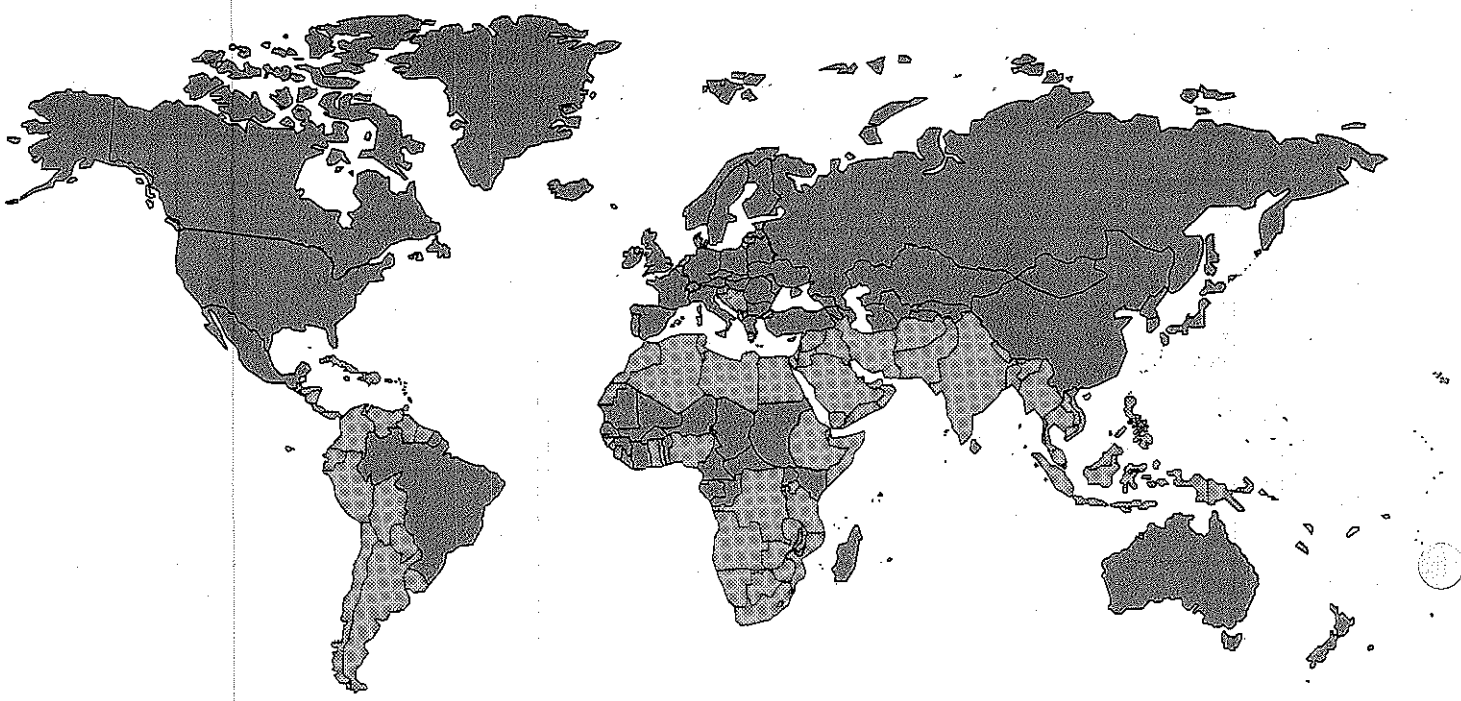
13 In all cases where footnotes 11 and 12 do not apply.

14 Payable when a corresponding prior US national application has been filed and the basic national fee for that US application has been paid.

15 Payable to the International Preliminary Examining Authority in the currency or one of the currencies prescribed by it.

16 Payable when the international search report was not established by the United States Patent and Trademark Office.

PCT CONTRACTING STATES AND TWO-LETTER CODES (83 on 1 February 1996)



AL Albania[1]
AM Armenia (EA)[2]
AT Austria (EP)
AU Australia
AZ Azerbaijan (EA)
BB Barbados
BE Belgium (EP)[3]
BF Burkina Faso (OA)[3]
BG Bulgaria
BJ Benin (OA)[3]
BR Brazil
BY Belarus (EA)
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HU Hungary
IE Ireland (EP)[3]
IS Iceland
IT Italy (EP)[3]
JP Japan
KE Kenya (AP)
KG Kyrgyzstan (EA)
KP Democratic People's Republic of Korea
KR Republic of Korea
KZ Kazakstan (EA)
LI Liechtenstein (EP)
LK Sri Lanka
LR Liberia
LS Lesotho (AP)
LT Lithuania[1]
LU Luxembourg (EP)
LV Latvia[1]
MC Monaco (EP)[3]
MD Republic of Moldova (EA)[6]
MG Madagascar
MK The former Yugoslav Republic of Macedonia
ML Mali (OA)[3]
MN Mongolia
MR Mauritania (OA)[3]
MW Malawi (AP)
MX Mexico
NE Niger (OA)[3]
NL Netherlands (EP)[3]
NO Norway
NZ New Zealand
PL Poland
PT Portugal (EP)
RO Romania
RU Russian Federation (EA)
SD Sudan (AP)
SE Sweden (EP)
SG Singapore
SI Slovenia[1]
SK Slovakia
SN Senegal (OA)[3]
SZ Swaziland (AP)[3]
TD Chad (OA)[3]
TG Togo (OA)[3]
TJ Tajikistan (EA)
TM Turkmenistan (EA)
TR Turkey
TT Trinidad and Tobago
UA Ukraine
UG Uganda (AP)
US United States of America
UZ Uzbekistan
VN Viet Nam

1 Extension of European patent possible.
2 May be designated for a Eurasian patent as from 27 February 1996.
3 May not be designated for a national patent (the national route has been closed).
4 Not bound by Chapter II.
5 May be designated for a European patent as from 1 March 1996.
6 May be designated for a Eurasian patent as from 16 February 1996.

Where a State is a member of a regional patent treaty, the two-letter code for the regional patent concerned is also indicated (AP = ARIPO patent, EA = Eurasian patent, EP = European patent, OA = OAPI patent).

Important:

This list includes all States that have adhered to the PCT by the date shown in the heading. Any States indicated in ***bold italics*** have adhered to the PCT but were not yet bound by the PCT on the date of issue of the latest version of the request form. If the applicant wishes to designate any States which are bound by the PCT on the date on which the international application is filed but which are not listed in the request form, he must add them in Box No. V of the request form and mark the corresponding check-box. Where a State has adhered to but is not yet bound by the PCT, the date on which it will become bound is shown in parentheses; it cannot be designated in international applications filed before that date.

Applicants should always use the latest versions of the request and demand forms. The latest versions of the request form (PCT/RO/101) and demand form (PCT/IPEA/401) are dated January 1996. The forms are reproduced in Annexes X and Y, respectively, of the *PCT Applicant's Guide*, Vol. I/B. The request form can also be obtained from receiving Offices or the International Bureau. The demand form can also be obtained from receiving Offices, International Preliminary Examining Authorities or the International Bureau.

International application No.
PCT/US95/66666

Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The following person is ☒ agent ☐ common representative

and ☒ has been appointed earlier and represents the applicant(s) also for international preliminary examination

☐ is hereby appointed and any earlier appointment of (an) agent(s)/common representative is hereby revoked.

☐ is hereby appointed, specifically for the procedure before the International Preliminary Examining Authority, in addition to the agent(s)/common representative appointed earlier.

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*

SMITH, John J.; HILLARD, Steven; MEYER, David
220 Jefferson Avenue
Arlington, Virginia 22202
United States of America

Telephone No.: (703) 545-2212

Facsimile No.: (703) 545-2200

Teleprinter No.:

☐ Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.

Box No. IV STATEMENT CONCERNING AMENDMENTS

The applicant wishes the International Preliminary Examining Authority*

(i) ☒ to start the international preliminary examination on the basis of the international application as originally filed.

(ii) ☒ to take into account the amendments under Article 34 of

☒ the description (amendments attached).

☒ the claims (amendments attached).

☐ the drawings (amendments attached).

(iii) ☐ to take into account any amendments of the claims under Article 19 filed with the International Bureau (a copy is attached).

(iv) ☐ to disregard any amendments of the claims made under Article 19 and to consider them as reversed.

(v) ☐ to postpone the start of the international preliminary examination until the expiration of 20 months from the priority date unless that Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). *(This check-box may be marked only where the time limit under Article 19 has not yet expired.)*

* Where no check-box is marked, internation preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the interantional application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.

Box No. V ELECTION OF STATES

☒ The applicant hereby elects all eligible State *(that is, all States which have been designated and which are bound by Chapter II of the PCT)* except .....
.....
.....
.....

(if the applicant does not wish to elect certain eligible States, the name(s) or country code(s) of those States must be indicated above.)

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Box No. VI CHECK LIST

The demand is accompanied by the following documents for the purposes of international preliminary examination:

			For International Preliminary Examining Authority use only: received	not received
1. amendments under Article 34				
description	: 5	sheets	☐	☐
claims	: 3	sheets	☐	☐
drawings	:	sheets	☐	☐
2. letter accompanying amendments under Article 34	: 4	sheets	☐	☐
3. copy of amendments under Article 19	:	sheets	☐	☐
4. copy of statement under Article 19	:	sheets	☐	☐
5. other (*specify*)	:	sheets	☐	☐

The demand is also accompanied by the item(s) marked below:

1. ☐ separate signed power of attorney
2. ☐ copy of general power of attorney
3. ☐ statement explaining lack of signature
4. ☒ fee calculation sheet
5. ☐ other (*specify*):

Box No. VII SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand)

John J. Smith

John J. Smith

For International Preliminary Examining Authority use only

1. Date of actual receipt of DEMAND:

2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):

3. ☐ The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5,below, does not apply. ☐ The applicant has been informed accordingly.

4. ☐ The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of Rule 80.5.

5. ☐ Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.

For International Bureau use only

Demand received from IPEA on: