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May 2, 1989

Norman Latker, Esq.
3515 Woodbine Street
Chevy Chase, Maryland 20015

Re: University Patent Group

Dear Norm:

As we have discussed, I feel that there is a need for university technology managers to meet periodically with their peers to discuss problems, solutions and matters of mutual interest. Toward that end, I am approaching technology managers at several mid-Atlantic research universities to invite them to become members of a group that would meet three or four times a year to discuss matters of mutual interest and to share experiences. I contemplate that member universities would host one day meetings on a rotating basis.

I would like to invite you to become a member of this group. Your knowledge and experience would be invaluable.

I look forward to learning of your level of interest in being a member of this group.

With personal regards.

Sincerely,

Edwin T. Yates, Ph.D.
Patent Management Officer

ETY/paa

THE JOHNS HOPKINS UNIVERSITY

BALTIMORE, MARYLAND 21218

OFFICE OF PATENT MANAGEMENT
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September 14, 1989

Norman J. Latker, Esq.
Vice President for Legal and
Technology Affairs
University Science, Engineering
and Technology, Inc.
4550 Montgomery Avenue
Suite 430
Bethesda, MD 20814

Yates is pending adoption.

Re: University Patent Group

Dear Norm:

It was good talking with you again the other day. I'm delighted that you'll be able to attend our first meeting and that you have agreed to give a short talk on technology databases.

Pursuant to our recent telephone conversation, enclosed is a list of participating institutions with the names and addresses of the individuals representing these institutions. Also enclosed are a memo announcing the meeting, a meeting agenda, and directions to the University. I look forward to seeing you on November 15.

With personal regards.

Sincerely,

ED

Edwin T. Yates, Ph.D.
Patent Management Officer

Enclosures

Appendix B

PROPOSED USET ~~UTC~~ EVALUATION FORM ~~DRAFT 3002~~

Instructions: To be completed following review of the disclosure and initial discussion with ~~TLO~~ University and Inventor.

Title:

USET ~~UTC~~ Number:

Brief Description:

Evaluation

1. Technical-Stage Of Development

| | Check | Points |
|---|---|---|
| * Concept only, expensive/ difficult to reduce to practice | _____ | 2 |
| * Concept only, reduction simple but not done | _____ | 4 |
| * Proof of concept done, lab model only | | |
| - little data collected | _____ | 6 |
| - much data collected | _____ | 8 |
| * Engineering product ready Prototype available | _____ | 10 |

Points _____ / 10

2. Technical-Time/Hurdles To Commercial Introduction

| Time | Check | | Hurdles | Check | | |
|---|---|---|---|---|---|---|
| * 1 year | _____ | 3 | Few | _____ | 2 | _____ |
| * 2-3 years | _____ | 2 | Many | _____ | 1 | _____ |
| * Longer | _____ | 1 | | | | |

Points _____ / 5

3. Technical-Inventor Support

| | Check | Points |
|---|---|---|
| a. * Strong support for invention | _____ | 3 |
| * Average support for invention | _____ | 2 |
| * Weak support for invention | _____ | 1 |
| b. * Aware of market | _____ | 2 |
| * Not aware of market | _____ | 1 |

Points _____ / 5

4. Market Factors

| | Check | Points |
|---|---|---|
| a. Market Interest | | |
| * Mature Market competing products | _____ | 1 |
| * Growth market, few competitors | _____ | 5 |
| * Broad technology | _____ | 10 |
| b. Early Income Potential | | |
| * $5 K or less | _____ | 1 |
| * $ 50 K or less | _____ | 5 |
| * Over $50 K | _____ | 10 |

Points _____ / 20

5. Intellectual Property Protection

| | Check | Points |
|---|---|---|
| * Protection Available | _____ | 10 |
| * Published, Bar Date | _____ | 5 |
| * No Protection Available | _____ | Points __1___ |
| | | 10 |

Literature Survey Done Y ____ N ____

University Patent Survey Done Y ____ N ____

6. Other Factors

| | Very Negative Not Controllable | Negative $ To Fix | Negative Minor |
|---|---|---|---|
| Legal Liabilities | ------ | ------ | ----- |
| Product Regulation | ------ | ------ | ----- |
| Safety | ------ | ------ | ----- |
| Environmental Impact | ------ | ------ | ----- |
| Other | ------ | ------ | ----- |
| Points | -10 | -5 | -1 |

Points _____

7. Likelihood Of Success (Mark in line)

0 Poor 50 Good 100

Total Points _____

8. Proposed Marketing Strategy:

9. Comments: